

# The order of birational rowmotion

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*joint work with Tom Roby (UConn)*

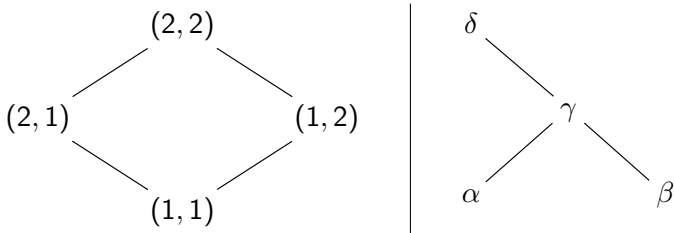
10 March 2014  
The Applied Algebra Seminar, York University, Toronto

**slides:** <http://mit.edu/~darij/www/algebra/skeletal-slides-mar2014.pdf>

**paper:** <http://mit.edu/~darij/www/algebra/skeletal.pdf>

## Introduction: Posets

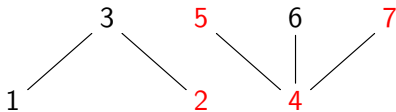
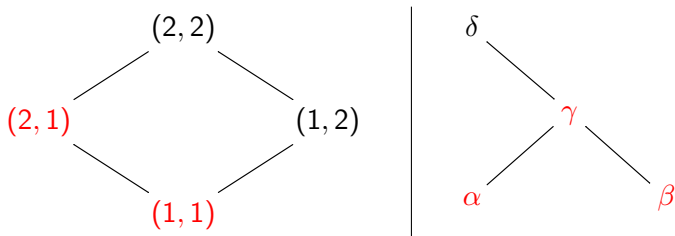
- A **poset** (= partially ordered set) is a set  $P$  with a reflexive, transitive and antisymmetric relation.
- We use the symbols  $<$ ,  $\leq$ ,  $>$  and  $\geq$  accordingly.
- We draw posets as Hasse diagrams:



- We only care about finite posets here.
- We say that  $u \in P$  is **covered by**  $v \in P$  (written  $u \triangleleft v$ ) if we have  $u < v$  and there is no  $w \in P$  satisfying  $u < w < v$ .
- We say that  $u \in P$  **covers**  $v \in P$  (written  $u \triangleright v$ ) if we have  $u > v$  and there is no  $w \in P$  satisfying  $u > w > v$ .

## Introduction: Posets

- An **order ideal** of a poset  $P$  is a subset  $S$  of  $P$  such that if  $v \in S$  and  $w \leq v$ , then  $w \in S$ .
- Examples (the elements of the order ideal are marked in red):



- We let  $J(P)$  denote the set of all order ideals of  $P$ .

- **Classical rowmotion** is the rowmotion studied by Striker-Williams (arXiv:1108.1172). It has appeared many times before, under different guises:
  - Brouwer-Schrijver (1974) (as a permutation of the antichains),
  - Fon-der-Flaass (1993) (as a permutation of the antichains),
  - Cameron-Fon-der-Flaass (1995) (as a permutation of the monotone Boolean functions),
  - Panyushev (2008), Armstrong-Stump-Thomas (2011) (as a permutation of the antichains or “nonnesting partitions”, with relations to Lie theory).

## Classical rowmotion: the standard definition

- Let  $P$  be a finite poset.

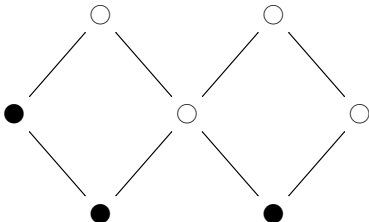
**Classical rowmotion** is the map  $\mathbf{r} : J(P) \rightarrow J(P)$  which sends every order ideal  $S$  to the order ideal obtained as follows:

Let  $M$  be the set of minimal elements of the complement  $P \setminus S$ .

Then,  $\mathbf{r}(S)$  shall be the order ideal generated by these elements (i.e., the set of all  $w \in P$  such that there exists an  $m \in M$  such that  $w \leq m$ ).

