The one-sided cycle shuffles, and other mysteries and wonders of the symmetric group algebra [talk slides]

Darij Grinberg joint work with Nadia Lafrenière

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Elements in the group algebra of a symmetric group S_n are known to have an interpretation in terms of card shuffling. I will discuss a new family of such elements, recently constructed by Nadia Lafrenière:

Given a positive integer *n*, we define *n* elements $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$ in the group algebra of S_n by

 \mathbf{t}_i = the sum of the cycles (*i*), (*i*,*i*+1), (*i*,*i*+1,*i*+2), ..., (*i*,*i*+1,...,*n*),

where the cycle (i) is the identity permutation. The first of them, \mathbf{t}_1 , is known as the top-to-random shuffle and has been studied by Diaconis, Fill, Pitman (among others).

The *n* elements $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$ do not commute. However, we show that they can be simultaneously triangularized in an appropriate basis of the group algebra (the "descent-destroying basis"). As a conse-

quence, any rational linear combination of these *n* elements has rational eigenvalues. The maximum number of possible distinct eigenvalues turns out to be the Fibonacci number f_{n+1} , and underlying this fact is a filtration of the group algebra connected to "lacunar subsets" (i.e., subsets containing no consecutive integers).

This talk will include an overview of other families (both wellknown and exotic) of elements of these group algebras. I will also briefly discuss the probabilistic meaning of these elements as well as many tempting conjectures.

This is joint work with Nadia Lafrenière.

Preprints on one-sided cycle shuffles:

- Darij Grinberg and Nadia Lafrenière, *The one-sided cycle shuffles in the symmetric group algebra*, arXiv:2212.06274, https://www.cip.ifi.lmu.de/~grinberg/algebra/s2b1.pdf https://darijgrinberg.gitlab.io/algebra/s2b1.pdf Published in: Algebraic Combinatorics 7 (2024) no. 2, pp. 275– 326.
- Darij Grinberg, Commutator nilpotency for somewhere-to-below shuffles, arXiv:2309.05340, https://www.cip.ifi.lmu.de/~grinberg/algebra/s2b2.pdf https://darijgrinberg.gitlab.io/algebra/s2b2.pdf
- Darij Grinberg, The representation theory of somewhere-to-below shuffles, rough draft, https://www.cip.ifi.lmu.de/~grinberg/algebra/s2b3.pdf https://darijgrinberg.gitlab.io/algebra/s2b3.pdf

Preprint on row-to-row-sums:

• Darij Grinberg, *Rook sums in the symmetric group algebra*, outline 2024. https://www.cip.ifi.lmu.de/~grinberg/algebra/rooksn.pdf

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https://darijgrinberg.gitlab.io/algebra/rooksn.pdf
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Slides of this talk:

• https://www.cip.ifi.lmu.de/~grinberg/algebra/dc2023.pdf https://darijgrinberg.gitlab.io/algebra/dc2023.pdf

Items marked with (*) are more important.

FPSAC abstract on one-sided cycle shuffles:

• https://www.cip.ifi.lmu.de/~grinberg/algebra/fps2024sn.pdf https://darijgrinberg.gitlab.io/algebra/fps2024sn.pdf

1. Finite group algebras

1.1. Finite group algebras

• This talk is mainly about a certain family of elements of the group algebra of the symmetric group S_n . But I shall begin with some generalities.



* Let **k** be any commutative ring (but $\mathbf{k} = \mathbb{Z}$ is enough for most of our results).



* Let G be a finite group. (It will be a symmetric group from the next chapter onwards.)

* Let $\mathbf{k}[G]$ be the group algebra of G over \mathbf{k} . Its elements are formal k-linear combinations of elements of G. The multiplication is inherited from *G* and extended bilinearly.

• **Example:** Let *G* be the symmetric group S_3 on the set $\{1, 2, 3\}$. For $i \in \{1, 2\}$, let $s_i \in S_3$ be the simple transposition that swaps *i* with *i* + 1. Then, in $\mathbf{k}[G] = \mathbf{k}[S_3]$, we have

$$(1+s_1)(1-s_1) = 1 + s_1 - s_1 - s_1^2 = 1 + s_1 - s_1 - 1 = 0;$$

(1+s_2)(1+s_1+s_1s_2) = 1 + s_2 + s_1 + s_2s_1 + s_1s_2 + s_2s_1s_2 = $\sum_{w \in S_3} w.$

1.2. Left and right actions of u on $\mathbf{k}[G]$

* For each $\mathbf{u} \in \mathbf{k}[G]$, we define two k-linear maps

$$\begin{array}{l} L\left(\mathbf{u}\right):\mathbf{k}\left[G\right]\rightarrow\mathbf{k}\left[G\right],\\ \mathbf{x}\mapsto\mathbf{u}\mathbf{x} & (\text{``left multiplication by }\mathbf{u''}) \end{array}$$

and

$$\begin{array}{l} R\left(\mathbf{u}\right):\mathbf{k}\left[G\right]\to\mathbf{k}\left[G\right],\\ \mathbf{x}\mapsto\mathbf{x}\mathbf{u} \qquad \left(\text{"right multiplication by }\mathbf{u}"\right). \end{array}$$

(So $L(\mathbf{u})(\mathbf{x}) = \mathbf{u}\mathbf{x}$ and $R(\mathbf{u})(\mathbf{x}) = \mathbf{x}\mathbf{u}$.)

• (Note: I will try to consistently use boldface letters for elements of $\mathbf{k}[G]$, such as \mathbf{x} and \mathbf{u} here.)

- Both L (u) and R (u) belong to the endomorphism ring End_k (k [G]) of the k-module k [G]. This ring is essentially a |G| × |G|-matrix ring over k. Thus, L (u) and R (u) can be viewed as |G| × |G|-matrices.
- Studying **u**, *L*(**u**) and *R*(**u**) is often (but not always) equivalent, because the maps

$$L: \mathbf{k} [G] \to \operatorname{End}_{\mathbf{k}} (\mathbf{k} [G]) \quad \text{and} \\ R: \underbrace{(\mathbf{k} [G])^{\operatorname{op}}}_{\operatorname{opposite ring}} \to \operatorname{End}_{\mathbf{k}} (\mathbf{k} [G])$$

are two injective \mathbf{k} -algebra morphisms (known as the left and right regular representations of the group *G*).

1.3. Minimal polynomials

★ Each $\mathbf{u} \in \mathbf{k}[G]$ has a **minimal polynomial**, i.e., a minimumdegree monic polynomial $P \in \mathbf{k}[X]$ such that $P(\mathbf{u}) = 0$. It is unique when \mathbf{k} is a field.

The minimal polynomial of **u** is also the minimal polynomial of the endomorphisms $L(\mathbf{u})$ and $R(\mathbf{u})$.

- Proposition 1.1. Let u ∈ Z [G]. Then, the minimal polynomial of u over Q is actually in Z [X], and is the minimal polynomial of u over Z as well.
- *Proof:* Follow the standard proof that the minimal polynomial of an algebraic number is in $\mathbb{Z}[X]$. (Use Gauss's Lemma.)

1.4. Left and right are usually conjugate

• Theorem 1.2. Assume that **k** is a field. Let $\mathbf{u} \in \mathbf{k}[G]$. Then, $L(\mathbf{u}) \sim R(\mathbf{u})$ as endomorphisms of $\mathbf{k}[G]$.

Note: The symbol ~ means "conjugate to". Thinking of these endomorphisms as $|G| \times |G|$ -matrices, this is just similarity of matrices.

- We will see a proof of this soon.
- Note: L(u) ~ R(u) would fail if G was merely a monoid, or if k was merely a commutative ring (e.g., for k = Q[t] and G = S₃).

1.5. The antipode

The antipode of the group algebra k [G] is defined to be the k-linear map

 $S: \mathbf{k}[G] \to \mathbf{k}[G],$ $g \mapsto g^{-1}$ for each $g \in G.$

- **Proposition 1.3.** The antipode *S* is an involution (that is, *S* \circ *S* = id) and a k-algebra anti-automorphism (that is, *S* (ab) = *S* (b) · *S* (a) for all a, b).
- Lemma 1.4. Assume that **k** is a field. Let $\mathbf{u} \in \mathbf{k}[G]$. Then, $L(\mathbf{u}) \sim L(S(\mathbf{u}))$ in $\operatorname{End}_{\mathbf{k}}(\mathbf{k}[G])$.
- *Proof:* Consider the standard basis $(g)_{g \in G}$ of $\mathbf{k}[G]$. The matrix representing the endomorphism $L(S(\mathbf{u}))$ in this basis is the transpose of the matrix representing $L(\mathbf{u})$. But the Taussky–Zassenhaus theorem says that over a field, each matrix A is similar to its transpose A^T .
- Lemma 1.5. Let $\mathbf{u} \in \mathbf{k}[G]$. Then, $L(S(\mathbf{u})) \sim R(\mathbf{u})$ in $\operatorname{End}_{\mathbf{k}}(\mathbf{k}[G])$.
- *Proof:* We have $R(\mathbf{u}) = S \circ L(S(\mathbf{u})) \circ S$ and $S = S^{-1}$.
- *Proof of Theorem 1.2:* Combine Lemma 1.4 with Lemma 1.5.
- **Remark (Martin Lorenz).** Theorem 1.2 generalizes to arbitrary Frobenius algebras.
- Remark. Let u ∈ k [G]. Even if k = C, we don't always have u ~ S (u) in k [G] (easy counterexample for G = C₃).

