

Higher Lie idempotents

Frédéric Patras and Christophe Reutenauer

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Errata and questions - I (version 2)

- **Page 1:** Typo: "characteristic" should be "characteristic".
- **Pages 1 and 2:** Typo: "envelopping" should be "enveloping" (this typo appears several times).
- **Page 2 and further:** Typo: "family" should be "family" (this typo appears several times).
- **Page 2:** Maybe "Given a family of Lie idempotents" should be "Given an arbitrary Lie idempotent"? I think the constructions of the higher Lie idempotents depend only on one Lie idempotent ι and (in the case of higher Lie idempotents of the third kind) on a family of coefficients a_{μ}^{ι} .
- **Page 3:** Typo: "reodering" should be "reordering".
- **Page 4:** Between Definition 2.2 and the Example, you write that "the ι -descent algebra decomposes as a direct sum

$$\mathcal{D}_{\iota} = \bigoplus_{n=0}^{\infty} \mathcal{D}_{\iota n}.$$

". It might be useful to notice here that this is a direct sum of vector spaces, not of algebras (under the convolution $*$).

- **Page 5:** In the proof of Lemma 3.1, you write: "More generally, for any $l \geq 2$ and $k \geq 3$, let Δ_{l2} be [...]" . I don't see any reason to require $l \geq 2$ and $k \geq 3$ here; everything is just as correct for any $l \geq 0$ and $m \geq 0$.
- **Page 6:** In the proof of Lemma 3.1, the $\sum_{\sigma \in S_n}$ should be $\sum_{\sigma \in S_k}$.
- **Page 6:** In the proof of Lemma 3.1, you write: "If we apply Π_k to the whole sum" (in the fourth line of page 6). I think you are applying $\Pi_k^{\otimes k}$ here, not Π_k .
- **Page 6:** In the proof of Lemma 3.1, you have a typo: "Aplying" should be "Applying".
- **Page 6:** In the proof of Lemma 3.1, you write: "Now, this sum is equal to $\sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \sigma(x_1 \otimes \dots \otimes x_k)$, where σ denotes here the natural action of the symmetric group on $A^{\otimes n}$ ". First, there should be a whitespace after "Now,". Second, the $\sigma(x_1 \otimes \dots \otimes x_k)$ should be a $\sigma^{-1}(x_1 \otimes \dots \otimes x_k)$, because $\sigma(x_1 \otimes \dots \otimes x_k)$ is $x_{\sigma^{-1}(1)} \otimes \dots \otimes x_{\sigma^{-1}(k)}$ rather than $x_{\sigma(1)} \otimes \dots \otimes x_{\sigma(k)}$. Third, I think you mean $A^{\otimes k}$ instead of $A^{\otimes n}$ (unless you want to talk about general n).

- **Page 6:** In the proof of Lemma 3.1, you write: "Since the coproduct is cocommutative, we deduce that

$$(\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ (\Pi_k^{\otimes k}) \circ \Delta_{kk} \circ (\iota_{\lambda_1} \otimes \dots \otimes \iota_{\lambda_k}) = \sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \sigma \circ \Delta_k = \sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \Delta_k,$$

which implies (ii)." The σ here should be a σ^{-1} . (Also, what somewhat confused me is that cocommutativity is used in the passage from $\sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \sigma \circ \Delta_k$ to $\sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \Delta_k$, not in the passage from $(\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ (\Pi_k^{\otimes k}) \circ \Delta_{kk} \circ (\iota_{\lambda_1} \otimes \dots \otimes \iota_{\lambda_k})$ to $\sum (\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}) \circ \sigma \circ \Delta_k$. It thus would probably better to mention cocommutativity after the long equation rather than before it.)

- **Page 7:** In the proof of Theorem 3.4, you write: "Thus f is idempotent if and only if [...]" . But in general, only the "if" part of this is true (and fortunately, only the "if" part is needed), since nobody has told us that the ι_α are linearly independent.
- **Page 7:** In the proof of Theorem 3.4, it would be clearer if you replace $(n_1 + \dots + n_k)!/n_1! \dots n_k!$ by $(n_1 + \dots + n_k)!/(n_1! \dots n_k!)$. (I consider the notation $a/b_1 b_2 \dots b_k$ for $a/(b_1 b_2 \dots b_k)$ outdated and ambiguous, although it seems to be still in use.)
- **Page 7:** In Definition 4.1, I feel it would be good to point out three things explicitly:

– The "1" in " $F_\lambda^\iota := \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\iota\right) \circ E_\lambda^\iota$ " means the identity map $\text{id}_{A_n} \in \text{End}(A_n)$, not the unity of the algebra $\mathcal{L}(A)$.

– For $n = 0$, the element F_\emptyset^ι is defined as $E_\emptyset^\iota = \text{id}_{A_0} = \eta \circ \epsilon$ (here we are using the identification of $\text{End}(A_0)$ with the space of all graded endomorphisms of A whose image is $\subseteq A_0$). (While this can be seen as a consequence of the formula

$F_\lambda^\iota := \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\iota\right) \circ E_\lambda^\iota$ applied to $\lambda = ()$, it would be helpful to point this out explicitly).