### Example:

Let  $S$  be the following order ideal ( $\bullet$  = inside order ideal):



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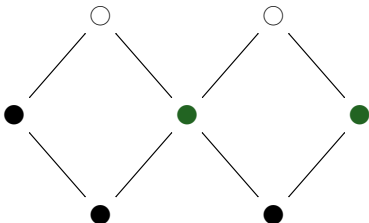
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### Example:

Mark  $M$  (= minimal elements of complement) green.



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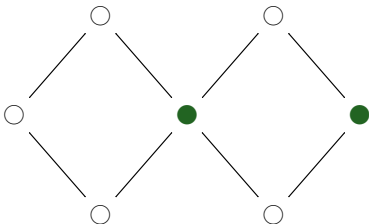
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### Example:

Forget about the old order ideal:



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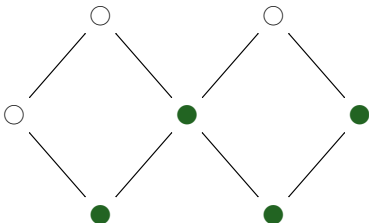
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### Example:

$\mathbf{r}(S)$  is the order ideal generated by  $M$  (“everything below  $M$ ”):





## Classical rowmotion: properties

Classical rowmotion is a permutation of  $J(P)$ , hence has finite order. This order can be fairly large.

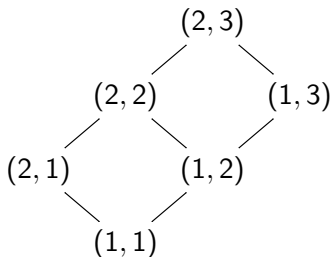
## Classical rowmotion: properties

Classical rowmotion is a permutation of  $J(P)$ , hence has finite order. This order can be fairly large.

However, **for some types of  $P$** , the order can be explicitly computed or bounded from above.

See Striker-Williams for an exposition of known results.

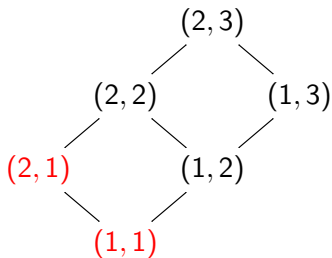
- If  $P$  is a  $p \times q$ -rectangle:



(shown here for  $p = 2$  and  $q = 3$ ), then  $\text{ord}(\mathbf{r}) = p + q$ .

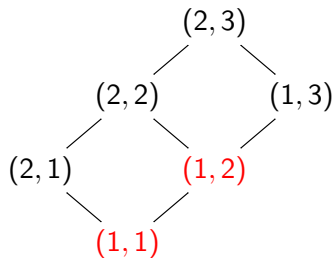
## Example:

Let  $S$  be the order ideal of the  $2 \times 3$ -rectangle given by:



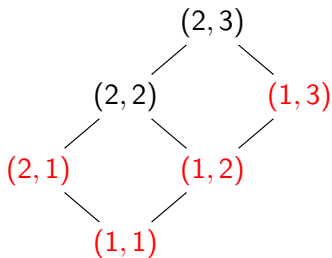
**Example:**

$r(S)$  is



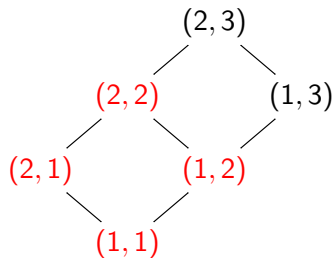
**Example:**

$r^2(S)$  is



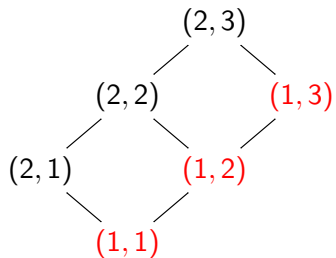
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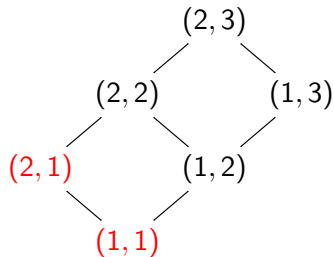
**Example:**

$r^4(S)$  is



**Example:**

$\mathbf{r}^5(S)$  is



which is precisely the  $S$  we started with.

$$\text{ord}(\mathbf{r}) = p + q = 2 + 3 = 5.$$

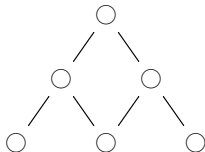


Classical rowmotion is a permutation of  $J(P)$ , hence has finite order. This order can be fairly large.

