## Math 201-003 Fall 2019 (Darij Grinberg): homework set 2 with solutions

**Exercise 1.** Let  $n \in \mathbb{N}$ . For any *n* numbers  $d_1, d_2, \ldots, d_n \in \mathbb{R}$ , we let diag  $(d_1, d_2, \ldots, d_n)$  denote the  $n \times n$ -matrix whose diagonal entries are  $d_1, d_2, \ldots, d_n$  (in this order from top to bottom), while its off-diagonal entries are all 0. In other words,

diag 
$$(d_1, d_2, \dots, d_n) = \begin{pmatrix} d_1 & 0 & \cdots & 0 \\ 0 & d_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & d_n \end{pmatrix}.$$

This is called the *diagonal matrix with diagonal entries*  $d_1, d_2, \ldots, d_n$ .

(a) Given any  $d_1, d_2, d_3 \in \mathbb{R}$  and any  $3 \times 3$ -matrix  $A = \begin{pmatrix} a & b & c \\ a' & b' & c' \\ a'' & b'' & c'' \end{pmatrix}$ , compute diag  $(d_1, d_2, d_3) \in A$ 

pute diag  $(d_1, d_2, d_3) \cdot A$ .

(b) State a rule for computing diag  $(d_1, d_2, ..., d_n) \cdot A$ , where  $d_1, d_2, ..., d_n \in \mathbb{R}$  and where *A* is any  $n \times m$ -matrix (with *m* being any nonnegative integer).

(c) State a rule for computing  $A \cdot \text{diag}(d_1, d_2, \dots, d_n)$ , where  $d_1, d_2, \dots, d_n \in \mathbb{R}$  and where A is any  $m \times n$ -matrix (with m being any nonnegative integer).

[You don't have to prove these rules.]

Solution to Exercise 1. (a) We have

diag 
$$(d_1, d_2, d_3) = \begin{pmatrix} d_1 & 0 & 0 \\ 0 & d_2 & 0 \\ 0 & 0 & d_3 \end{pmatrix}$$
 (by the definition of diag  $(d_1, d_2, d_3)$ )

and

$$A = \begin{pmatrix} a & b & c \\ a' & b' & c' \\ a'' & b'' & c'' \end{pmatrix}.$$

Multiplying these equalities, we obtain

$$\begin{aligned} \operatorname{diag}\left(d_{1}, d_{2}, d_{3}\right) \cdot A &= \begin{pmatrix} d_{1} & 0 & 0 \\ 0 & d_{2} & 0 \\ 0 & 0 & d_{3} \end{pmatrix} \begin{pmatrix} a & b & c \\ a' & b' & c' \\ a'' & b'' & c'' \end{pmatrix} \\ &= \begin{pmatrix} d_{1}a + 0a' + 0a'' & d_{1}b + 0b' + 0b'' & d_{1}c + 0c' + 0c'' \\ 0a + d_{2}a' + 0a'' & 0b + d_{2}b' + 0b'' & 0c + d_{2}c' + 0c'' \\ 0a + 0a' + d_{3}a'' & 0b + 0b' + d_{3}b'' & 0c + 0c' + d_{3}c'' \end{pmatrix} \\ &= \begin{pmatrix} d_{1}a & d_{1}b & d_{1}c \\ d_{2}a' & d_{2}b' & d_{2}c' \\ d_{3}a'' & d_{3}b'' & d_{3}c'' \end{pmatrix}. \end{aligned}$$