– The maps F_λ^ι are called the "higher Lie idempotents of the second kind".

- **Page 7:** In Definition 4.1, it wouldn't harm to say that the "induction base" $F_{(n)}^\iota := E_{(n)}^\iota = \iota_n$ is, itself, a particular case of the "induction step" $F_\lambda^\iota := \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\iota\right) \circ E_\lambda^\iota$. In fact, if we substitute $\lambda = (n)$ in $F_\lambda^\iota := \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\iota\right) \circ E_\lambda^\iota$, then we get $F_{(n)}^\iota = \left(1 - \sum_{l(\mu) < l((n))} F_\mu^\iota\right) \circ E_{(n)}^\iota$, but the sum $\sum_{l(\mu) < l((n))} F_\mu^\iota$ is empty since $l((n)) = 1$, and thus this becomes $F_{(n)}^\iota = E_{(n)}^\iota$.

This fact allows us to use $F_\lambda^\iota = \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\iota\right) \circ E_\lambda^\iota$ not only for $\lambda \neq (n)$ but also for all λ . This is used in several proofs in your paper.

- **Page 7:** In the Remark 1) at the end of page 7, you made a typo: "othogonal" should be "orthogonal".

- **Page 9:** On the first line of this page, you write: " $F_\mu^\iota \circ F_\beta^\iota = \delta_{\mu\beta}$ ". This should be $F_\mu^\iota \circ F_\beta^\iota = \delta_{\mu\beta} F_\mu^\iota$. (The only thing you actually use, though, is that $F_\mu^\iota \circ F_\beta^\iota = 0$ for $\mu \neq \beta$ when $l(\mu)$ and $l(\beta)$ are both $< k$.)
- **Page 9:** In the proof of Theorem 4.3, you write: "we have by Def.4.1 that $E_\lambda^\iota(x) = F_\lambda^\iota(x)$ plus a sum of $E_{\lambda_1}^\iota \circ \dots \circ E_{\lambda_k}^\iota$ ". First, either you should replace the $E_\lambda^\iota(x)$ and $F_\lambda^\iota(x)$ here by E_λ^ι and F_λ^ι , or you should replace the $E_{\lambda_1}^\iota \circ \dots \circ E_{\lambda_k}^\iota$ by an $(E_{\lambda_1}^\iota \circ \dots \circ E_{\lambda_k}^\iota)(x)$. Second, "sum" is slightly imprecise; you mean a linear combination rather than a sum (the coefficients in this combination can be both $+1$ and -1).
- **Page 9:** In the proof of Theorem 4.3, you write: "the elements $(a_1, \dots, a_k) = (1/k!) \sum_{k \in S_k} a_{\sigma(1)} \dots a_{\sigma(k)}$ ". Replace $\sum_{k \in S_k}$ by $\sum_{\sigma \in S_k}$ here.
- **Page 9:** In the proof of Theorem 4.3, you write:
 "Since A is a graded cocommutative connected bialgebra of characteristic zero, it is by the Cartier-Milnor-Moore theorem isomorphic to the envelopping algebra of $\text{Prim}(A)$. Hence, by the Poincaré-Birkhoff-Witt theorem it is the direct sum of its subspaces A^λ , where for any partition λ , the latter subspace is spanned by the elements $(a_1, \dots, a_k) = (1/k!) \sum_{k \in S_k} a_{\sigma(1)} \dots a_{\sigma(k)}$, for any choice of homogeneous primitive elements a_i , with $\deg(a_i) = \lambda_i$ and $\lambda = (\lambda_1, \dots, \lambda_k)$."
 This is a correct argument (up to the typos I mentioned above), but somewhat an overkill. In fact, you only need the easy part of the Cartier-Milnor-Moore theorem¹ and only the easy part of the Poincaré-Birkhoff-Witt theorem² to show that A is the sum of its subspaces A^λ (we don't yet know that it is the *direct* sum), and this is already enough for your proof of Theorem 4.3. (I can detail this argument better if you wish, but I have a feeling that you already know this). Maybe you need something stronger (like the direct sum assertion) to prove Corollary 4.4 though (I don't understand your proof at the moment), but I would always try to do without - maybe this will net us an explicit constructive proof of Poincaré-Birkhoff-Witt or Cartier-Milnor-Moore at the end...
- **Page 9:** In the proof of Theorem 4.3, you write: "It is equal to $\sum_\mu \Pi_k \circ \iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k} \circ \Delta_k(a_1 \dots a_k)$ ". I would put the $\iota_{\mu_1} \otimes \dots \otimes \iota_{\mu_k}$ term in brackets here.
- **Page 9:** In the last absatz of page 9, you write: "the *cofree cocommutative coalgebra* on a vector space V ". But I think it is more common to say "over a vector space V " rather than "on a vector space V ". (You yourself say "over" in Corollary 4.4.)
- **Page 10:** In Corollary 4.4, replace " $\bigoplus_{n \in \mathbb{N}} \iota^{\otimes n} \circ \Delta_n$ " by " $\bigoplus_{n \in \mathbb{N}} \frac{1}{n!} \iota^{\otimes n} \circ \Delta_n$ " (otherwise, this map would not be a coalgebra homomorphism).
- **Page 10:** In Corollary 4.4, replace the \mapsto arrow by a \rightarrow arrow.