However, **for some types of  $P$** , the order can be explicitly computed or bounded from above.

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- If  $P$  is a  $\Delta$ -shaped triangle with sidelength  $p - 1$ :



(shown here for  $p = 4$ ), then  $\text{ord}(\mathbf{r}) = 2p$  (if  $p > 2$ ).

- In this case,  $\mathbf{r}^p$  is “reflection in the  $y$ -axis”.

There is an alternative definition of classical rowmotion, which splits it into many little steps.

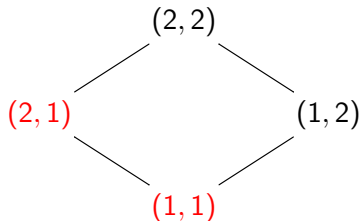
- If  $P$  is a poset and  $v \in P$ , then the  $v$ -**toggle** is the map  $\mathbf{t}_v : J(P) \rightarrow J(P)$  which takes every order ideal  $S$  to:
  - $S \cup \{v\}$ , if  $v$  is not in  $S$  but all elements of  $P$  covered by  $v$  are in  $S$  already;
  - $S \setminus \{v\}$ , if  $v$  is in  $S$  but none of the elements of  $P$  covering  $v$  is in  $S$ ;
  - $S$  otherwise.
- Simpler way to state this:  $\mathbf{t}_v(S)$  is  $S \Delta \{v\}$  if this is an order ideal, and  $S$  otherwise. (“Try to add or remove  $v$  from  $S$ ; if this breaks the order ideal axiom, leave  $S$  fixed.”)

- Let  $(v_1, v_2, \dots, v_n)$  be a **linear extension** of  $P$ ; this means a list of all elements of  $P$  (each only once) such that  $i < j$  whenever  $v_i < v_j$ .
- Cameron and Fon-der-Flaass showed that

$$\mathbf{r} = \mathbf{t}_{v_1} \circ \mathbf{t}_{v_2} \circ \dots \circ \mathbf{t}_{v_n}.$$

### Example:

Start with this order ideal  $S$ :



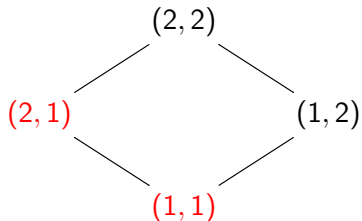
## Classical rowmotion: the toggling definition

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### Example:

First apply  $\mathbf{t}_{(2,2)}$ , which changes nothing:

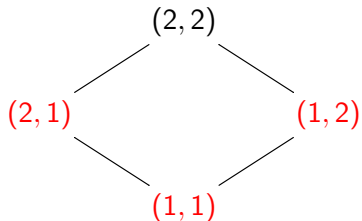


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### Example:

Then apply  $\mathbf{t}_{(1,2)}$ , which adds  $(1,2)$  to the order ideal:



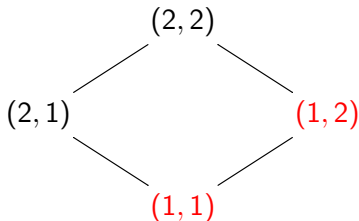
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Then apply  $\mathbf{t}_{(2,1)}$ , which removes  $(2, 1)$  from the order ideal:



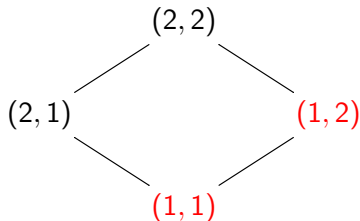
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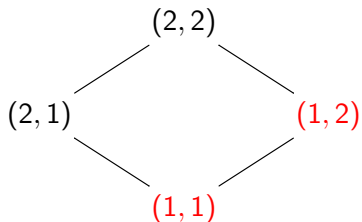


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### Example:

So this is  $\mathbf{r}(S)$ :