(b) Let  $d_1, d_2, \ldots, d_n \in \mathbb{R}$ . Let  $m \in \mathbb{N}$ . Let A be any  $n \times m$ -matrix. Then, the matrix diag  $(d_1, d_2, \ldots, d_n) \cdot A$  is obtained from A by scaling the *i*-th row by  $d_i$  for each  $i \in \{1, 2, \ldots, n\}$ . In other words,

$$(\operatorname{diag}\left(d_{1}, d_{2}, \dots, d_{n}\right) \cdot A)_{i,i} = d_{i}A_{i,j} \tag{1}$$

for each  $i \in \{1, 2, ..., n\}$  and  $j \in \{1, 2, ..., m\}$ . [*Proof of (1):* Set  $D = \text{diag}(d_1, d_2, ..., d_n)$ . Thus,

$$D_{i,k} = 0$$
 for any two distinct elements *i* and *k* of  $\{1, 2, ..., n\}$ , (2)

and

$$D_{i,i} = d_i \qquad \text{for each } i \in \{1, 2, \dots, n\}.$$
(3)

But the definition of the product of two matrices yields

$$DA = (D_{i,1}A_{1,j} + D_{i,2}A_{2,j} + \dots + D_{i,n}A_{n,j})_{1 \le i \le n, \ 1 \le j \le m}.$$
(4)

Hence,

$$(DA)_{i,j} = D_{i,1}A_{1,j} + D_{i,2}A_{2,j} + \dots + D_{i,n}A_{n,j}$$
(5)

for each  $i \in \{1, 2, ..., n\}$  and  $j \in \{1, 2, ..., m\}$ .

Fix  $i \in \{1, 2, ..., n\}$  and  $j \in \{1, 2, ..., m\}$ . The sum  $D_{i,1}A_{1,j} + D_{i,2}A_{2,j} + \cdots + D_{i,n}A_{n,j}$  has n addends. One of these n addends (to be specific: the *i*-th one) is

$$\underbrace{\begin{array}{c} D_{i,i} \\ =d_i \\ \text{(by (3))} \end{array}}_{i,j} A_{i,j} = d_i A_{i,j}$$

whereas all the other addends are 0 (because each of them has the form  $D_{i,k}A_{k,j}$  for some  $k \in \{1, 2, ..., n\}$  distinct from *i*, and thus rewrites as  $D_{i,k}A_{k,j} = 0$ ). Hence, this sum equals

=0 (by (2))

 $d_i A_{i,j}$ . In other words,

$$D_{i,1}A_{1,j} + D_{i,2}A_{2,j} + \dots + D_{i,n}A_{n,j} = d_i A_{i,j}.$$

Hence, (5) rewrites as  $(DA)_{i,j} = d_i A_{i,j}$ . In view of  $D = \text{diag}(d_1, d_2, \dots, d_n)$ , this further rewrites as

$$(\operatorname{diag}(d_1, d_2, \ldots, d_n) \cdot A)_{i,i} = d_i A_{i,j}$$

This proves (1).]

(c) Let  $d_1, d_2, \ldots, d_n \in \mathbb{R}$ . Let  $m \in \mathbb{N}$ . Let A be any  $m \times n$ -matrix. Then, the matrix  $A \cdot \text{diag}(d_1, d_2, \ldots, d_n)$  is obtained from A by scaling the j-th column by  $d_j$  for each  $j \in \{1, 2, \ldots, n\}$ . In other words,

$$(A \cdot \operatorname{diag} (d_1, d_2, \dots, d_n))_{i,i} = d_i A_{i,i}$$

for each  $i \in \{1, 2, ..., m\}$  and  $j \in \{1, 2, ..., n\}$ .

[The proof of this is similar to the proof of (1); we omit it.]

We recall the definition of linear combinations ([Strickland, Definition 7.1]):

**Definition 0.1.** Let  $v_1, v_2, ..., v_k$  be some vectors in  $\mathbb{R}^n$ . Then, a *linear combination* of  $v_1, v_2, ..., v_k$  means a vector that can be written in the form

$$\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_k v_k$$
 for some  $\lambda_1, \lambda_2, \dots, \lambda_k \in \mathbb{R}$ .