¹By the "easy part", I mean the statement that a graded cocommutative connected bialgebra over a field of characteristic 0 is always generated as an algebra by its primitive elements.

²Here, the "easy part" is the statement that the symmetrization map $S(\mathfrak{g}) \rightarrow U(\mathfrak{g})$ is surjective. (This only makes sense in characteristic 0.)

- **Page 10:** In Corollary 4.4, replace " $\frac{1}{l(\lambda)!} \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\nu\right) \circ \Pi_k$ " by " $\left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\nu\right) \circ \Pi_k$ " (this change is needed to "balance out" the $\frac{1}{n!}$ factor I added to " $\bigoplus_{n \in \mathbb{N}} l^{\otimes n} \circ \Delta_n$ ").

- **Page 10:** You write that "The corollary follows, once it is noted that $\text{Sym}^\lambda(\text{Prim}(A))$ is canonically isomorphic to A^λ , through the map Π_k ". I do understand why $\text{Sym}^\lambda(\text{Prim} A)$ is canonically isomorphic to A^λ through the map Π_k ³. But I don't understand how Corollary 4.4 follows from this! In particular, I don't see how the $\frac{1}{l(\lambda)!} \left(1 - \sum_{l(\mu) < l(\lambda)} F_\mu^\nu\right)$ term appears.

- **Page 11:** In the proof of Theorem 5.1, you write: "We multiply this by e_n on the right in $\mathcal{L}(A)$ ". I think this is confusing: Multiplying something in $\mathcal{L}(A)$

³In fact, let $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$. For every F -vector space V and every subset S of V , let $\langle S \rangle$ denote the F -linear span of the set S .

By the definition of $\text{Sym}^\lambda(\text{Prim} A)$, we know that $\text{Sym}^\lambda(\text{Prim} A)$ is the F -linear span of the elements $\frac{1}{k!} \sum_{\sigma \in S_k} x_{\sigma(1)} \otimes x_{\sigma(2)} \otimes \dots \otimes x_{\sigma(k)}$ where (x_1, x_2, \dots, x_k) ranges over all k -tuples of homogeneous elements of $\text{Prim} A$ satisfying $(\deg(x_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\})$. In other words,

$$\begin{aligned} \text{Sym}^\lambda(\text{Prim} A) &= \left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} x_{\sigma(1)} \otimes x_{\sigma(2)} \otimes \dots \otimes x_{\sigma(k)} \mid \text{all } x_i \text{ are homogeneous} \right. \right. \\ &\quad \left. \left. \text{elements of } \text{Prim} A \text{ and satisfy } \deg(x_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \\ &= \left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} x_{\sigma(1)} \otimes x_{\sigma(2)} \otimes \dots \otimes x_{\sigma(k)} \mid \text{all } x_i \text{ are primitive and homogeneous} \right. \right. \\ &\quad \left. \left. \text{elements of } A \text{ and satisfy } \deg(x_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \\ &= \left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \\ &\quad \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \end{aligned}$$

(here, we renamed x_i as a_i). In other words,

$$\begin{aligned} &\left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \\ &\quad \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \\ &= \text{Sym}^\lambda(\text{Prim} A). \end{aligned} \tag{A1}$$

By the definition of A^λ , we know that A^λ is the F -linear span of the elements $\frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} a_{\sigma(2)} \dots a_{\sigma(k)}$ where (a_1, a_2, \dots, a_k) ranges over all k -tuples of primitive homogeneous el-

means convolution, but you want composition. Maybe you could just say "We compose this with e_n on the right"?

- **Page 11:** In the proof of Theorem 5.1, you write:

"Thus we obtain $e_n = \alpha e_n$, since $e_\mu \circ e_n = 0$ by Lemma 3.2. Thus, in case $e_n \neq 0$, $\alpha = 1$; and in case $e_n = 0$, we must have also $\iota_n = 0$, and we may take $\alpha = 1$ in (*)."

This argument is correct, but I think it can be simplified as follows:

"Thus we obtain $e_n = \alpha e_n$, since $e_\mu \circ e_n = 0$ by Lemma 3.2. Thus, we can replace αe_n by e_n in (*), and get $\iota_n = e_n + \sum_\mu *e_\mu$."

elements of A satisfying $(\deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\})$. In other words,

$$\begin{aligned}
A^\lambda &= \left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} a_{\sigma(2)} \dots a_{\sigma(k)} \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \\
&\quad \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \\
&= \left\langle \left\{ \Pi_k \left(\frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \right) \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \\
&\quad \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right\rangle \\
&\quad \left(\text{since } \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} a_{\sigma(2)} \dots a_{\sigma(k)} = \Pi_k \left(\frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \right) \right. \\
&\quad \left. \text{for any } (a_1, a_2, \dots, a_k) \in A^k \right) \\
&= \left\langle \Pi_k \left(\left\{ \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \right. \\
&\quad \left. \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right) \right\rangle \\
&= \Pi_k \left(\left\langle \left\{ \frac{1}{k!} \sum_{\sigma \in S_k} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(k)} \mid \text{all } a_i \text{ are primitive and homogeneous} \right. \right. \right. \\
&\quad \left. \left. \left. \text{elements of } A \text{ and satisfy } \deg(a_i) = \lambda_i \text{ for all } i \in \{1, 2, \dots, k\} \right\} \right) \right) \\
&\quad (\text{since } \Pi_k \text{ is } F\text{-linear}) \\
&= \Pi_k \left(\text{Sym}^\lambda(\text{Prim } A) \right) \quad (\text{by (A1)}).
\end{aligned}$$