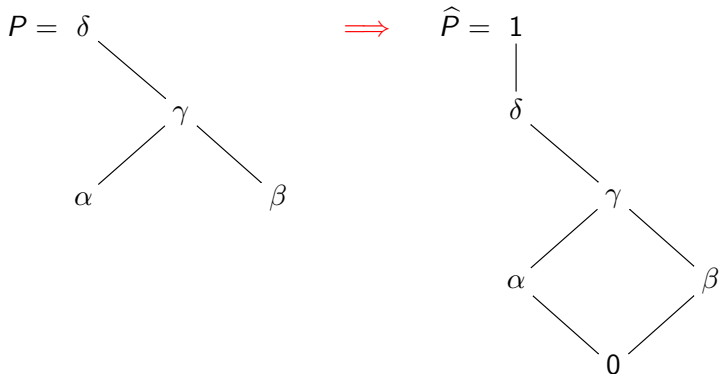


- I will define **birational rowmotion** (a generalization of classical rowmotion introduced by David Einstein and James Propp, based on ideas of Arkady Berenstein).
- I will show how some properties of classical rowmotion generalize to birational rowmotion.
- I will ask some questions and state some conjectures.

## Birational rowmotion: definition

- Let  $P$  be a finite poset. We define  $\widehat{P}$  to be the poset obtained by adjoining two new elements 0 and 1 to  $P$  and forcing 0 to be less than every other element, and 1 to be greater than every other element.

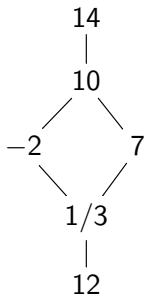
### Example:



## Birational rowmotion: definition

- Let  $\mathbb{K}$  be a semifield (i.e., a field minus “minus”).
- A  $\mathbb{K}$ -labelling of  $P$  will mean a function  $\widehat{P} \rightarrow \mathbb{K}$ .
- The values of such a function will be called the **labels** of the labelling.
- We will represent labellings by drawing the labels on the vertices of the Hasse diagram of  $\widehat{P}$ .

**Example:** This is a  $\mathbb{Q}$ -labelling of the  $2 \times 2$ -rectangle:



- For any  $v \in P$ , define the **birational  $v$ -toggle** as the rational map  $T_v : \mathbb{K}^{\hat{P}} \dashrightarrow \mathbb{K}^{\hat{P}}$  defined by

$$(T_v f)(w) = \begin{cases} f(w), & \text{if } w \neq v; \\ \frac{1}{f(v)} \cdot \frac{\sum_{\substack{u \in \hat{P}; \\ u < v}} f(u)}{\sum_{\substack{u \in \hat{P}; \\ u > v}} \frac{1}{f(u)}}, & \text{if } w = v \end{cases} \quad (1)$$

for all  $w \in \hat{P}$ .

- That is,
  - invert** the label at  $v$ ,
  - multiply** it with the **sum** of the labels at vertices **covered by**  $v$ ,
  - multiply** it with the **harmonic sum** of the labels at vertices **covering**  $v$ .

- For any  $v \in P$ , define the **birational  $v$ -toggle** as the rational map  $T_v : \mathbb{K}^{\widehat{P}} \dashrightarrow \mathbb{K}^{\widehat{P}}$  defined by

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for all  $w \in \widehat{P}$ .

- Notice that this is a local change to the label at  $v$ ; all other labels stay the same.
- We have  $T_v^2 = \text{id}$  (on the range of  $T_v$ ), and  $T_v$  is a birational equivalence.

- We define **birational rowmotion** as the rational map

$$R := T_{v_1} \circ T_{v_2} \circ \dots \circ T_{v_n} : \mathbb{K}^{\widehat{P}} \dashrightarrow \mathbb{K}^{\widehat{P}},$$

where  $(v_1, v_2, \dots, v_n)$  is a linear extension of  $P$ .