The numbers  $\lambda_1, \lambda_2, ..., \lambda_k$  in this definition are called *coefficients* of this linear combination; but they are not necessarily unique (in fact, they are unique if  $v_1, v_2, ..., v_k$  are linearly independent; we shall see this later in class). For example, if  $v_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $v_2 = \begin{pmatrix} 2 \\ 2 \end{pmatrix}$ , then the vector  $\begin{pmatrix} 3 \\ 3 \end{pmatrix}$  is a linear combination of  $v_1$  and  $v_2$ , and can be written as  $\lambda_1 v_1 + \lambda_2 v_2$  in many ways:

$$\begin{pmatrix} 3\\3 \end{pmatrix} = 1v_1 + 1v_2 = 3v_1 + 0v_2 = 0v_1 + \frac{3}{2}v_2 = 2v_1 + \frac{1}{2}v_2 = \cdots$$

We recall the definition of linear independence ([Strickland, §8]):

**Definition 0.2.** Let  $v_1, v_2, \ldots, v_k$  be some vectors in  $\mathbb{R}^n$ .

(a) A *relation* (more precisely: *linear relation*) between  $v_1, v_2, ..., v_k$  means a choice of reals  $\lambda_1, \lambda_2, ..., \lambda_k$  satisfying

$$\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_k v_k = 0.$$

(Here, 0 denotes the zero vector  $0_{n \times 1} \in \mathbb{R}^n$ .)

**(b)** The *trivial relation* between  $v_1, v_2, ..., v_k$  is the relation obtained by choosing  $\lambda_1 = \lambda_2 = \cdots = \lambda_k = 0$ . Clearly,  $v_1, v_2, ..., v_k$  always have this trivial relation.

(c) We say that the vectors  $v_1, v_2, ..., v_k$  (or, more precisely, the list  $(v_1, v_2, ..., v_k)$  of these vectors) are *independent* (more precisely: *linearly independent*) if the only relation between  $v_1, v_2, ..., v_k$  is the trivial relation. Otherwise, we say that these vectors are *dependent*.

For example, if we set

$$v_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$
,  $v_2 = \begin{pmatrix} 1 \\ 2 \end{pmatrix}$  and  $v_3 = \begin{pmatrix} 1 \\ 3 \end{pmatrix}$ ,

then the vectors  $v_1, v_2, v_3$  are linearly dependent, since they have the nontrivial relation  $1v_1 + (-2)v_2 + 1v_3 = 0$ .

$$v_1 = \begin{pmatrix} 0\\1\\-1 \end{pmatrix}$$
 and  $v_2 = \begin{pmatrix} -1\\0\\1 \end{pmatrix}$  and  $v_3 = \begin{pmatrix} 1\\-1\\0 \end{pmatrix}$ .

(a) Are  $v_1, v_2, v_3$  dependent? (If yes, show a nontrivial relation between them.)

**(b)** Is  $\begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$  a linear combination of  $v_1, v_2, v_3$ ? (If yes, provide a choice of coefficients  $\lambda_1, \lambda_2, \lambda_3$  that demonstrate it.) **(c)** Is  $\begin{pmatrix} 1 \\ 2 \\ -3 \end{pmatrix}$  a linear combination of  $v_1, v_2, v_3$ ? (If yes, provide a choice of coefficients  $\lambda_1, \lambda_2, \lambda_3$  that demonstrate it.)

Solution to Exercise 2. (a) The vectors  $v_1, v_2, v_3$  are dependent.

*Proof.* It is easy to see that  $1v_1 + 1v_2 + 1v_3 = 0$ , which is a nontrivial relation. (b) No.

*Proof.* Each of the three vectors  $v_1, v_2, v_3$  has the property that the sum of its coordinates is 0. Thus, every combination of  $v_1, v_2, v_3$  has this property as well<sup>1</sup>.

But the vector  $\begin{pmatrix} 2\\2\\3 \end{pmatrix}$  does not have this property. Thus, the latter vector is not a

combination of  $v_1, v_2, v_3$ .

(c) Yes.