Hence, Π_k restricts to a surjective homomorphism $\text{Sym}^\lambda(\text{Prim } A) \rightarrow A^\lambda$.

Moreover, let $\tilde{\Pi}$ be the homomorphism $\bigoplus_{n \in \mathbb{N}} \Pi_n \mid_{((\text{Prim } A)^{\otimes n})^{S_n}} : \bigoplus_{n \in \mathbb{N}} ((\text{Prim } A)^{\otimes n})^{S_n} \rightarrow A$ (composed of the homomorphisms $\Pi_n \mid_{((\text{Prim } A)^{\otimes n})^{S_n}} : ((\text{Prim } A)^{\otimes n})^{S_n} \rightarrow A$ for all $n \in \mathbb{N}$). This homomorphism $\tilde{\Pi}$ sends $\frac{1}{n!} \sum_{\sigma \in S_n} a_{\sigma(1)} \otimes a_{\sigma(2)} \otimes \dots \otimes a_{\sigma(n)}$ to $\frac{1}{n!} \sum_{\sigma \in S_n} a_{\sigma(1)} a_{\sigma(2)} \dots a_{\sigma(n)}$ for every $n \in \mathbb{N}$ and $(a_1, a_2, \dots, a_n) \in (\text{Prim } A)^n$. According to the Poincaré-Birkhoff-Witt theorem, this homomorphism $\tilde{\Pi}$ is an isomorphism (since the Cartier-Milnor-Moore theorem yields $A \cong U(\text{Prim } A)$, and under the identification of A with $U(\text{Prim } A)$ the homomorphism $\tilde{\Pi}$ becomes the symmetrization map $S(\text{Prim } A) \rightarrow U(\text{Prim } A)$). Hence, $\tilde{\Pi}$ is injective.

This simplified argument has the additional advantage of being valid when k is not necessarily a field.

- **Page 11:** In the proof of Theorem 5.1, you made a typo: "matrix fom" should be "matrix form".
- **Page 11:** In the proof of Theorem 5.1, you write: "It is clear that (i) implies (iv)". But is this really clear on its own, or is it clear using the fact that $\mathcal{D}(A)$ is closed under convolution (a consequence of Theorem 9.2 in [R2], but [R2] only considers the case when A is the tensor algebra of an alphabet)?
- **Page 12:** In the proof of Lemma 5.3, replace $\iota_{\mu 2}$ by $\iota_{\mu 2}$ (you forgot to make the 2 an index).
- **Pages 12 and 13:** In the proof of Theorem 5.4, you write: "Moreover:

$$\left(\sum_{\mu < [n]} \mathcal{E}_{\mu}^{\iota} \right)^2 = (1 - \mathcal{E}_{[n]}^{\iota})^2 = 1 - \mathcal{E}_{[n]}^{\iota} = \sum_{\mu < [n]} \mathcal{E}_{\mu}^{\iota},$$

and:

$$\left(\sum_{\mu < [n]} \mathcal{E}_{\mu}^{\iota} \right) \circ \mathcal{E}_{[n]}^{\iota} = (1 - \mathcal{E}_{[n]}^{\iota}) \circ \mathcal{E}_{[n]}^{\iota} = 0.$$

”

These formulas are not literally true, because $\sum_{\mu < [n]} \mathcal{E}_{\mu}^{\iota}$ is $p_n - \mathcal{E}_{[n]}^{\iota}$ rather than

Now, since $\text{Sym}^{\lambda}(\text{Prim } A) \subseteq \left((\text{Prim } A)^{\otimes k} \right)^{S_k}$, we have

$$\begin{aligned} \tilde{\Pi} |_{\text{Sym}^{\lambda}(\text{Prim } A)} &= \underbrace{\left(\tilde{\Pi} |_{((\text{Prim } A)^{\otimes k})^{S_k}} \right)}_{= \Pi_k |_{((\text{Prim } A)^{\otimes k})^{S_k}}} |_{\text{Sym}^{\lambda}(\text{Prim } A)} \\ &\quad \text{(since } \tilde{\Pi} = \bigoplus_{n \in \mathbb{N}} \Pi_n |_{((\text{Prim } A)^{\otimes n})^{S_n}}) \\ &= \left(\Pi_k |_{((\text{Prim } A)^{\otimes k})^{S_k}} \right) |_{\text{Sym}^{\lambda}(\text{Prim } A)} = \Pi_k |_{\text{Sym}^{\lambda}(\text{Prim } A)}. \end{aligned}$$

Since $\tilde{\Pi} |_{\text{Sym}^{\lambda}(\text{Prim } A)}$ is injective (because $\tilde{\Pi}$ is injective), this yields that $\Pi_k |_{\text{Sym}^{\lambda}(\text{Prim } A)}$ is injective.