2. The symmetric group algebra

2.1. Symmetric groups

- * Let $\mathbb{N} := \{0, 1, 2, \ldots\}.$
- * Let $[k] := \{1, 2, ..., k\}$ for each $k \in \mathbb{N}$.

Now, fix a positive integer n, and let S_n be the *n*-th symmetric **group**, i.e., the group of permutations of the set [n].

Multiplication in S_n is composition:

 $(\alpha\beta)(i) = (\alpha \circ \beta)(i) = \alpha(\beta(i))$ for all $\alpha, \beta \in S_n$ and $i \in [n]$.

(Warning: SageMath has a different opinion!)

2.2. Symmetric group algebras

- What can we say about the group algebra $\mathbf{k}[S_n]$ that doesn't hold for arbitrary $\mathbf{k}[G]$?
- There is a classical theory ("Young's seminormal form") of the structure of $\mathbf{k}[S_n]$ when \mathbf{k} has characteristic 0. Two modern treatments are
 - Adriano M. Garsia, Ömer Egecioglu, Lectures in Algebraic Combinatorics, Springer 2020.
 - Murray Bremner, Sara Madariaga, Luiz A. Peresi, Structure theory for the group algebra of the symmetric group, ..., Commentationes Mathematicae Universitatis Carolinae, 2016.

The best source I know (dated but readable and careful) is:

- Daniel Edwin Rutherford, Substitutional Analysis, Edinburgh 1948.
- Theorem 2.1 (Artin–Wedderburn–Young). If k is a field of characteristic 0, then

$$\mathbf{k}[S_n] \cong \prod_{\lambda \text{ is a partition of } n} \underbrace{\mathbf{M}_{f_{\lambda}}(\mathbf{k})}_{\text{matrix ring}} \qquad (\text{as } \mathbf{k}\text{-algebras}),$$

where f_{λ} is the number of standard Young tableaux of shape λ .



• Proof: This follows from Young's seminormal form. For the shortest readable proof, see Theorem 1.45 in Bremner/Madariaga/Peresi.

Alternatively, see §5.14 in my Introduction to the Symmetric Group Algebra.

2.3. Antipodal conjugacy

- ***** Theorem 2.2. Let **k** be a field of characteristic 0. Let $\mathbf{u} \in \mathbf{k}[S_n]$. Then, $\mathbf{u} \sim S(\mathbf{u})$ in $\mathbf{k}[S_n]$.
 - Proof: Again use Young's seminormal form. Under the isomorphism $\mathbf{k}[S_n] \cong$ $M_{f_{\lambda}}(\mathbf{k})$, the matrices correspond- λ is a partition of *n* ing to $S(\mathbf{u})$ are the transposes of the matrices corresponding to **u** (this follows from (2.3.40) in Garsia/Egecioglu). Now, use the Taussky–Zassenhaus theorem again.
 - Alternative proof: See §5.20 in my Introduction to the Symmetric Group Algebra.
 - Alternative proof: More generally, let G be an **ambivalent** finite group (i.e., a finite group in which each $g \in G$ is conjugate to g^{-1}). Let $\mathbf{u} \in \mathbf{k}[G]$. Then, $\mathbf{u} \sim S(\mathbf{u})$ in $\mathbf{k}[G]$. To prove this, pass to the algebraic closure of k. By Artin–Wedderburn, it suffices to show that **u** and $S(\mathbf{u})$ act by similar matrices on each irreducible *G*-module *V*. But this is easy: Since *G* is ambivalent, we have $V \cong V^*$ and thus

$$(\mathbf{u} \mid_{V}) \sim (\mathbf{u} \mid_{V^{*}}) \sim (S(\mathbf{u}) \mid_{V})^{T} \sim (S(\mathbf{u}) \mid_{V})$$

(by Taussky–Zassenhaus).

• Note. Characteristic 0 is needed!



3. The Young–Jucys–Murphy elements

- From now on, we shall discuss concrete elements in $\mathbf{k}[S_n]$.

* For any distinct elements i_1, i_2, \ldots, i_k of [n], let $cyc_{i_1, i_2, \ldots, i_k}$ be the permutation in S_n that cyclically permutes $i_1 \mapsto i_2 \mapsto i_3 \mapsto$ $\cdots \mapsto i_k \mapsto i_1$ and leaves all other elements of [n] unchanged.

- Note. We have $cyc_i = id;$ $cyc_{i,i}$ is a transposition.
- ***** For each $k \in [n]$, we define the k-th Young–Jucys–Murphy (YJM) element

$$\mathbf{m}_k := \operatorname{cyc}_{1,k} + \operatorname{cyc}_{2,k} + \cdots + \operatorname{cyc}_{k-1,k} \in \mathbf{k} \left[S_n \right].$$

- Note. We have $\mathbf{m}_1 = 0$. Also, $S(\mathbf{m}_k) = \mathbf{m}_k$ for each $k \in [n]$.
- * Theorem 3.1. The YJM elements $\mathbf{m}_1, \mathbf{m}_2, \ldots, \mathbf{m}_n$ commute: We have $\mathbf{m}_i \mathbf{m}_j = \mathbf{m}_j \mathbf{m}_i$ for all i, j.
 - *Proof:* Easy computational exercise.

Theorem 3.2. The minimal polynomial of \mathbf{m}_k over \mathbb{Q} divides

$$\prod_{k=-k+1}^{k-1} (X-i) = (X-k+1) (X-k+2) \cdots (X+k-1).$$

(For $k \leq 3$, some factors here are redundant.)

- *First proof:* Study the action of **m**_k on each Specht module (simple S_n -module). See, e.g., G. E. Murphy, A New Construction of Young's Seminormal Representation ..., 1981 for details.
- Second proof (Igor Makhlin): Some linear algebra does the trick. Induct on k using the facts that \mathbf{m}_k and \mathbf{m}_{k+1} are simultaneously diagonalizable over \mathbb{C} (since they are symmetric as real matrices and commute) and satisfy $s_k \mathbf{m}_{k+1} = \mathbf{m}_k s_k + 1$, where $s_k := \operatorname{cyc}_{k k+1}$. See https://mathoverflow.net/a/83493/ for details.
- More results and context can be found in §3.3 in Ceccherini-Silberstein/Scarabotti/Tolli, *Representation Theory of the Symmetric Groups*, 2010.

- Question. Is there a self-contained algebraic/combinatorial proof of Theorem 3.2 without linear algebra or representation theory? (Asked on MathOverflow: https://mathoverflow.net/ questions/420318/.)
- **Theorem 3.3.** For each $k \in \mathbb{N}$, we can evaluate the *k*-th elementary symmetric polynomial e_k at the YJM elements $\mathbf{m}_1, \mathbf{m}_2, \ldots, \mathbf{m}_n$ to obtain

$$e_k(\mathbf{m}_1,\mathbf{m}_2,\ldots,\mathbf{m}_n) = \sum_{\substack{\sigma \in S_n; \\ \sigma \text{ has exactly } n-k \text{ cycles}}} \sigma.$$

- *Proof:* Nice homework exercise (once stripped of the algebra). See Corollary 3.8.20 in my *Introduction to the Symmetric Group Algebra*.
- There are formulas for other symmetric polynomials applied to m₁, m₂,..., m_n (see Garsia/Egecioglu).
- Theorem 3.4 (Murphy).

{ $f(\mathbf{m}_1, \mathbf{m}_2, ..., \mathbf{m}_n) \mid f \in \mathbf{k}[X_1, X_2, ..., X_n]$ symmetric} = (center of the group algebra $\mathbf{k}[S_n]$).

- *Proof:* See any of:
 - Gadi Moran, The center of $\mathbb{Z}[S_{n+1}]$..., 1992.
 - G. E. Murphy, *The Idempotents of the Symmetric Group* ..., 1983, Theorem 1.9 (for the case $\mathbf{k} = \mathbb{Z}$, but the general case easily follows).
 - Ceccherini-Silberstein/Scarabotti/Tolli, *Representation Theory of the Symmetric Groups*, 2010, Theorem 4.4.5 (for the case k = Q, but the proof is easily adjusted to all k).

A. The card shuffling point of view

 Permutations are often visualized as shuffled decks of cards: Imagine a deck of cards labeled 1, 2, ..., n.

A permutation $\sigma \in S_n$ corresponds to the **state** in which the cards are arranged $\sigma(1), \sigma(2), \ldots, \sigma(n)$ from top to bottom.