*Proof.* We have 
$$\begin{pmatrix} 1 \\ 2 \\ -3 \end{pmatrix} = v_3 + 3v_1 = 3v_1 + 0v_2 + 1v_3.$$

[*Remark:* The above proofs rely on pattern-spotting and educated guesses, which require a bit of ingenuity or experience to find. But you can just as well solve the exercise by following algorithms. Indeed, [Strickland, Method 8.8] is a surefire way to answer questions like part (a) of this exercise, whereas [Strickland, Method 7.6] can be used to solve parts (b) and (c).]

Clearly, rearranging a list of vectors does not change the set of its linear combinations: In fact, the rearranged vectors will have the same linear combinations as the original vectors; only the coefficients will change their order. For example, the linear combinations of  $v_1$ ,  $v_2$ ,  $v_3$  are the same as the linear combinations of  $v_3$ ,  $v_1$ ,  $v_2$ , since  $\lambda_1 v_1 + \lambda_2 v_2 + \lambda_3 v_3 = \lambda_3 v_3 + \lambda_1 v_1 + \lambda_2 v_2$ .

Rearranging a list of vectors also does not change its linear dependence or independence: In fact, any linear relation between the rearranged vectors is a rearranged linear relation between the original vectors, and vice versa. Thus,  $v_1$ ,  $v_2$ ,  $v_3$ are dependent if and only if  $v_3$ ,  $v_1$ ,  $v_2$  are dependent.

What happens to lists of vectors when we duplicate a vector? The following exercise answers this question (at least when it's the last vector that is duplicated):

**Exercise 3.** Let  $v_1, v_2, ..., v_k$  be some vectors in  $\mathbb{R}^n$ . Assume that  $v_{k-1} = v_k$ . Justify the following (i.e., sketch the proofs):

<sup>&</sup>lt;sup>1</sup>Make sure you understand why! (Also make sure you understand why a property like "one of the coordinates is 0" would **not** be transferred from  $v_1$ ,  $v_2$ ,  $v_3$  to all their combinations.

(a) The vectors  $v_1, v_2, \ldots, v_k$  are linearly dependent.

(b) The linear combinations of  $v_1, v_2, \ldots, v_k$  are the same vectors as the linear combinations of  $v_1, v_2, \ldots, v_{k-1}$ .

*Solution to Exercise 3.* (a) We have

 $0v_1 + 0v_2 + \dots + 0v_{k-2} + 1v_{k-1} + (-1)v_k = v_{k-1} - v_k = 0$ 

(since  $v_{k-1} = v_k$ ). Thus, the relation

$$0v_1 + 0v_2 + \dots + 0v_{k-2} + 1v_{k-1} + (-1)v_k = 0$$

between  $v_1, v_2, \ldots, v_k$  holds. This relation is clearly nontrivial, since  $1 \neq 0$ . Hence, the vectors  $v_1, v_2, \ldots, v_k$  are linearly dependent. This solves part (a) of the exercise.

(b) We need to show the following two claims:

*Claim 1:* Every linear combination of  $v_1, v_2, ..., v_k$  is a linear combination of  $v_1, v_2, ..., v_{k-1}$ .

*Claim 2:* Every linear combination of  $v_1, v_2, ..., v_{k-1}$  is a linear combination of  $v_1, v_2, ..., v_k$ .

[*Proof of Claim 1:* Let w be a linear combination of  $v_1, v_2, \ldots, v_k$ . We must show that w is a linear combination of  $v_1, v_2, \ldots, v_{k-1}$ .

We know that w is a linear combination of  $v_1, v_2, ..., v_k$ . In other words, there exist numbers  $\lambda_1, \lambda_2, ..., \lambda_k$  such that  $w = \lambda_1 v_1 + \lambda_2 v_2 + \cdots + \lambda_k v_k$ . Thus,

$$w = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_k v_k$$
  
=  $\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-2} v_{k-2} + \lambda_{k-1} v_{k-1} + \lambda_k \underbrace{v_k}_{=v_{k-1}}$   
=  $\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-2} v_{k-2} + \underbrace{\lambda_{k-1} v_{k-1} + \lambda_k v_{k-1}}_{=(\lambda_{k-1} + \lambda_k) v_{k-1}}$   
=  $\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-2} v_{k-2} + (\lambda_{k-1} + \lambda_k) v_{k-1}.$ 

This shows that w is a linear combination of  $v_1, v_2, \ldots, v_{k-1}$ . This proves Claim 1.]