Now, consider the surjective homomorphism $\text{Sym}^{\lambda}(\text{Prim } A) \rightarrow A^{\lambda}$ to which Π_k restricts. This homomorphism is also injective (since $\Pi_k |_{\text{Sym}^{\lambda}(\text{Prim } A)}$ is injective), and thus it is an isomorphism. Thus, Π_k restricts to an isomorphism $\text{Sym}^{\lambda}(\text{Prim } A) \rightarrow A^{\lambda}$. Hence, $\text{Sym}^{\lambda}(\text{Prim } A)$ is isomorphic to A^{λ} through the map Π_k , qed.

$1 - \mathcal{E}_{[n]}^\iota$ (since

$$\begin{aligned} \sum_{\mu < [n]} \mathcal{E}_\mu^\iota + \mathcal{E}_{[n]}^\iota &= \sum_{\mu \leq [n]} \mathcal{E}_\mu^\iota = \sum_{\substack{\mu \text{ is a partition} \\ \text{of } n}} \mathcal{E}_\mu^\iota = \sum_{\substack{\lambda \text{ is a partition} \\ \text{of } n}} \underbrace{\sum_{\substack{\mu \text{ is a composition of } n; \\ p(\mu) = \lambda}} \mathcal{E}_\lambda^\iota}_{a_\mu^\iota \cdot \iota_\mu} \\ &= \sum_{\substack{\lambda \text{ is a partition} \\ \text{of } n}} \sum_{\substack{\mu \text{ is a composition of } n; \\ p(\mu) = \lambda}} a_\mu^\iota \cdot \iota_\mu = \sum_{\mu \text{ is a composition of } n} a_\mu^\iota \cdot \iota_\mu = p_n \\ &= \sum_{\mu \text{ is a composition of } n} \end{aligned}$$

). Only if you restrict all maps to the n -th graded component of A , these equations become true. Alternatively, you could replace these equations by

$$\left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right)^2 = (p_n - \mathcal{E}_{[n]}^\iota)^2 = \underbrace{p_n^2}_{=p_n} - \underbrace{\mathcal{E}_{[n]}^\iota \circ p_n}_{=\mathcal{E}_{[n]}^\iota} - \underbrace{p_n \circ \mathcal{E}_{[n]}^\iota}_{=\mathcal{E}_{[n]}^\iota} + \underbrace{(\mathcal{E}_{[n]}^\iota)^2}_{=\mathcal{E}_{[n]}^\iota} = p_n - \mathcal{E}_{[n]}^\iota = \sum_{\mu < [n]} \mathcal{E}_\mu^\iota,$$

and:

$$\left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right) \circ \mathcal{E}_{[n]}^\iota = (p_n - \mathcal{E}_{[n]}^\iota) \circ \mathcal{E}_{[n]}^\iota = \underbrace{p_n \circ \mathcal{E}_{[n]}^\iota}_{=\mathcal{E}_{[n]}^\iota} - \underbrace{(\mathcal{E}_{[n]}^\iota)^2}_{=\mathcal{E}_{[n]}^\iota} = 0.$$

A similar inaccuracy appears at the end of page 13: There you write

$$h \circ 1 = h \circ (h + g + k) = bh + h \circ g.$$

This is not wrong, but not exactly clear: Probably you want to say

$$h = h \circ p_n = h \circ (h + g + k) = bh + h \circ g.$$

- **Page 13:** You write: "In other words, $\mathcal{E}_{[n]}^\iota$ and $\sum_{\mu < [n]} \mathcal{E}_\mu^\iota$ are two orthogonal idempotents."

But in order to show this, you must not only prove that $(\mathcal{E}_{[n]}^\iota)^2 = \mathcal{E}_{[n]}^\iota$,

$\left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right)^2 = \sum_{\mu < [n]} \mathcal{E}_\mu^\iota$ and $\left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right) \circ \mathcal{E}_{[n]}^\iota = 0$ (this you have proven), but also

prove that $\mathcal{E}_{[n]}^\iota \circ \left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right) = 0$. This is easy, of course:

$$\mathcal{E}_{[n]}^\iota \circ \left(\sum_{\mu < [n]} \mathcal{E}_\mu^\iota \right) = \mathcal{E}_{[n]}^\iota \circ (p_n - \mathcal{E}_{[n]}^\iota) = \underbrace{\mathcal{E}_{[n]}^\iota \circ p_n}_{=\mathcal{E}_{[n]}^\iota} - \underbrace{(\mathcal{E}_{[n]}^\iota)^2}_{=\mathcal{E}_{[n]}^\iota} = 0.$$

But it should be mentioned, I think.

- **Page 14:** You write: "It follows that the coefficients a_μ^ϵ of the higher Lie idempotents of the third kind depend polynomially of ϵ ."