- A random state is an element $\sum_{\sigma \in S_n} a_{\sigma} \sigma$ of $\mathbb{R}[S_n]$ whose coefficients $a_{\sigma} \in \mathbb{R}$ are nonnegative and add up to 1. This is interpreted as a distribution on the *n*! possible states, where a_{σ} is the probability for the deck to be in state σ .
- We drop the "add up to 1" condition, and only require that $\sum_{\sigma \in S_n} a_{\sigma} > 0$. The probabilities must then be divided by $\sum_{\sigma \in S_n} a_{\sigma}$.
- For instance, $1 + \text{cyc}_{1,2,3}$ corresponds to the random state in which the deck is sorted as 1, 2, 3 with probability $\frac{1}{2}$ and sorted as 2, 3, 1 with probability $\frac{1}{2}$.
- An \mathbb{R} -vector space endomorphism of $\mathbb{R}[S_n]$, such as $L(\mathbf{u})$ or $R(\mathbf{u})$ for some $\mathbf{u} \in \mathbb{R}[S_n]$, acts as a **(random) shuffle**, i.e., a transformation of random states. This is just the standard way how Markov chains are constructed from transition matrices.
- For example, if k > 1, then the right multiplication $R(\mathbf{m}_k)$ by the YJM element \mathbf{m}_k corresponds to swapping the *k*-th card with some card above it chosen uniformly at random.
- Transposing such a matrix performs a time reversal of a random shuffle.

4. Top-to-random and random-to-top shuffles



* Another family of elements of $\mathbf{k}[S_n]$ are the *k*-top-to-random shuffles

$$\mathbf{B}_k := \sum_{\substack{\sigma \in S_n; \\ \sigma^{-1}(k+1) < \sigma^{-1}(k+2) < \dots < \sigma^{-1}(n)}} \sigma$$

defined for all $k \in \{0, 1, \ldots, n\}$. Thus,

$$\mathbf{B}_{n-1} = \mathbf{B}_n = \sum_{\sigma \in S_n} \sigma;$$

$$\mathbf{B}_1 = \operatorname{cyc}_1 + \operatorname{cyc}_{1,2} + \operatorname{cyc}_{1,2,3} + \dots + \operatorname{cyc}_{1,2,\dots,n};$$

$$\mathbf{B}_0 = \operatorname{id}.$$

- As a random shuffle, \mathbf{B}_k (to be precise, $R(\mathbf{B}_k)$) takes the top k cards and moves them to random positions.
- **B**₁ is known as the **top-to-random shuffle** or the **Tsetlin library**. (Why "library"? Instead of a deck of cards, think of a bookshelf. Then, \mathbf{B}_1 is taking the leftmost book and placing it in a random position.)
- Theorem 4.1 (Diaconis, Fill, Pitman). We have

$$\mathbf{B}_{k+1} = (\mathbf{B}_1 - k) \mathbf{B}_k$$
 for each $k \in \{0, 1, ..., n-1\}$.

• Corollary 4.2. The n + 1 elements $\mathbf{B}_0, \mathbf{B}_1, \ldots, \mathbf{B}_n$ commute and are polynomials in **B**₁, namely

$$\mathbf{B}_k = \prod_{i=0}^{k-1} (\mathbf{B}_1 - i)$$
 for each $k \in \{0, 1, ..., n\}$.

• **Theorem 4.3 (Wallach).** The minimal polynomial of **B**₁ over **Q** is

$$\prod_{i \in \{0,1,\dots,n-2,n\}} (X-i) = (X-n) \prod_{i=0}^{n-2} (X-i).$$

• These are not hard to prove in this order. See https://mathoverflow. net/questions/308536 for the details.

- More can be said: in particular, the multiplicities of the eigenvalues 0, 1, ..., *n* − 2, *n* of *R*(**B**₁) over Q are known.
- The antipodes S (B₀), S (B₁),..., S (B_n) are known as the random-to-top shuffles and have the same properties (since S is an algebra anti-automorphism).
- Main references:
 - Nolan R. Wallach, *Lie Algebra Cohomology and Holomorphic Continuation of Generalized Jacquet Integrals*, 1988, Appendix.
 - Persi Diaconis, James Allen Fill and Jim Pitman, *Analysis of Top to Random Shuffles*, 1992.

5. Random-to-random shuffles

• Here is a further family. For each $k \in \{0, 1, ..., n\}$, we let

$$\mathbf{R}_{k} := \sum_{\sigma \in S_{n}} \operatorname{noninv}_{n-k}(\sigma) \cdot \sigma,$$

where noninv_{*n*-*k*}(σ) denotes the number of (*n* - *k*)-element subsets of [*n*] on which σ is increasing.

- Theorem 5.1 (Reiner, Saliola, Welker). The *n* + 1 elements **R**₀, **R**₁,..., **R**_n commute (but are not polynomials in **R**₁ in general).
- Theorem 5.2 (Dieker, Saliola, Lafrenière). The minimal polynomial of each R_k over Q is a product of X i's for distinct integers *i*. For example, the one of R₁ divides

$$\prod_{i=0}^{n^2} \left(X - i \right).$$

The exact factors can be given in terms of certain statistics on Young diagrams.

- Main references:
 - Victor Reiner, Franco Saliola, Volkmar Welker, Spectra of Symmetrized Shuffling Operators, arXiv:1102.2460.
 - A.B. Dieker, F.V. Saliola, Spectral analysis of random-to-random Markov chains, 2018.
 - Nadia Lafrenière, Valeurs propres des opérateurs de mélanges symétrisés, thesis, 2019.
- **Question:** Simpler proofs? (Even commutativity takes a dozen pages!)
- Answer: Yes! See:
 - Sarah Brauner, Patricia Commins, Darij Grinberg, Franco Saliola, *The q-deformed random-to-random family in the Hecke algebra*, draft (2025).

We actually generalize Theorems 5.1 and 5.2 to the Hecke algebra, building on prior work on \mathbf{R}_1 :

- Ilani Axelrod-Freed, Sarah Brauner, Judy Hsin-Hui Chiang, Patricia Commins, Veronica Lang, *Spectrum of randomto-random shuffling in the Hecke algebra*, arXiv:2407.08644.
- **Question (Reiner):** How big is the subalgebra of $\mathbb{Q}[S_n]$ generated by $\mathbb{R}_0, \mathbb{R}_1, \dots, \mathbb{R}_n$? Some small values:

n	1	2	3	4	5	6	7	8	9	10	11
$\dim \left(\mathbb{Q} \left[\mathbf{R}_0, \mathbf{R}_1, \dots, \mathbf{R}_n \right] \right)$	1	2	4	7	15	30	54	95	159	257	400

(sequence not in the OEIS as of 2025-01-29).

• **Remark 5.3.** We have

$$\mathbf{R}_{k} = \frac{1}{k!} \cdot S\left(\mathbf{B}_{k}\right) \cdot \mathbf{B}_{k},$$

but this is just a first step, since the \mathbf{B}_k don't commute with the $S(\mathbf{B}_k)$.

• Generalization (implicit in Reiner, Saliola, Welker). For each $k \in \{0, 1, ..., n\}$, we let

$$\widetilde{\mathbf{R}}_k := \sum_{\sigma \in S_n} \sum_{\substack{I \subseteq [n]; \\ |I| = n - k; \\ \sigma \text{ increases on } I}} \sigma \otimes \prod_{i \in I} x_i$$

in the **twisted group algebra**

 $\mathcal{T} := \mathbf{k} [S_n] \otimes \mathbf{k} [x_1, x_2, \dots, x_n]$ with multiplication $(\sigma \otimes f) (\tau \otimes g) = \sigma \tau \otimes \tau^{-1} (f) g$.

Then, the $\widetilde{\mathbf{R}}_1, \widetilde{\mathbf{R}}_2, \ldots, \widetilde{\mathbf{R}}_n$ commute.

- This twisted group algebra *T* acts on k [x₁, x₂,..., x_n] in two ways: by multiplication ((σ ⊗ f) (p) = σ (fp)) or by differentiation ((f ⊗ σ) (p) = σ (f (∂) (p))). (In either case, the S_n part permutes the variables.)
- **Question:** Simpler proof for this generalization?

6. Somewhere-to-below shuffles



* In 2021, Nadia Lafrenière defined the somewhere-to-below shuffles $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$ by setting

$$\mathbf{t}_{\ell} := \operatorname{cyc}_{\ell} + \operatorname{cyc}_{\ell,\ell+1} + \operatorname{cyc}_{\ell,\ell+1,\ell+2} + \dots + \operatorname{cyc}_{\ell,\ell+1,\dots,n} \in \mathbf{k}\left[S_n\right]$$

for each $\ell \in [n]$. (These \mathbf{t}_{ℓ} are called t_{ℓ} in my papers.)

* Thus, $\mathbf{t}_1 = \mathbf{B}_1$ and $\mathbf{t}_n = \mathrm{id}$.