- This is indeed independent on the linear extension, because:

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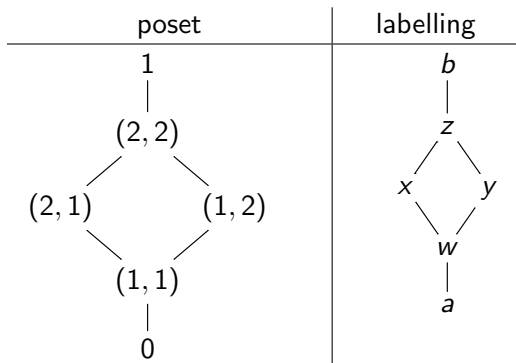
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- This is indeed independent on the linear extension, because:
  - $T_v$  and  $T_w$  commute whenever  $v$  and  $w$  are incomparable (or just don't cover each other);
  - we can get from any linear extension to any other by switching incomparable adjacent elements.

## Example:

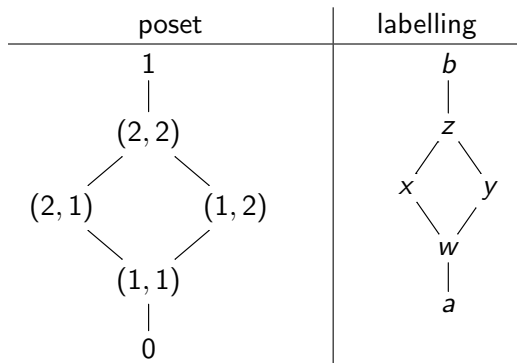
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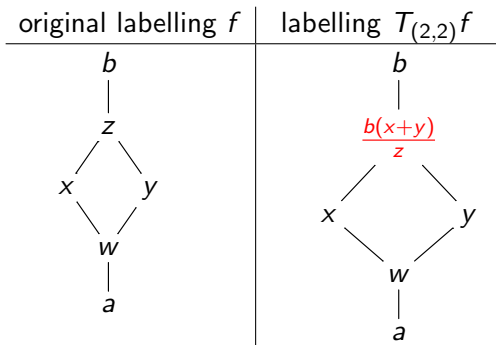


We have  $R = T_{(1,1)} \circ T_{(1,2)} \circ T_{(2,1)} \circ T_{(2,2)}$  (using the linear extension  $((1, 1), (1, 2), (2, 1), (2, 2))$ ).

That is, toggle in the order “top, left, right, bottom”.

## Example:

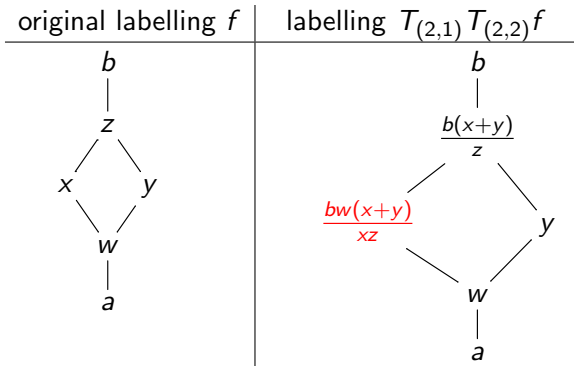
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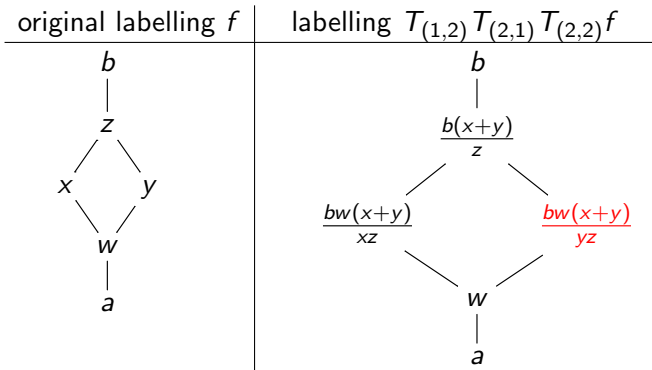
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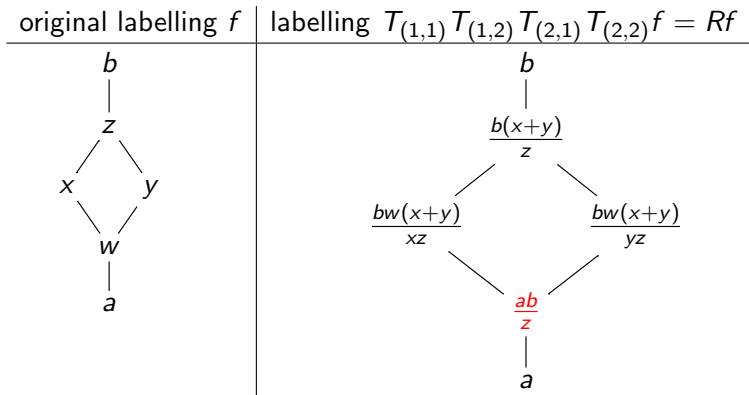
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We are using  $R = T_{(1,1)} \circ T_{(1,2)} \circ T_{(2,1)} \circ T_{(2,2)}$ .