First, I don't understand how this follows from $p_n = \sum_{|\mu|=n} F_\mu^{\iota^\epsilon}$. While all $F_\mu^{\iota^\epsilon}$ are

(by definition) linear combinations (with constant coefficients) of *compositions* of various ι_ν^ϵ , it is not clear (to me) why they are linear combinations (with coefficients polynomial in ϵ) of *convolutions* of various ι_ν^ϵ . I do know that $\mathcal{D}_{\iota^\epsilon}$ is closed under convolution (by Theorem 5.1, since $\iota^\epsilon \in \langle \iota, e \rangle \subseteq \mathcal{D}(A)$), and this yields that they are linear combinations of convolutions of various ι_ν^ϵ , but why with coefficients polynomial in ϵ ?

Second, even if we can show that we can write p_n as a linear combination of ι_μ^ϵ with coefficients polynomial in ϵ , then it is not clear to me why these coefficients, when specializing at $\epsilon = 1$, become our a_μ^ι - in fact, the a_μ^ι are not always uniquely determined by $p_n = \sum_{|\mu|=n} a_\mu^\iota \iota_\mu$ (since the ι_μ are not always linearly independent),

so the a_μ^ι you have started with might not be the same as the a_μ^ι you get by writing p_n as a linear combination of ι_μ^ϵ and specializing at $\epsilon = 1$ (although both families of a_μ^ι satisfy $p_n = \sum_{|\mu|=n} a_\mu^\iota \iota_\mu$).

I am interested in how you actually show that the a_μ^ϵ depend polynomially of ϵ in such a way that specialization at $\epsilon = 1$ yields our initial a_μ^ι . I think I can show this (with some handwaving) under the additional condition that $a_{[n]}^\iota = 1$ for every n . Here is how my proof (roughly) goes:

Start with the equations $p_n = \sum_{|\mu|=n} a_\mu^\iota \iota_\mu$. By repeated convolution, these equations

yield equations of the form $p_\nu = \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} a_{\mu,\nu}^\iota \iota_\mu$ (with $a_{\mu,\nu}^\iota$ being scalars, and

$a_{\mu,[n]}^\iota = a_\mu^\iota$) for all partitions ν , where $\mu \geq \nu$ means that the composition μ can be obtained by splitting some parts of ν into smaller parts (this defines a partial order \geq on compositions). Since $a_{[n]}^\iota = 1$ for every n , we find that $a_{\nu,\nu}^\iota = 1$ for every composition ν . Now, the equations $p_\nu = \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} a_{\mu,\nu}^\iota \iota_\mu$ show us that $(a_{\mu,\nu}^\iota)_{|\mu|=|\nu|=n}$

is an upper triangular matrix, and the equations $a_{\nu,\nu}^\iota = 1$ show that its diagonal entries are = 1. Hence, it has an inverse matrix $(b_{\mu,\nu}^\iota)_{|\mu|=|\nu|=n}$ which satisfies $\iota_\nu = \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} b_{\mu,\nu}^\iota p_\mu$ for all compositions ν , and again is upper triangular and has

diagonal entries = 1. The same argument, done for e instead of ι , shows that there exists a matrix $(b_{\mu,\nu}^e)_{|\mu|=|\nu|=n}$ which satisfies $e_\nu = \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} b_{\mu,\nu}^e p_\mu$ for all com-

positions ν , and again is upper triangular and has its diagonal entries = 1. Now,

the matrix $(\epsilon \cdot b_{\mu,\nu}^t + (1 - \epsilon) \cdot b_{\mu,\nu}^e)_{|\mu|=|\nu|=n}$ satisfies

$$\begin{aligned} \iota_\nu^\epsilon &= \epsilon \cdot \iota_\nu + (1 - \epsilon) \cdot e_\nu = \epsilon \cdot \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} b_{\mu,\nu}^t p_\mu + (1 - \epsilon) \cdot \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} b_{\mu,\nu}^e p_\mu \\ &= \sum_{\substack{|\mu|=|\nu|; \\ \mu \geq \nu}} (\epsilon \cdot b_{\mu,\nu}^t + (1 - \epsilon) \cdot b_{\mu,\nu}^e) p_\mu \end{aligned}$$

for all compositions ν , and again is upper triangular and has its diagonal entries = 1. Hence, its inverse matrix $(a_{\mu,\nu}^{\epsilon})_{|\mu|=|\nu|=n}$ satisfies $p_n = \sum_{|\mu|=n} a_{\mu,[n]}^{\epsilon} \iota_\mu^\epsilon$, but

its entries $a_{\mu,\nu}^{\epsilon}$ are polynomials in the entries of $(\epsilon \cdot b_{\mu,\nu}^t + (1 - \epsilon) \cdot b_{\mu,\nu}^e)_{|\mu|=|\nu|=n}$ (because if C is an upper triangular matrix with diagonal entries = 1, then the entries of C^{-1} are polynomials in the entries of C), and thus polynomials in ϵ . This gives us what we want.

But I cannot get rid of the condition that $a_{[n]}^t = 1$ for every n (not only for the one we are working with, but also for the smaller n , because we need all $a_{\nu,\nu}^t$ to be 1).