- As a card shuffle, t_{ℓ} takes the ℓ -th card from the top and moves it further down the deck.
- Their linear combinations

$$\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n$$
 with $\lambda_1, \lambda_2, \ldots, \lambda_n \in \mathbf{k}$

are called one-sided cycle shuffles and also have a probabilistic meaning when $\lambda_1, \lambda_2, \ldots, \lambda_n \geq 0$.

• Fact: $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_n$ do not commute for $n \ge 3$. For n = 3, we have

$$[\mathbf{t}_{1}, \mathbf{t}_{2}] = \operatorname{cyc}_{1,2} + \operatorname{cyc}_{1,2,3} - \operatorname{cyc}_{1,3,2} - \operatorname{cyc}_{1,3}.$$

• However, they come pretty close to commuting!



* Theorem 6.1 (Lafreniere, G., 2022). There exists a basis of the **k**-module $\mathbf{k}[S_n]$ in which all of the endomorphisms $R(\mathbf{t}_1), R(\mathbf{t}_2), \ldots, R(\mathbf{t}_n)$ are represented by upper-triangular matrices.

7. The descent-destroying basis

- This basis is not hard to define, but I haven't seen it before.
- * For each $w \in S_n$, we let

 $\operatorname{Des} w := \left\{ i \in [n-1] \mid w(i) > w(i+1) \right\} \quad (\text{the descent set of } w).$

* For each
$$i \in [n-1]$$
, we let $s_i := \operatorname{cyc}_{i,i+1}$.

* For each $I \subseteq [n-1]$, we let

G(I) :=(the subgroup of S_n generated by the s_i for $i \in I$).

* For each $w \in S_n$, we let

$$\mathbf{a}_{w} := \sum_{\sigma \in G(\mathrm{Des}\,w)} w \sigma \in \mathbf{k}\left[S_{n}\right].$$

In other words, you get \mathbf{a}_w by breaking up the word w into maximal decreasing factors and re-sorting each factor arbitrarily (without mixing different factors). (The \mathbf{a}_w are called a_w in my papers.)

* The family $(\mathbf{a}_w)_{w \in S_n}$ is a basis of $\mathbf{k}[S_n]$ (by triangularity).