- Why is this called birational rowmotion?
- Indeed, it generalizes classical rowmotion:
  - Let  $\text{Trop } \mathbb{Z}$  be the **tropical semiring** over  $\mathbb{Z}$ . This is the set  $\mathbb{Z} \cup \{-\infty\}$  with “addition”  $(a, b) \mapsto \max\{a, b\}$  and “multiplication”  $(a, b) \mapsto a + b$ . This is a semifield.

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  - To every order ideal  $S \in J(P)$ , assign a  $\text{Trop } \mathbb{Z}$ -labelling  $\text{tlab } S$  defined by

$$(\text{tlab } S)(v) = \begin{cases} 1, & \text{if } v \notin S \cup \{0\}; \\ 0, & \text{if } v \in S \cup \{0\} \end{cases} .$$

- Easy to see:

$$T_v \circ \text{tlab} = \text{tlab} \circ \mathbf{t}_v, \quad R \circ \text{tlab} = \text{tlab} \circ r.$$

(And  $\text{tlab}$  is injective.)

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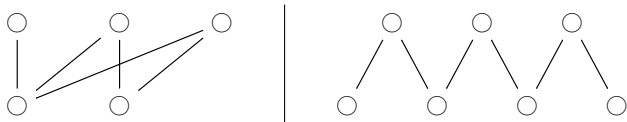
(And  $\text{tlab}$  is injective.)

- If you don't like semirings, use  $\mathbb{Q}$  and take the “tropical limit”.

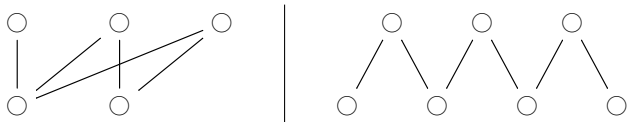


- Let  $\text{ord } \phi$  denote the order of a map or rational map  $\phi$ . This is the smallest positive integer  $k$  such that  $\phi^k = \text{id}$ , or  $\infty$  if no such  $k$  exists.
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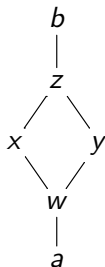


- **Nevertheless**, equality holds for many special types of  $P$ .

## Example:

Iteratively apply  $R$  to a labelling of the  $2 \times 2$ -rectangle.

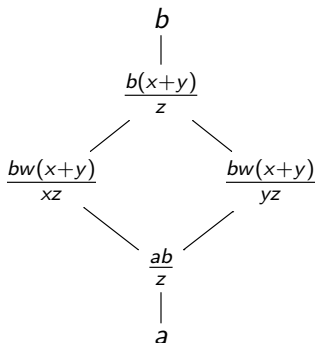
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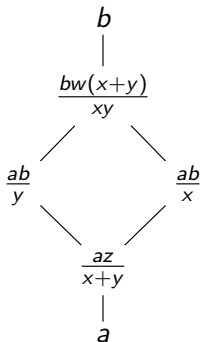
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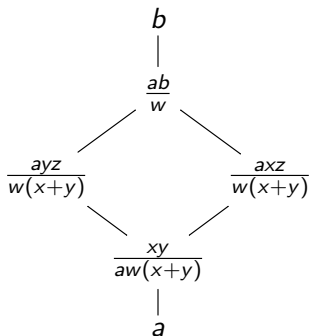
$R^2 f =$



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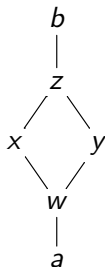
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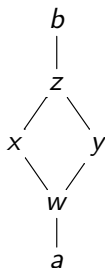




## Example:

Iteratively apply  $R$  to a labelling of the  $2 \times 2$ -rectangle.