HOWEVER, I think that I can modify your proof of Theorem 5.4 in a different way to make it valid:

First of all, let us generalize the results of Section 3 from one Lie idempotent to two Lie idempotents:⁴

Lemma 5.6. Let ι and ρ be two Lie idempotents. Then, any two compositions λ and μ such that $|\lambda| \neq |\mu|$ satisfy $\iota_\lambda \circ \rho_\mu = 0$.

This is a very obvious fact (it is obvious because the image of ρ_μ lies in the $|\mu|$ -th graded component of H , whereas ι_λ sends every graded component of H except of the $|\lambda|$ -th one to 0), and it generalizes the property $\iota_\lambda \circ \iota_\mu = 0$ for $|\lambda| \neq |\mu|$.

Less trivially, we have:

Lemma 5.7. Let ι and ρ be two Lie idempotents. Let μ and λ be two compositions of the same weight and the same length k .

- (i) If $p(\lambda) \neq p(\mu)$, then $\iota_\mu \circ \rho_\lambda = 0$.
- (ii) If $p(\lambda) = p(\mu)$, then $\iota_\mu \circ \rho_\lambda = N \rho_\mu$, where N is the number of permutations of $\{1, 2, \dots, k\}$ which act trivially on the sequence $p(\mu) = p(\lambda)$. (This number N only depends on $p(\lambda) = p(\mu)$, and will often be denoted by $N(p(\lambda))$ or by $N(\lambda)$.)

For the proof of Lemma 5.7, proceed in the same way as in the proof of Lemma 3.1. You will need the identity $\iota \circ \rho = \rho$, which follows from $\iota|_{\text{Prim } A} = \text{id}_{\text{Prim } A}$ (because both ι and ρ are Lie idempotents, i. e., projections on $\text{Prim } A$).

Similarly:

Lemma 5.8. Let ι and ρ be two Lie idempotents. Let μ and λ be two compositions of the same weight such that $l(\mu) > l(\lambda)$. Then $\iota_\mu \circ \rho_\lambda = 0$.

This is proven in the same way as Lemma 3.2.

Next, we need a kind of generalization of Lemma 5.3:

Lemma 5.9. Let ι and ρ be two Lie idempotents. Let λ be a partition. For

⁴In the following Lemmas 5.6, 5.7, 5.8 and 5.9, we don't assume that $\mathcal{D}(A) = \mathcal{D}_\iota$.

every composition μ with $p(\mu) = \lambda$, let b_μ^t and b_μ^ρ be two scalars. Then,

$$\left(\sum_{p(\mu)=\lambda} b_\mu^t \iota_\mu \right) \circ \left(\sum_{p(\mu)=\lambda} b_\mu^\rho \rho_\mu \right) = \left(\sum_{p(\mu)=\lambda} b_\mu^t \right) N \left(\sum_{p(\mu)=\lambda} b_\mu^\rho \rho_\mu \right),$$

where N is the number of permutations of $\{1, 2, \dots, k\}$ which act trivially on the sequence λ .

The proof of this lemma proceeds in the same way as the identity $\left(\sum_{p(\mu)=\lambda} b_\mu \iota_\mu \right)^2 = \left(\sum_{p(\mu)=\lambda} b_\mu \right) N \left(\sum_{p(\mu)=\lambda} b_\mu \iota_\mu \right)$ was proven in the proof of Lemma 5.3. Here are the details of the proof:

Proof of Lemma 5.9. For every composition μ satisfying $p(\mu) = \lambda$, we know that N is the number of permutations of $\{1, 2, \dots, k\}$ which act trivially on the sequence $p(\mu)$ (because N is defined as the number of permutations of $\{1, 2, \dots, k\}$ which act trivially on the sequence λ , but we have $\lambda = p(\mu)$). Hence, for every composition μ satisfying $p(\mu) = \lambda$, we have $i_\mu \circ \rho_\mu = N \rho_\mu$ (by Lemma 5.7 (ii), applied to μ instead of λ). Since composition of linear maps is bilinear, we have

$$\begin{aligned} & \left(\sum_{p(\mu)=\lambda} b_\mu^t \iota_\mu \right) \circ \left(\sum_{p(\mu)=\lambda} b_\mu^\rho \rho_\mu \right) \\ &= \sum_{p(\mu)=\lambda} \sum_{p(\mu)=\lambda} b_\mu^t b_\mu^\rho \underbrace{\iota_\mu \circ \rho_\mu}_{=N \rho_\mu} = N \sum_{p(\mu)=\lambda} \sum_{p(\mu)=\lambda} b_\mu^t b_\mu^\rho \rho_\mu \\ &= \left(\sum_{p(\mu)=\lambda} b_\mu^t \right) N \left(\sum_{p(\mu)=\lambda} b_\mu^\rho \rho_\mu \right) \quad (\text{since composition of linear maps is bilinear}). \end{aligned}$$

This proves Lemma 5.9.

Now to the *proof of Theorem 5.4*. We proceed in the same way as you do (with one exception: we don't have to assume $h \neq 0$) until your Claim 5.5 (which we cannot make anymore, since we haven't assumed that $h \neq 0$). Then, just as you, we prove $h \circ g = (1 - b)h$ and $k \circ g = (b - 1)h$. Now I am going to show that $h^2 = h$.