[*Proof of Claim 2:* Let *w* be a linear combination of  $v_1, v_2, ..., v_{k-1}$ . We must show that *w* is a linear combination of  $v_1, v_2, ..., v_k$ .

We know that w is a linear combination of  $v_1, v_2, \ldots, v_{k-1}$ . In other words, there exist numbers  $\lambda_1, \lambda_2, \ldots, \lambda_{k-1}$  such that  $w = \lambda_1 v_1 + \lambda_2 v_2 + \cdots + \lambda_{k-1} v_{k-1}$ . Thus,

$$w = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-1} v_{k-1} = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-1} v_{k-1} + 0 = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_{k-1} v_{k-1} + 0 v_k.$$

This shows that *w* is a linear combination of  $v_1, v_2, \ldots, v_k$ . This proves Claim 2.]

[*Remark:* In our proof of Claim 2, we did not use the assumption that  $v_{k-1} = v_k$ . More generally, it is always true that a linear combination of a subsequence of a given list of vectors must always be a linear combination of the whole sequence. It is the other direction that is usually not valid.]

**Exercise 4.** Let  $n \in \mathbb{N}$ . For each  $k \in \{1, 2, ..., n\}$ , we let  $e_k$  denote the column vector of size n whose k-th entry is 1 and whose all other entries are 0.

(For example: If 
$$n = 3$$
, then  $e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$  and  $e_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$  and  $e_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ .)  
Explain why each vector in  $\mathbb{R}^n$  is a linear combination of  $e_1, e_2, \dots, e_n$ .

*Solution to Exercise 4.* Let v be any vector in  $\mathbb{R}^n$ . We must prove that v is a linear combination of  $e_1, e_2, \ldots, e_n$ .

Write 
$$v$$
 in the form  $v = \begin{pmatrix} \lambda_1 \\ \lambda_2 \\ \vdots \\ \lambda_n \end{pmatrix}$ , where  $\lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{R}$  are its coordinates.

For each  $i \in \{1, 2, \ldots, n\}$ , we have

$$e_i = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

(with the 1 being in the *i*-th position)

and thus

$$\lambda_{i}e_{i} = \begin{pmatrix} \lambda_{i}0\\\lambda_{i}0\\\vdots\\\lambda_{i}0\\\lambda_{i}1\\\lambda_{i}0\\\vdots\\\lambda_{i}0 \end{pmatrix} = \begin{pmatrix} 0\\0\\\vdots\\0\\\lambda_{i}\\0\\\vdots\\0 \end{pmatrix}$$

(where the entry  $\lambda_i$  is in the *i*-th position). Hence,

$$\lambda_{1}e_{1} + \lambda_{2}e_{2} + \dots + \lambda_{n}e_{n}$$

$$= \begin{pmatrix} \lambda_{1} \\ 0 \\ \vdots \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ \lambda_{2} \\ \vdots \\ 0 \end{pmatrix} + \dots + \begin{pmatrix} 0 \\ 0 \\ \vdots \\ \lambda_{n} \end{pmatrix}$$

$$= \begin{pmatrix} \lambda_{1} + 0 + 0 + \dots + 0 \\ 0 + \lambda_{2} + 0 + \dots + 0 \\ \vdots \\ 0 + 0 + 0 + \dots + \lambda_{n} \end{pmatrix} = \begin{pmatrix} \lambda_{1} \\ \lambda_{2} \\ \vdots \\ \lambda_{n} \end{pmatrix} = v.$$

In other words,  $v = \lambda_1 e_1 + \lambda_2 e_2 + \cdots + \lambda_n e_n$ . Hence, v is a linear combination of  $e_1, e_2, \ldots, e_n$ .