• For instance, for n = 3, we have

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\begin{split} \mathbf{a}_{[123]} &= [123];\\ \mathbf{a}_{[132]} &= [132] + [123];\\ \mathbf{a}_{[213]} &= [213] + [123];\\ \mathbf{a}_{[231]} &= [231] + [213];\\ \mathbf{a}_{[312]} &= [312] + [132];\\ \mathbf{a}_{[321]} &= [321] + [312] + [231] + [213] + [132] + [123]. \end{split}
```

Theorem 7.1 (Lafrenière, G.). For any $w \in S_n$ and $\ell \in [n]$, we have

$$\mathbf{a}_{w}\mathbf{t}_{\ell} = \mu_{w,\ell}\mathbf{a}_{w} + \sum_{\substack{v \in S_{n}; \\ v \prec w}} \lambda_{w,\ell,v}\mathbf{a}_{v}$$

for some nonnegative integer $\mu_{w,\ell}$, some integers $\lambda_{w,\ell,v}$ and a certain partial order \prec on S_n .

Thus, the endomorphisms $R(\mathbf{t}_1), R(\mathbf{t}_2), \ldots, R(\mathbf{t}_n)$ are uppertriangular with respect to the basis $(\mathbf{a}_w)_{w \in S_n}$. • Examples:

- For n = 4, we have

 $\mathbf{a}_{[4312]}\mathbf{t}_2 = \mathbf{a}_{[4312]} + \underbrace{\mathbf{a}_{[4321]} - \mathbf{a}_{[4231]} - \mathbf{a}_{[3241]} - \mathbf{a}_{[2143]}}_{\text{subscripts are } \prec [4312]}.$

- For n = 3, the endomorphism $R(\mathbf{t}_1)$ is represented by the matrix

	a _[321]	a _[231]	a _[132]	a _[213]	a _[312]	a _[123]
a _[321]	3	1	1		1	
a _[231]				1	-1	1
a _[132]				1		
a _[213]				1		
a _[312]					1	
a _[123]						1

(empty cells = zero entries). For instance, the last column means $\mathbf{a}_{[123]}\mathbf{t}_1 = \mathbf{a}_{[123]} + \mathbf{a}_{[231]}$.

• **Corollary 7.2.** The eigenvalues of these endomorphisms $R(\mathbf{t}_1), R(\mathbf{t}_2), \ldots, R(\mathbf{t}_n)$ and of all their linear combinations

 $R\left(\lambda_1\mathbf{t}_1+\lambda_2\mathbf{t}_2+\cdots+\lambda_n\mathbf{t}_n\right)$

are integers as long as $\lambda_1, \lambda_2, \ldots, \lambda_n$ are.

- How many different eigenvalues do they have?
- *R*(**t**₁) = *R*(**B**₁) has only *n* eigenvalues: 0, 1, ..., *n* − 2, *n*, as we have seen before. The other *R*(**t**_ℓ)'s have even fewer.
- But their linear combinations $R(\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n)$ can have many more. How many?

8. Lacunar sets and Fibonacci numbers

* A set S of integers is called **lacunar** if it contains no two consecutive integers (i.e., we have $s + 1 \notin S$ for all $s \in S$).

* Theorem 8.1 (combinatorial interpretation of Fibonacci num**bers, folklore).** The number of lacunar subsets of [n-1] is the Fibonacci number f_{n+1} .

 $f_0 = 0$, $f_1 = 1,$ $f_n = f_{n-1} + f_{n-2}.$ (Recall:



*** Theorem 8.2.** When $\lambda_1, \lambda_2, \ldots, \lambda_n \in \mathbb{C}$ are generic, the number of distinct eigenvalues of $R(\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n)$ is f_{n+1} . In this case, the endomorphism $R(\lambda_1\mathbf{t}_1 + \lambda_2\mathbf{t}_2 + \cdots + \lambda_n\mathbf{t}_n)$ is diagonalizable.

• Note that $f_{n+1} \ll n!$.

We prove this by finding a filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_{f_{n+1}} = \mathbf{k} [S_n]$$

of the k-module $\mathbf{k}[S_n]$ such that each $R(\mathbf{t}_{\ell})$ acts as a scalar on each of its quotients F_i/F_{i-1} . In matrix terms, this means bringing $R(\mathbf{t}_{\ell})$ to a block-triangular form, with the diagonal blocks being "scalar times I" matrices.

- It is only natural that the quotients should correspond to the lacunar subsets of [n-1].
- Let us approach the construction of this filtration.

9. The F(I) and the Fibonacci filtration

* For each $I \subseteq [n]$, we set

$$\operatorname{sum} I := \sum_{i \in I} i$$

and

$$\widehat{I} := \{0\} \cup I \cup \{n+1\} \qquad (\text{"enclosure" of } I)$$

and

$$I' := [n-1] \setminus (I \cup (I-1))$$
 ("non-shadow" of I)

and

$$F(I) := \{ \mathbf{q} \in \mathbf{k} [S_n] \mid \mathbf{q} s_i = \mathbf{q} \text{ for all } i \in I' \} \subseteq \mathbf{k} [S_n].$$

In probabilistic terms, F(I) consists of those random states of the deck that do not change if we swap the *i*-th and (i + 1)-st cards from the top as long as neither *i* nor i + 1 is in *I*. To put it informally: F(I) consists of those random states that are "fully shuffled" between any two consecutive \hat{I} -positions.

• **Example:** If n = 11 and $I = \{3, 6, 7\}$, then $\hat{I} = \{0, 3, 6, 7, 12\}$ and $I' = \{1, 4, 8, 9, 10\}$ and

$$F(I) = \{ \mathbf{q} \in \mathbf{k} [S_{11}] \mid \mathbf{q} s_1 = \mathbf{q} s_4 = \mathbf{q} s_8 = \mathbf{q} s_9 = \mathbf{q} s_{10} = \mathbf{q} \}.$$

Illustrating this:



(black = I; grey = I - 1; blue = $\hat{I} \setminus I$; lightblue = n; white = I').

* For any $\ell \in [n]$, we let $m_{I,\ell}$ be the distance from ℓ to the next-higher element of \widehat{I} . In other words,

$$m_{I,\ell} := \left(\text{smallest element of } \widehat{I} \text{ that is } \geq \ell \right) - \ell \in \{0, 1, \dots, n\}.$$

In our above example,

$$(m_{I,1}, m_{I,2}, \ldots, m_{I,11}) = (2, 1, 0, 2, 1, 0, 0, 4, 3, 2, 1).$$

For another example, if n = 5 and $I = \{2, 3\}$, then $\widehat{I} = \{0, 2, 3, 6\}$ and

 $(m_{I,1}, m_{I,2}, m_{I,3}, m_{I,4}, m_{I,5}) = (1, 0, 0, 2, 1).$

• We note that, for any $\ell \in [n]$, we have the equivalence

$$m_{I,\ell}=0 \quad \Longleftrightarrow \quad \ell \in \widehat{I} \quad \Longleftrightarrow \quad \ell \in I.$$

* Crucial Lemma 9.1. Let $I \subseteq [n]$ and $\ell \in [n]$. Then,

$$\mathbf{qt}_{\ell} \in m_{I,\ell}\mathbf{q} + \sum_{\substack{J \subseteq [n];\\ \text{sum } J < \text{sum } I \\ \text{Think of these as}\\ \text{"lower-order terms"}}} F(J) \quad \text{for each } \mathbf{q} \in F(I) \,.$$

Proof: Expand qt_l by the definition of t_l, and break up the resulting sum into smaller bunches using the interval decomposition

$$[\ell, n] = [\ell, i_k - 1] \sqcup [i_k, i_{k+1} - 1] \sqcup [i_{k+1}, i_{k+2} - 1] \sqcup \cdots \sqcup [i_p, n]$$

(where $i_k < i_{k+1} < \cdots < i_p$ are the elements of *I* larger or equal to ℓ). The $[\ell, i_k - 1]$ bunch gives the $m_{I,\ell}\mathbf{q}$ term; the others live in appropriate F(J)'s.

See the paper for the details.

* Thus, we obtain a filtration of $\mathbf{k}[S_n]$ if we label the subsets *I* of [n] in the order of increasing sum *I* and add up the respective F(I)s.

On each subquotient of this filtration, \mathbf{t}_{ℓ} acts as a scalar $m_{I,\ell}$.

- Unfortunately, this filtration has 2^n , not f_{n+1} terms.
- Fortunately, that's because many of its terms are redundant. The ones that aren't correspond precisely to the *I*'s that are lacunar subsets of [n - 1]:
 - Lemma 9.2. Let $k \in \mathbb{N}$. Then,

$$\sum_{\substack{J\subseteq[n];\\ \text{sum }J< k}} F(J) = \sum_{\substack{J\subseteq[n-1] \text{ is lacunar;}\\ \text{sum }J< k}} F(J).$$

- *Proof:* If $J \subseteq [n]$ contains *n* or fails to be lacunar, then F(J) is a submodule of some F(K) with sum K < sum J. (Exercise!)
- Now, we let $Q_1, Q_2, \ldots, Q_{f_{n+1}}$ be the f_{n+1} lacunar subsets of [n-1], listed in such an order that

$$\operatorname{sum}(Q_1) \leq \operatorname{sum}(Q_2) \leq \cdots \leq \operatorname{sum}(Q_{f_{n+1}}).$$

Then, define a **k**-submodule

$$F_i := F(Q_1) + F(Q_2) + \dots + F(Q_i) \quad \text{of } \mathbf{k}[S_n]$$

for each $i \in [0, f_{n+1}]$ (so that $F_0 = 0$). The resulting filtration

$$0 = F_0 \subseteq F_1 \subseteq F_2 \subseteq \cdots \subseteq F_{f_{n+1}} = \mathbf{k} [S_n]$$

(which we call the **Fibonacci filtration** of $\mathbf{k}[S_n]$) satisfies the properties we need:

• **Theorem 9.3.** For each $i \in [f_{n+1}]$ and $\ell \in [n]$, we have

$$F_i \cdot (\mathbf{t}_{\ell} - m_{Q_{i},\ell}) \subseteq F_{i-1}$$

(so that $R(\mathbf{t}_{\ell})$ acts on F_i/F_{i-1} as multiplication by $m_{Q_{i,\ell}}$).

- *Proof:* Lemma 9.1 + Lemma 9.2.
- Lemma 9.4. The quotients F_i/F_{i-1} are nontrivial for all $i \in [f_{n+1}]$.
- *Proof:* See below.
- * **Corollary 9.5.** Let **k** be a field, and let $\lambda_1, \lambda_2, ..., \lambda_n \in \mathbf{k}$. Then, the eigenvalues of $R(\lambda_1\mathbf{t}_1 + \lambda_2\mathbf{t}_2 + \cdots + \lambda_n\mathbf{t}_n)$ are the linear combinations

$$\lambda_1 m_{I,1} + \lambda_2 m_{I,2} + \cdots + \lambda_n m_{I,n}$$
 for $I \subseteq [n-1]$ lacunar.

- Theorem 8.2 easily follows by some linear algebra.
- More generally, this holds not just for linear combinations $\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n$ but for any noncommutative polynomials in $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$.

10. Back to the basis

- The descent-destroying basis $(\mathbf{a}_w)_{w \in S_n}$ is compatible with our filtration:
- * **Theorem 10.1.** For each $I \subseteq [n]$, the family $(\mathbf{a}_w)_{w \in S_n; I' \subseteq \text{Des } w}$ is a basis of the **k**-module F(I).
- * If $w \in S_n$ is any permutation, then the *Q*-index of w is defined to be the smallest $i \in [f_{n+1}]$ such that $Q'_i \subseteq \text{Des } w$. We call this *Q*-index Qind w.
 - **Proposition 10.2.** Let $w \in S_n$ and $i \in [f_{n+1}]$. Then, Qind w = i if and only if $Q'_i \subseteq \text{Des } w \subseteq [n-1] \setminus Q_i$.
 - Note: The numbering $Q_1, Q_2, \ldots, Q_{f_{n+1}}$ of the lacunar subsets of [n-1] is not unique; we just picked one. The *Q*-index i =Qind w of a $w \in S_n$ depends on this numbering. However, the corresponding lacunar set Q_i does not, since Proposition 10.2 determines it canonically (it is the unique lacunar $L \subseteq [n-1]$ satisfying $L' \subseteq \text{Des } w \subseteq [n-1] \setminus L$).

Thus, think of this set Q_i as the "real" index of w. But i is easier to work with.

(You can get rid of the numbering altogether if you allow filtrations indexed by a poset.)



- **Corollary 10.4.** For each $i \in [f_{n+1}]$, the **k**-module F_i/F_{i-1} is free with basis $(\overline{\mathbf{a}_w})_{w \in S_n; \text{ Qind } w = i}$.
 - This yields Lemma 9.4 and also leads to Theorem 7.1, made precise as follows:

* **Theorem 10.5 (Lafrenière, G.).** For any $w \in S_n$ and $\ell \in [n]$, we have

$$\mathbf{a}_{w}\mathbf{t}_{\ell} = \mu_{w,\ell}\mathbf{a}_{w} + \sum_{\substack{v \in S_{n}; \\ \text{Qind } v < \text{Qind } w}} \lambda_{w,\ell,v}\mathbf{a}_{v}$$

for some nonnegative integer $\mu_{w,\ell}$ and some integers $\lambda_{w,\ell,v}$.

Thus, the endomorphisms $R(\mathbf{t}_1), R(\mathbf{t}_2), \ldots, R(\mathbf{t}_n)$ are uppertriangular with respect to the basis $(\mathbf{a}_w)_{w \in S_n}$ as long as the permutations $w \in S_n$ are ordered by increasing *Q*-index.

11. The multiplicities

• In Corollary 9.5, we found the eigenvalues of the endomorphism $R(\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n)$. With Corollary 10.4, we can also find their algebraic multiplicities. To state a formula for them, we need a definition:

* For each $i \in [f_{n+1}]$, we set

- $\delta_i := (\text{the number of all } w \in S_n \text{ satisfying } Qind w = i).$

Corollary 11.1 (approximate version). Assume that **k** is a field. Let $\lambda_1, \lambda_2, \ldots, \lambda_n \in \mathbf{k}$. Then, the endomorphism $R(\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n)$ has eigenvalues

$$\lambda_I := \lambda_1 m_{I,1} + \lambda_2 m_{I,2} + \dots + \lambda_n m_{I,n}$$
 for all lacunar $I \subseteq [n-1]$.

Each such eigenvalue has algebraic multiplicity δ_i , where $i \in$ $[f_{n+1}]$ is such that $I = Q_i$. If several such eigenvalues happen to coincide, then their algebraic multiplicities must be added together.

• Corollary 11.1 (pedantic version). Assume that **k** is a field. Let $\lambda_1, \lambda_2, \ldots, \lambda_n \in \mathbf{k}$. For each $i \in [f_{n+1}]$, we let

$$g_i := \sum_{\ell=1}^n \lambda_\ell m_{Q_i,\ell} \in \mathbf{k}.$$

Let $\kappa \in \mathbf{k}$. Then, the algebraic multiplicity of κ as an eigenvalue of the endomorphism $R(\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n)$ equals

$$\sum_{\substack{i \in [f_{n+1}]; \\ g_i = \kappa}} \delta_i$$

• Can we compute the δ_i explicitly? Yes!

* **Theorem 11.2.** Let $i \in [f_{n+1}]$. Then:

(a) Write the set Q_i in the form $Q_i = \{i_1 < i_2 < \cdots < i_p\}$, and set $i_0 = 1$ and $i_{p+1} = n + 1$. Let $j_k = i_k - i_{k-1}$ for each $k \in |p+1|$. Then,

$$\delta_i = \underbrace{\binom{n}{j_1, j_2, \dots, j_{p+1}}}_{\substack{\text{multinomial} \\ \text{coefficient}}} \cdot \prod_{k=2}^{p+1} (j_k - 1) \, .$$

• Note. This reminds of the hook-length formula for standard tableaux, but is much simpler.

12. Variants

- Most of what we said about the somewhere-to-below shuffles t_l can be extended to their antipodes S (t_l) (the "below-to-somewhere shuffles"). For instance:
- **Theorem 12.1.** There exists a basis of the **k**-module **k** [*S_n*] in which all of the endomorphisms *R* (*S* (**t**₁)), *R* (*S* (**t**₂)), ..., *R* (*S* (**t**_n)) are represented by upper-triangular matrices.
- We can also use left instead of right multiplication:
- **Theorem 12.2.** There exists a basis of the **k**-module **k** [*S_n*] in which all of the endomorphisms *L*(**t**₁), *L*(**t**₂),..., *L*(**t**_n) are represented by upper-triangular matrices.
- These follow from Theorem 6.1 using dual bases, transpose matrices and Proposition 1.3. No new combinatorics required!
- **Question.** Do we have $L(\mathbf{t}_{\ell}) \sim R(\mathbf{t}_{\ell})$ in $\operatorname{End}_{\mathbf{k}}(\mathbf{k}[S_n])$ when \mathbf{k} is not a field?
- Remark. The similarity t_ℓ ~ S (t_ℓ) in k [S_n] holds when char k = 0, but not for general fields k. (E.g., it fails for k = F₂ and n = 4 and ℓ = 1.)

13. Commutators

- The simultaneous trigonalizability of the endomorphisms $R(\mathbf{t}_1), R(\mathbf{t}_2), \dots, R(\mathbf{t}_n)$ yields that their pairwise commutators are nilpotent. Hence, the pairwise commutators $[\mathbf{t}_i, \mathbf{t}_j]$ are also nilpotent.
- **Question.** How small an exponent works in $[\mathbf{t}_i, \mathbf{t}_j]^* = 0$?

* **Theorem 13.1.** We have $[\mathbf{t}_i, \mathbf{t}_j]^{j-i+1} = 0$ for any $1 \le i \le j \le n$.

* **Theorem 13.2.** We have $[\mathbf{t}_i, \mathbf{t}_j]^{\lceil (n-j)/2 \rceil + 1} = 0$ for any $i, j \in [n]$.

• Depending on *i* and *j*, one of the exponents is better than the other.

Conjecture. The better one is optimal! (Checked for all $n \le 12$.)

* Stronger results hold, replacing powers by products.

Several other curious facts hold: For example,

$$\mathbf{t}_{i+1}\mathbf{t}_i = (\mathbf{t}_i - 1) \, \mathbf{t}_i$$
 and $\mathbf{t}_{i+2} \, (\mathbf{t}_i - 1) = (\mathbf{t}_i - 1) \, (\mathbf{t}_{i+1} - 1)$

and

 $\mathbf{t}_{n-1} [\mathbf{t}_i, \mathbf{t}_{n-1}] = 0$ and $[\mathbf{t}_i, \mathbf{t}_{n-1}] [\mathbf{t}_j, \mathbf{t}_{n-1}] = 0$

for all *i* and *j*.

• All this is completely elementary but surprisingly hard to prove (dozens of pages of manipulations with sums and cycles). The proofs can be found in arXiv:2309.05340v2 aka

https://www.cip.ifi.lmu.de/~grinberg/algebra/s2b2.pdf

• What is "really" going on? No idea...

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14. Representation theory

• Where groups go, representations are not far away...

If you know representation theory, you will have asked yourself two questions:

- 1. The F(I) and the F_i are left ideals of $\mathbf{k}[S_n]$; how do they decompose into Specht modules?
- 2. How do $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$ act on a given Specht module?
- We can answer these (when **k** is a field):
- The answer uses symmetric functions, specifically:
 - Let s_{λ} be the Schur function for a partition λ .
 - Let $h_m = s_{(m)}$ be the *m*-th complete homogeneous symmetric function for each $m \ge 0$.

- Let
$$z_m = s_{(m-1,1)} = h_{m-1}h_1 - h_m$$
 for each $m > 1$.

• For each lacunar subset *I* of [n - 1], we define a symmetric function

$$z_I := h_{i_1-1} \prod_{j=2}^k z_{i_j-i_{j-1}}$$
 (over Z),

where i_1, i_2, \ldots, i_k are the elements of $I \cup \{n+1\}$ in increasing order (so that $i_k = n+1$ and $I = \{i_1 < i_2 < \cdots < i_{k-1}\}$).

This is a skew Schur function corresponding to a disjoint union of hooks: e.g., if n = 11 and $I = \{3, 6, 8\}$, then the skew shape is



• For each lacunar $I \subseteq [n-1]$ and each partition λ of n, we let c_{λ}^{I} be the coefficient of s_{λ} in the Schur expansion of z_{I} .

This is a nonnegative integer (actually a Littlewood–Richardson coefficient, since z_I is a skew Schur function).

• **Theorem 14.1.** Let ν be a partition. Let $\lambda_1, \lambda_2, \ldots, \lambda_n \in \mathbf{k}$. Then, the one-sided cycle shuffle $\lambda_1 \mathbf{t}_1 + \lambda_2 \mathbf{t}_2 + \cdots + \lambda_n \mathbf{t}_n$ acts on the Specht module S^{ν} as a linear map with eigenvalues

 $\lambda_1 m_{I,1} + \lambda_2 m_{I,2} + \dots + \lambda_n m_{I,n}$ for all lacunar $I \subseteq [n-1]$ satisfying $c_{\nu}^I \neq 0$,

and the multiplicity of each such eigenvalue is c_{ν}^{I} in the generic case (i.e., if no two *I*'s produce the same linear combination; otherwise the multiplicities of colliding eigenvalues should be added together).

If all these linear combinations are distinct, then this linear map is diagonalizable.