First of all, we have $p_n = \sum_{|\mu|=n} \frac{1}{n!} e_\mu$ ⁵. Let us define a scalar a_μ^e by $a_\mu^e = \frac{1}{n!}$ for

every partition μ . Then, $p_n = \sum_{|\mu|=n} \underbrace{\frac{1}{n!}}_{=a_\mu^e} e_\mu = \sum_{|\mu|=n} a_\mu^e e_\mu$. Hence, in the same way

as we defined an element \mathcal{E}_λ^t for every partition λ in Definition 5.2, we can define

⁵This is a known fact (I knew it in the form $p_n = \sum_{\ell=0}^n \frac{1}{\ell!} \sum_{\substack{(a_1, a_2, \dots, a_\ell) \in \{1, 2, \dots, n\}^\ell; \\ n = a_1 + a_2 + \dots + a_\ell}} (e_{a_1} * e_{a_2} * \dots * e_{a_\ell})$).

It can be easily derived from the fact that $e = \log_*(\text{id})$, so that $\text{id} = \exp_* e = \exp_*(e_1 + e_2 + e_3 + \dots)$.

an element \mathcal{E}_λ^e for every partition λ by the formula

$$\mathcal{E}_\lambda^e := \sum_{p(\mu)=\lambda} \underbrace{a_\mu^e}_{=\frac{1}{n!}} \cdot e_\mu = \sum_{p(\mu)=\lambda} \frac{1}{n!} e_\mu.$$

From Lemmas 5.7 and 5.8 (applied to e and ι instead of ι and ρ), we conclude that $\mathcal{E}_\lambda^e \circ \mathcal{E}_\mu^\iota = 0$ for every partition $\mu < \lambda$. Hence,

$$\mathcal{E}_\lambda^e \circ \underbrace{k}_{=\sum_{\mu<\lambda} \mathcal{E}_\mu^\iota} = \mathcal{E}_\lambda^e \circ \left(\sum_{\mu<\lambda} \mathcal{E}_\mu^\iota \right) = \sum_{\mu<\lambda} \underbrace{\mathcal{E}_\lambda^e \circ \mathcal{E}_\mu^\iota}_{=0 \text{ (since } \mu < \lambda)} = 0.$$

On the other hand, for every partition λ , let $N(\lambda)$ denote the number of permutations of $\{1, 2, \dots, k\}$ which act trivially on the sequence λ . We have $\mathcal{E}_\lambda^e =$

$$\sum_{p(\mu)=\lambda} \frac{1}{n!} e_\mu \text{ and } h = \mathcal{E}_\lambda^\iota = \sum_{p(\mu)=\lambda} a_\mu^\iota e_\mu, \text{ so that}$$

$$\begin{aligned} \mathcal{E}_\lambda^e \circ h &= \left(\sum_{p(\mu)=\lambda} \frac{1}{n!} e_\mu \right) \circ \left(\sum_{p(\mu)=\lambda} a_\mu^\iota e_\mu \right) = \left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) \cdot \underbrace{\left(\sum_{p(\mu)=\lambda} a_\mu^\iota e_\mu \right)}_{=h} \\ &\quad \left(\text{by Lemma 5.9, applied to } N(\lambda), \frac{1}{n!}, a_\mu^\iota, e \text{ and } \iota \text{ instead of } N, b_\mu^\iota, b_\mu^\rho, \iota \text{ and } \rho \right) \\ &= \left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) h. \end{aligned}$$

Now, compare

$$\underbrace{\mathcal{E}_\lambda^e \circ k}_{=0} \circ g = 0 \circ g = 0$$

with

$$\mathcal{E}_\lambda^e \circ \underbrace{k \circ g}_{=(b-1)h} = (b-1) \underbrace{\mathcal{E}_\lambda^e \circ h}_{=\left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) h} = (b-1) \left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) h.$$

This yields

$$(b-1) \left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) h = 0.$$

Since $\left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda)$ is invertible in k (in fact, $\left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) \neq 0$ obviously; we can even prove that $\left(\sum_{p(\mu)=\lambda} \frac{1}{n!} \right) N(\lambda) = 1$, but we don't need this),

this becomes $(b - 1)h = 0$, so that $h = bh$. Compared with $h \circ h = bh$ (which follows from the proof of Lemma 5.3), this yields $h \circ h = h$, so that h is an idempotent.

Since $g^2 = g$ (because $g = \sum_{\mu > \lambda} \mathcal{E}_\mu^\iota$, and by the induction assumption the \mathcal{E}_μ^ι are orthogonal idempotents), $h \circ g = (1 - b)h = -\underbrace{(b - 1)h}_{=0} = 0$ and $k \circ g = (b - 1)h =$

0, we can continue the proof as you do after you prove Claim 5.5. This proves Theorem 5.4.

- **Page 14:** There is a typo: $b_\lambda^{\iota^\epsilon}$ should be b_λ^ϵ .
- **Page 15:** You write: "and the proof of theorem 5.3 is complete". The theorem is Theorem 5.4, not 5.3.
- **Page 16:** In reference [R1], typo: "representations".