Definition 0.1 and Definition 0.2 make sense not only for vectors in  $\mathbb{R}^n$ . For example, we can replace "vectors in  $\mathbb{R}^n$ " by "polynomials" in both definitions, and obtain definitions for linear combinations and linear independence of polynomials (in one variable *x*, with real coefficients).<sup>2</sup> Thus:

• The polynomial  $x^2 + 2x - 1$  is a linear combination of the polynomials  $x^2$  and  $(x - 1)^2$ , since

$$x^{2} + 2x - 1 = 2 \cdot x^{2} + (-1) \cdot (x - 1)^{2}.$$

• The polynomials  $x^2$ ,  $(x-1)^2$ ,  $(x-2)^2$  and  $(x-3)^2$  are linearly dependent, since there exists a nontrivial linear relation between them: If we take  $\lambda_1 = 1$ ,  $\lambda_2 = -3$ ,  $\lambda_3 = 3$  and  $\lambda_4 = -1$ , then

$$\lambda_1 x^2 + \lambda_2 (x - 1)^2 + \lambda_3 (x - 2)^2 + \lambda_4 (x - 3)^2$$
  
= 1 \cdot x^2 + (-3) \cdot (x - 1)^2 + 3 \cdot (x - 2)^2 + (-1) \cdot (x - 3)^2 = 0

• The polynomials  $x^2$ ,  $(x - 1)^2$  and  $(x - 2)^2$  are linearly independent, because the only relation

$$\lambda_1 x^2 + \lambda_2 (x - 1)^2 + \lambda_3 (x - 2)^2 = 0$$
(6)

between them is the trivial one. How to be sure of this? One way is to assume that (6) holds, and try to derive  $\lambda_1 = \lambda_2 = \lambda_3 = 0$  from it. This can be done, for example, by comparing coefficients: Straightforward expansion yields

$$\begin{split} \lambda_1 x^2 &+ \lambda_2 \, (x-1)^2 + \lambda_3 \, (x-2)^2 \\ &= \lambda_1 x^2 + \lambda_2 \, \left( x^2 - 2x + 1 \right) + \lambda_3 \, \left( x^2 - 4x + 4 \right) \\ &= \left( \lambda_1 + \lambda_2 + \lambda_3 \right) x^2 + \left( -2\lambda_2 - 4\lambda_3 \right) x + \left( \lambda_2 + 4\lambda_3 \right), \end{split}$$

<sup>2</sup>Of course, we then have to interpret 0 as the zero polynomial rather than the zero vector  $0_{n \times 1}$ .

and thus (6) rewrites as

$$(\lambda_1 + \lambda_2 + \lambda_3) x^2 + (-2\lambda_2 - 4\lambda_3) x + (\lambda_2 + 4\lambda_3) = 0.$$

Since equal polynomials have equal coefficients, this is equivalent to the following system of linear equations:

$$\begin{cases} \lambda_1 + \lambda_2 + \lambda_3 = 0\\ -2\lambda_2 - 4\lambda_3 = 0\\ \lambda_2 + 4\lambda_3 = 0 \end{cases}$$

whose only solution is  $(\lambda_1, \lambda_2, \lambda_3) = (0, 0, 0)$  (you know how to check this) – and thus the relation must be trivial. So the polynomials  $x^2$ ,  $(x - 1)^2$  and  $(x - 2)^2$  are linearly independent.

**Exercise 5. (a)** Are the four polynomials  $x^3$ ,  $(x - 1)^3$ ,  $(x - 2)^3$ ,  $(x - 3)^3$  linearly independent?

(b) Is 1 a linear combination of these four polynomials?

Solution to Exercise 5. (a) Yes.

*Proof.* Let  $ax^3 + b(x-1)^3 + c(x-2)^3 + d(x-3)^3 = 0$  be any relation between these four polynomials. We must show that this relation is trivial, i.e., that a = b = c = d = 0.