- Theorem 14.2 (lazy version). Let **k** be a field of characteristic 0. Let $i \in [f_{n+1}]$. As a representation of S_n , the quotient module F_i/F_{i-1} has Frobenius characteristic z_{Q_i} .
- Theorem 14.2 (careful version, true in every characteristic). Let $i \in [f_{n+1}]$. Consider the lacunar subset Q_i of [n-1]. Let i_1, i_2, \ldots, i_k be the elements of $Q_i \cup \{n+1\}$ in increasing order. Then, as representations of S_n , we have

$$F_i/F_{i-1} \cong \underbrace{\mathcal{H}_{i_1-1} * \mathcal{Z}_{i_2-i_1} * \mathcal{Z}_{i_3-i_2} * \cdots * \mathcal{Z}_{i_k-i_{k-1}}}_{\text{the first factor is an }\mathcal{H}, \text{while all others are }\mathcal{Z}'_{\text{s}}}$$

where * means induction product (that is, $U * V = \text{Ind}_{S_i \times S_j}^{S_{i+j}} (U \otimes V)$), and where \mathcal{H}_m is the trivial 1-dimensional representation of S_m , whereas \mathcal{Z}_m is the reflection representation of S_m (that is, \mathbf{k}^m modulo the span of (1, 1, ..., 1)).

- Proofs appear in:
 - Darij Grinberg, *The representation theory of somewhere-to-below shuffles*, draft 2025.

Theorem 14.2 is proved by directly constructing an isomorphism; Theorem 14.1 is obtained from it by applying a Hom-functor $\operatorname{Hom}_{\mathbf{k}[S_n]}(-, S^{\nu})$ to the Fibonacci filtration (to obtain a filtration of S^{ν}).

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15. Conjectures and questions

• **Question.** What can be said about the **k**-subalgebra **k** [**t**₁, **t**₂, . . . , **t**_n] of **k** [*S*_n] ? Note:

n	1	2	3	4	5	6	7	8
$\dim \left(\mathbb{Q} \left[\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_n \right] \right)$	1	2	4	9	23	66	212	761

(this sequence is not in the OEIS as of 2025-04-16).

Also, the Lie subalgebra $\mathcal{L}\left(\mathbf{t}_{1},\mathbf{t}_{2},\ldots,\mathbf{t}_{n}
ight)$ of $\mathbb{Q}\left[S_{n}
ight]$ has dimensions

п	1	2	3	4	5	6	7
$\dim\left(\mathcal{L}\left(\mathbf{t}_{1},\mathbf{t}_{2},\ldots,\mathbf{t}_{n}\right)\right)$	1	2	4	8	20	59	196

(also not in the OEIS).

• **Question ("Is there a** *q***-deformation?").** Much of the above (e.g., Theorems 10.5, 13.1, 13.2) seems to still hold if $\mathbb{Q}[S_n]$ is replaced by the Iwahori–Hecke algebra (but $\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n$ are defined in the exact same way, with *w* replaced by T_w). Even dim ($\mathbb{Q}[\mathbf{t}_1, \mathbf{t}_2, \ldots, \mathbf{t}_n]$) appears to be the same for the Hecke algebra, suggesting that all identities come from the Hecke algebra. Why?

(Verified for Corollary 9.5 and Theorem 10.5, with the integers $m_{I,\ell}$ replaced by *q*-integers $[m_{I,\ell}]_q$. More details in forthcoming work...)

16. The Gaudin Bethe subalgebras

- We now leave the topic of one-sided cycle shuffles, and return to surveying other (families of) elements of **k** [*S*_{*n*}].
- The following was found (at least in a significant case) by Mukhin, Tarasov and Varchenko (2013), and recently extended and reproved by Purbhoo (2022) and Karp and Purbhoo (2023).
- Definition. Let *z*₁, *z*₂, ..., *z*_n be any *n* + 2 elements of k.
 For any subset *T* of [*n*], we set

$$\boldsymbol{\alpha}_{T}^{+}:=\sum_{\sigma\in S_{T}}\sigma\in\mathbf{k}\left[S_{n}\right]$$

(where S_T is embedded into S_n in the obvious way: all elements $\notin T$ are fixed).

• Theorem 16.1 (Mukhin/Tarasov/Varchenko/Purbhoo). Set

 $\boldsymbol{\beta}_{k}^{+}(u) := \sum_{\substack{T \subseteq [n]; \\ |T|=k}} \boldsymbol{\alpha}_{T}^{+} \prod_{m \in [n] \setminus T} (z_{m} + u) \quad \text{for any } k \in \mathbb{N} \text{ and } u \in \mathbf{k}.$

Then, $\beta_i^+(u)$ and $\beta_j^+(v)$ commute for all $i, j \in \mathbb{N}$ and $u, v \in \mathbf{k}$.

- More generally:
- Theorem 16.2 (Karp/Purbhoo). Fix $i, j \in \mathbb{N}$ and $u, v \in \mathbf{k}$. Fix a class function φ on the symmetric group S_i , and a class function ψ on the symmetric group S_j . For any *i*-element subset *T* of [n], set

$$\boldsymbol{\alpha}_{T}^{\varphi}:=\sum_{\sigma\in S_{T}}\varphi\left(\sigma
ight)\sigma\in\mathbf{k}\left[S_{n}
ight]$$
 ,

where φ is transported onto S_T via any bijection $[i] \rightarrow T$ (the choice does not matter). Set

$$\boldsymbol{\beta}_{i}^{\varphi}(u) := \sum_{\substack{T \subseteq [n]; \\ |T|=i}} \boldsymbol{\alpha}_{T}^{\varphi} \prod_{m \in [n] \setminus T} (z_{m}+u).$$

Similarly define $\beta_{j}^{\psi}(v)$. Then, $\beta_{i}^{\varphi}(u)$ and $\beta_{j}^{\psi}(v)$ commute.

The proofs are not very long but surprisingly complicated. A major ingredient is the group version of antipodal conjugacy: Each permutation *σ* ∈ *S_n* is conjugate to its inverse. (A trickier refinement of this is used.)

- Both Mukhin/Tarasov/Varchenko and Purbhoo prove further results about the (commutative) subalgebra of k [S_n] generated by the β^φ_i (u). In particular, Purbhoo shows that the subalgebra generated by β⁺_i (u) is that generated by β^{sign}_i (u).
- Question: Simpler proofs?

17. Excendances and anti-excedances

• **Definition.** Let $\sigma \in S_n$ be a permutation. Then, we define

 $exc \sigma := (\# \text{ of } i \in [n] \text{ such that } \sigma(i) > i) \qquad \text{ and} \\ anxc \sigma := (\# \text{ of } i \in [n] \text{ such that } \sigma(i) < i)$

(the "excedance number" and the "anti-excedance number" of σ).

• **Conjecture 17.1.** For any $a, b \in \mathbb{N}$, define

$$\mathbf{X}_{a,b} := \sum_{\substack{\sigma \in S_n; \\ \exp(\sigma = a; \\ \operatorname{anxc} \sigma = b}} \sigma \in \mathbf{k} \left[S_n \right].$$

Then, the elements $\mathbf{X}_{a,b}$ for all $a, b \in \mathbb{N}$ commute (for fixed n).

- Checked for all $n \leq 7$ using SageMath. Inspired by the Mukhin /Tarasov/Varchenko results from the previous section (thanks Theo Douvropoulos for the idea!).
- The antipode plays well with these elements:

$$S\left(\mathbf{X}_{a,b}\right)=\mathbf{X}_{b,a}.$$

• **Question.** What can be said about the (commutative) **k**-subalgebra $\mathbf{k} [\mathbf{X}_{a,b} \mid a, b \in \{0, 1, ..., n\}]$ of $\mathbf{k} [S_n]$? Note:

п	1	2	3	4	5	6
$\dim\left(\mathbb{Q}\left[\mathbf{X}_{a,b}\right]\right)$	1	2	4	10	26	76

So far, this looks like the # of involutions in S_n , which is exactly the dimension of the Gelfand–Zetlin subalgebra (generated by the Young–Jucys–Murphy elements)!

What is the exact relation?

18. Riffle shuffles

- For a change, here is something classical.
- For each $k \in \mathbb{N}$, we define an element



of **k** [S_n]. Here, for any *k*-tuple **i** = ($i_1, i_2, ..., i_k$) $\in \mathbb{N}^k$ satisfying $i_1 + i_2 + \cdots + i_k = n$, the **i-intervals** are the intervals of lengths $i_1, i_2, ..., i_k$ into which the set [n] is subdivided (i.e., the intervals $[i_1 + i_2 + \cdots + i_{j-1} + 1, i_1 + i_2 + \cdots + i_j]$ for all $0 < j \le k$). (Recall that $0 \in \mathbb{N}$, so that these intervals may be empty.)

This S_k is called the *k*-riffle shuffle. Roughly speaking, it corresponds to cutting the deck into *k* piles of sizes $i_1, i_2, ..., i_k$ and shuffling them back together arbitrarily. (This description is a bit imprecise, as it ignores probabilities.)

• Theorem 18.1 (e.g., Gerstenhaber/Schack 1991). The elements S_0, S_1, S_2, \ldots commute. Moreover,

$$\mathbf{S}_i \mathbf{S}_j = \mathbf{S}_{ij}$$
 for all $i, j \in \mathbb{N}$.

• *Proof using Hopf algebras:* It suffices to show that $S(\mathbf{S}_i) \cdot S(\mathbf{S}_j) = S(\mathbf{S}_{ij})$ for all $i, j \in \mathbb{N}$ (where *S* is the antipode, sending each $\sigma \in S_n$ to σ^{-1}).

The symmetric group algebra $\mathbf{k}[S_n]$ acts faithfully on the tensor power $V^{\otimes n}$ of any free k-module V of rank $\geq n$ (by permuting the tensorands). This tensor power $V^{\otimes n}$ is the *n*-th degree part of the tensor algebra T(V), which is a cocommutative connected graded Hopf algebra ($\Delta =$ unshuffle coproduct). Now, the action of $S(\mathbf{S}_i)$ on $V^{\otimes i}$ is just the convolution $\mathrm{id}^{*i} = \underbrace{\mathrm{id} * \mathrm{id} * \cdots * \mathrm{id}}_{i \text{ times}} : T(V) \to T(V)$ (restricted to $V^{\otimes i}$). So it

remains to prove that $id^{\star i} \circ id^{\star j} = id^{\star(ij)}$. But this can be done easily using cocommutativity.

Remark: These id^{*i} are known as Adams operations, and are defined on any bialgebra. The equality id^{*i} o id^{*j} = id^{*(ij)} holds for any commutative or cocommutative bialgebra.

• **Theorem 18.2.** The minimal polynomial of **S**_{*i*} is a divisor of