We have

$$\begin{aligned} ax^{3} + b \underbrace{(x-1)^{3}}_{=x^{3}-3x^{2}+3x-1} + c \underbrace{(x-2)^{3}}_{=x^{3}-6x^{2}+12x-8} + d \underbrace{(x-3)^{3}}_{=x^{3}-9x^{2}+27x-27} \\ &= ax^{3} + b \left(x^{3}-3x^{2}+3x-1\right) + c \left(x^{3}-6x^{2}+12x-8\right) + d \left(x^{3}-9x^{2}+27x-27\right) \\ &= ax^{3}+bx^{3}-3bx^{2}+3bx-b+cx^{3}-6cx^{2}+12cx-8c+dx^{3}-9dx^{2}+27dx-27d \\ &= (a+b+c+d) x^{3} + (-3b-6c-9d) x^{2} + (3b+12c+27d) x + (-b-8c-27d) . \end{aligned}$$

Thus, our relation  $ax^3 + b(x-1)^3 + c(x-2)^3 + d(x-3)^3 = 0$  rewrites as the following equality:

$$(a+b+c+d) x^{3} + (-3b-6c-9d) x^{2} + (3b+12c+27d) x + (-b-8c-27d) = 0.$$

But a polynomial can only be 0 if all its coefficients are 0 (since a polynomial is defined as a sequence of coefficients). Thus, the equality that we have just showed entails that

$$a + b + c + d = 0;$$
  
 $-3b - 6c - 9d = 0;$   
 $3b + 12c + 27d = 0;$   
 $-b - 8c - 27d = 0.$ 

This is a system of 4 linear equations in a, b, c, d. Solving it (e.g., by Gaussian elimination), we find that the only solution is a = b = c = d = 0. Thus, we conclude that a = b = c = d = 0, which is exactly what we needed to show.

[*Remark*: The claim generalizes: For any  $n \in \mathbb{N}$  and any n + 1 distinct reals  $a_0, a_1, \ldots, a_n$ , the n + 1 polynomials  $(x - a_0)^n, (x - a_1)^n, \ldots, (x - a_n)^n$  are linearly independent. To prove this, we would need some more advanced tools (such as the Vandermonde determinant), since the system of linear equations would get larger and larger as n grows.]

## **(b)** Yes.

*Proof.* We have

$$\frac{1}{6}x^3 + \frac{-1}{2}(x-1)^3 + \frac{1}{2}(x-2)^3 + \frac{-1}{6}(x-3)^3 = 1.$$
 (7)

[*Remark:* How did we find this relation? Well, we need to find four numbers *a*, *b*, *c*, *d* such that

$$ax^{3} + b(x-1)^{3} + c(x-2)^{3} + d(x-3)^{3} = 1.$$

We can expand the left hand side of this equation (as we already did in the proof of part (a)), thus rewriting the equation as

$$(a+b+c+d) x^3 + (-3b-6c-9d) x^2 + (3b+12c+27d) x + (-b-8c-81d) = 1.$$

But two polynomials are equal if and only if their respective coefficients are equal (since a polynomial is defined as a sequence of coefficients). Thus, our equation amounts to the following system of 4 linear equations in a, b, c, d:

$$a + b + c + d = 0;$$
  
 $-3b - 6c - 9d = 0;$   
 $3b + 12c + 27d = 0;$   
 $-b - 8c - 81d = 1.$ 

Now, Gaussian elimination shows that its solution is  $a = \frac{1}{6}$ ,  $b = \frac{-1}{2}$ ,  $c = \frac{1}{2}$  and  $b = \frac{-1}{2}$ .

 $d = \frac{-1}{6}$ . This leads exactly to (7).]

[*Another remark:* If you know the notion of factorials (*n*!), then you can generalize (7): For any nonnegative integer *n*, we have

$$\sum_{k=0}^{n} \frac{(-1)^{k}}{k! \cdot (n-k)!} (x-k)^{n} = 1$$

Or, rewritten without the summation sign:

$$\frac{(-1)^0}{0! \cdot (n-0)!} (x-0)^n + \frac{(-1)^1}{1! \cdot (n-1)!} (x-1)^n + \frac{(-1)^2}{2! \cdot (n-2)!} (x-2)^n + \dots + \frac{(-1)^n}{n! \cdot (n-n)!} (x-n)^n = 1$$

Proving this for all *n* is a different matter, however; this is often done in courses on enumerative combinatorics<sup>3</sup>.]

Similarly, we can define linear combinations and linear independence of matrices: Just replace "vectors in  $\mathbb{R}^{n}$ " by " $n \times m$ -matrices" in Definition 0.1 and Definition 0.2<sup>4</sup>. For example, the matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$  is a linear combination of the matrices

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}, \text{ since}$$
$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} = 1 \cdot \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} + (-1) \cdot \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + 1 \cdot \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}.$$

Exercise 6. Consider the four matrices

$$N = \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, \qquad E = \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}, \qquad S = \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}, \qquad W = \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}.$$

(The letter *E* stands for "east", not for the identity matrix, which some also call *E*.)

(a) Is the identity matrix  $I_2$  a linear combination of N, E, S, W?

**(b)** Are *N*, *E*, *S*, *W* linearly dependent?

## Solution to Exercise 6. (a) No.

*Proof.* Each of the four matrices N, E, S, W has the property that the sum of the two diagonal entries equals the sum of the two off-diagonal entries<sup>5</sup>. Thus, every linear combination of N, E, S, W has this property as well<sup>6</sup>. But  $I_2$  does not have this property.

(b) Yes. Indeed,  $N + S = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} = E + W$ ; in other words, 1N + (-1)E + S + (-1)W = 0. This is a partrivial relation between N = S.

 $1S + (-1)W = 0_{2 \times 2}$ . This is a nontrivial relation between *N*, *E*, *S*, *W*.

[*Remark:* These answers can be found without lucky inspiration: For part (a), you want to write  $I_2$  in the form

$$I_2 = aN + bE + cS + dW$$
 for some  $a, b, c, d \in \mathbb{R}$ ;

<sup>3</sup>Combinatorialists usually restate this equality in the form

$$(-1)^{0} \binom{n}{0} (x-0)^{n} + (-1)^{1} \binom{n}{1} (x-1)^{n} + \dots + (-1)^{n} \binom{n}{n} (x-n)^{n} = n!.$$

It is not hard to derive it from the result in https://math.stackexchange.com/a/1943039/. <sup>4</sup>Of course, we then have to interpret 0 as the zero matrix  $0_{n \times m}$  rather than the zero vector  $0_{n \times 1}$ . <sup>5</sup>For example, for *E*, this holds because 0 + 1 = 1 + 0.

<sup>6</sup>Make sure you understand why!

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I_2 = aN + bE + cS + dW = a \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix} + c \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} + d \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} a \cdot 1 + b \cdot 0 + c \cdot 0 + d \cdot 1 & a \cdot 1 + b \cdot 1 + c \cdot 0 + d \cdot 0 \\ a \cdot 0 + b \cdot 0 + c \cdot 1 + d \cdot 1 & a \cdot 0 + b \cdot 1 + c \cdot 1 + d \cdot 0 \end{pmatrix} = \begin{pmatrix} a + d & a + b \\ c + d & b + c \end{pmatrix};$$

this would mean that the equations

1 = a + d, 0 = a + b, 0 = c + d, 1 = b + c

hold (because two matrices are equal if and only if their respective entries are equal); but this is a system of linear equations that has no solutions. For part (b), you are similarly trying to solve the equation  $0_{2\times 2} = aN + bE + cS + dW$ , but this time you are looking for a nontrivial solution.]

## References

- [lina] Darij Grinberg, Notes on linear algebra, version of 13 December 2016. https://github.com/darijgr/lina
- [Strickland] Neil Strickland, MAS201 Linear Mathematics for Applications, lecture notes, 28 September 2013. http://neil-strickland.staff.shef.ac.uk/courses/MAS201/