$$(X-i^1)(X-i^2)\cdots(X-i^n).$$

- Theorem 18.3. If k is a field of characteristic 0, the subalgebra of k [S_n] generated (= spanned) by S₀, S₁, S₂,... is *n*-dimensional as a k-vector space, and is isomorphic to a product of *n* copies of k. It is called the Eulerian subalgebra of k [S_n], and its decomposing idempotents are the famous Eulerian idempotents.
- Reference: Loday, *Cyclic homology*, 2nd edition 1998, §4.5.
- **Question.** How does the Eulerian subalgebra look like for general **k** ?

19. Row-to-row sums

Definition. A set composition of [*n*] is defined to mean a tuple $\mathbf{U} = (U_1, U_2, \dots, U_k)$ of disjoint nonempty subsets of [n] such that $U_1 \cup U_2 \cup \cdots \cup U_k = [n]$. We set $\ell(U) = k$ and call k the length of U.

* **Definition.** Let SC (n) be the set of all set compositions of [n].

* **Definition.** If $\mathbf{A} = (A_1, A_2, \dots, A_k)$ and $\mathbf{B} = (B_1, B_2, \dots, B_k)$ are two set compositions of [n] having the same length, then we define the row-to-row sum

$$\nabla_{\mathbf{B},\mathbf{A}} := \sum_{\substack{w \in S_n; \\ w(A_i) = B_i \text{ for all } i}} w \quad \text{in } \mathbf{k} \left[S_n \right].$$

• Easy properties:

- We have $\nabla_{\mathbf{B},\mathbf{A}} = 0$ unless $|A_i| = |B_i|$ for all *i*.
- We have $\nabla_{\mathbf{B},\mathbf{A}} = \nabla_{\mathbf{B}\sigma,\mathbf{A}\sigma}$ for any $\sigma \in S_k$ (acting on set compositions by permuting the blocks).
- We have $S(\nabla_{\mathbf{B},\mathbf{A}}) = \nabla_{\mathbf{A},\mathbf{B}}$.

* Theorem 19.1. Let $\mathcal{A} = \mathbf{k}[S_n]$. Let $k \in \mathbb{N}$. We define two **k**-submodules \mathcal{I}_k and \mathcal{J}_k of \mathcal{A} by

$$\mathcal{I}_{k} := \operatorname{span} \left\{ \nabla_{\mathbf{B}, \mathbf{A}} \mid \mathbf{A}, \mathbf{B} \in \operatorname{SC}(n) \text{ with } \ell(\mathbf{A}) = \ell(\mathbf{B}) \leq k \right\}$$

and

 $\mathcal{J}_k := \mathcal{A} \cdot \operatorname{span} \left\{ \boldsymbol{\alpha}_U^- \mid U \text{ is a } (k+1) \text{ -element subset of } [n] \right\} \cdot \mathcal{A},$ where

$$\boldsymbol{\alpha}_{U}^{-} := \sum_{\sigma \in S_{U}} \left(-1\right)^{\sigma} \sigma \in \mathbf{k} \left[S_{n}\right].$$

Then:

– Both \mathcal{I}_k and \mathcal{J}_k are ideals of \mathcal{A} , and are preserved under S.

– We have

$$\mathcal{I}_k = \mathcal{J}_k^{\perp} = \operatorname{LAnn} \mathcal{J}_k = \operatorname{RAnn} \mathcal{J}_k$$
 and
 $\mathcal{J}_k = \mathcal{I}_k^{\perp} = \operatorname{LAnn} \mathcal{I}_k = \operatorname{RAnn} \mathcal{I}_k.$

Here, \mathcal{U}^{\perp} means orthogonal complement wrt the standard bilinear form on A, whereas LAnn and RAnn mean left and right annihilators.





- The **k**-module \mathcal{I}_k is free of rank = # of (1, 2, ..., k+1)-avoiding permutations in S_n .
- The **k**-module \mathcal{J}_k is free of rank = # of (1, 2, ..., k+1)nonavoiding permutations in S_n .
- The quotients $\mathcal{A}/\mathcal{J}_k$ and $\mathcal{A}/\mathcal{I}_k$ are also free, with the same ranks as \mathcal{I}_k and \mathcal{J}_k (respectively), and with bases consisting of (residue classes of) the relevant permutations.
- If *n*! is invertible in **k**, then $\mathcal{A} = \mathcal{I}_k \oplus \mathcal{J}_k$ (internal direct sum) as **k**-modules, and $\mathcal{A} \cong \mathcal{I}_k \times \mathcal{J}_k$ as **k**-algebras.
- This is not hard to show using representation theory if $\mathbf{k} = \mathbb{C}$ (or \mathbb{Q}), but the characteristic-free case needs to be done from scratch.
- Remark. The Murphy basis of A consists of the elements ∇_{B,A} for the standard set compositions A and B of [n]. Here, "standard" means that the blocks are the rows of a standard Young tableau (in particular, they must be of partition shape).

This is a cellular basis of A. Thus, the Specht modules are quotients of spans of certain subfamilies of this basis.

(This was done for Hecke algebras in: G. E. Murphy, On the Representation Theory of the Symmetric Groups and Associated Hecke Algebras, 1991. Our $\nabla_{\mathbf{B},\mathbf{A}}$ correspond to his $x_{s,t}$ for q = 1.)

• **Question.** How far can we develop the representation theory of *S_n* using this approach? (e.g., prove the LR rule?)

20. Row-to-row sums of length 2

The elements ∇_{B,A} are fairly general, and in fact each w ∈ S_n can be written as ∇_{B,A} for some A and B. But some things can be said when ℓ (A) = ℓ (B) ≤ 2.

* **Definition.** If A and B are two subsets of [n], then we set

$$\nabla_{B,A} := \sum_{\substack{w \in S_n; \\ w(A) = B}} w \quad \text{in } \mathbf{k} [S_n].$$

This is $\nabla_{\mathbf{B},\mathbf{A}}$ for $\mathbf{A} = (A, [n] \setminus A)$ and $\mathbf{B} = (B, [n] \setminus B)$.

- * **Theorem 20.1.** The minimal polynomial of each $\nabla_{B,A}$ over \mathbb{Q} is a product of linear factors.
 - **Example.** For n = 5, the minimal polynomial of $\nabla_{\{1,2\},\{2,3\}}$ is (x 12) (x 2) x (x + 4).
 - More generally:
- * **Theorem 20.2.** Fix any $A \subseteq [n]$. Then, the minimal polynomial of any Q-linear combination of $\nabla_{B,A}$ with *B* ranging over the subsets of [n] is a product of linear factors.
 - This can be proved using a filtration (albeit not of A).
 - **Questions.** What are the linear factors (i.e., the eigenvalues)? (I have a complicated sum formula.)

What is the characteristic polynomial? (i.e., what are the multiplicities of the eigenvalues?)

- The proofs of Theorems 20.1 and 20.2 rely on the following fact:
- **Proposition 20.3 (product formula).** Let A, B, C, D be four subsets of [n] such that |A| = |B| and |C| = |D|. Then,

$$\nabla_{D,C}\nabla_{B,A} = \omega_{B,C} \sum_{\substack{U \subseteq D, \\ V \subseteq A; \\ |U| = |V|}} (-1)^{|U| - |B \cap C|} {|U| \choose |B \cap C|} \nabla_{U,V},$$

where

$$\omega_{B,C} := |B \cap C|! \cdot |B \setminus C|! \cdot |C \setminus B|! \cdot |[n] \setminus (B \cup C)|! \in \mathbb{Z}.$$

- *Proof.* Nice exercise in enumeration!
- **Digression.** Define a free **k**-module with basis $(\Delta_{B,A})_{A,B\subseteq[n]}$ with |A|=|B|' where the $\Delta_{B,A}$ are formal symbols. Define a multiplication on \mathcal{D} by

$$\Delta_{D,C}\Delta_{B,A} := \omega_{B,C} \sum_{\substack{U \subseteq D, \\ V \subseteq A; \\ |U| = |V|}} (-1)^{|U| - |B \cap C|} \binom{|U|}{|B \cap C|} \Delta_{U,V}.$$

- **Theorem 20.4.** This \mathcal{D} is a nonunital algebra (i.e., associative).
- **Question.** Is this algebra unital when *n*! is invertible in **k** ?
- **Question.** What is this algebra really? (It is a free **k**-module of rank $\binom{2n}{n}$, so it might be a diagram algebra e.g., a nonunital \mathbb{Z} -form of the planar rook algebra?)

21. Philosophical questions

- Why is so much happening in $\mathbf{k}[S_n]$? In particular:
- Why do so many elements commute? Are there any general methods for proving commutativity?
- Why do so many elements have integer eigenvalues (i.e., factoring minimal polynomials)?
- Methods I have seen so far:
 - Explicit multiplication rules: proves commutativity for \mathbf{B}_{k} , eigenvalues for $\nabla_{B,A}$, and various properties for elements in the descent algebra (Solomon Mackey rule).
 - Faithful action on $V^{\otimes n}$: proves commutativity for S_i , R_i (Lafrenière's approach).
 - Preserved filtration: proves eigenvalues and simultaneous trigonalizability for t_i; can theoretically be used for commutativity as well when the elements generate an *S*-invariant subalgebra (via Okounkov-Vershik involution trick), but haven't seen that happen.
 - Bijective brute-force: proves commutativity for \mathbf{m}_k , $\boldsymbol{\beta}_k^{\varphi}$.
 - Action on irreps (= Specht modules): proves eigenvalues for m_k, R_i.
 - Diagonalization: proves eigenvalues for m_k (Young seminormal basis), R_i.
 - Faithful action on something else (e.g., Gelfand model, polynomial ring via divided symmetrization, etc.): would be nice to see a use, but have not encountered yet.
 - Transfer principles (e.g., §3.1 in Mukhin/Tarasov/Varchenko arXiv:0906.5185v1): would be really great to see.
 - Recognition as polynomials in simpler commuting elements: would be nice to see.
 - Okounkov–Vershik lemma (centralizer of multiplicity-free branching): would be nice to see.
 - Categorization (replacing $S_n = \text{Bij}([n], [n])$ by Inj([n], [m]) or Surj([n], [m]), just like square matrices are a particular case of rectangular matrices): would be great to see!

Any additions to this list are welcome!

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