$R^4 f =$



So we are back where we started.

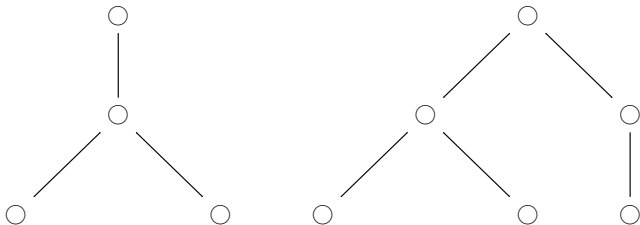
$$\text{ord}(R) = 4.$$

- **Theorem.** Assume that  $n \in \mathbb{N}$ , and  $P$  is a poset which is a forest (made into a poset using the “descendant” relation) having all leaves on the same level  $n$  (i.e., each maximal chain of  $P$  has  $n$  vertices). Then,

$$\text{ord}(R) = \text{ord}(\mathbf{r}) \mid \text{lcm}(1, 2, \dots, n + 1).$$

### Example:

This poset

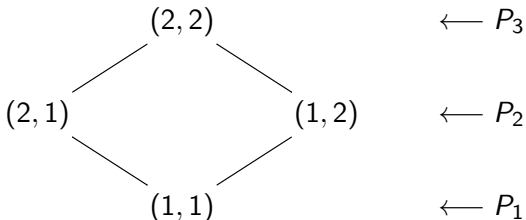


has  $\text{ord}(R) = \text{ord}(\mathbf{r}) \mid \text{lcm}(1, 2, 3, 4) = 12$ .

- Even the  $\text{ord}(\mathbf{r}) \mid \text{lcm}(1, 2, \dots, n + 1)$  part of this result seems to be new.
- We will very roughly sketch a proof of  $\text{ord}(R) \mid \text{lcm}(1, 2, \dots, n + 1)$ . Details are in the “Skeletal posets” section of our paper, where we also generalize the result to a wider class of posets we call “skeletal posets”. (These can be regarded as a generalization of forests where we are allowed to graft existing forests on roots on the top and on the bottom, and to use antichains instead of roots. An example is the  $2 \times 2$ -rectangle.)

- Consider any  $n$ -**graded** finite poset  $P$ . This means that  $P$  is partitioned into nonempty subsets  $P_1, P_2, \dots, P_n$  such that:
  - If  $u \in P_i$  and  $u \leq v$ , then  $v \in P_{i+1}$ .
  - All minimal elements of  $P$  are in  $P_1$ .
  - All maximal elements of  $P$  are in  $P_n$ .

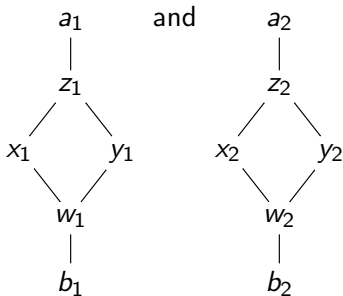
**Example:** The  $2 \times 2$ -rectangle is a 3-graded poset:



- Two  $\mathbb{K}$ -labellings  $f$  and  $g$  of  $P$  are said to be **homogeneously equivalent** if there is a  $(a_1, a_2, \dots, a_n) \in (\mathbb{K} \setminus 0)^n$  such that

$$g(v) = a_i f(v) \quad \text{for all } i \text{ and all } v \in P_i.$$

**Example:** These two labellings:



are homogeneously equivalent if and only if  $\frac{x_1}{y_1} = \frac{x_2}{y_2}$ .

- Let  $\overline{\mathbb{K}^{\widehat{P}}}$  denote the set of all  $\mathbb{K}$ -labellings of  $P$  (with no zero labels) modulo homogeneous equivalence.

Let  $\pi : \mathbb{K}^{\widehat{P}} \dashrightarrow \overline{\mathbb{K}^{\widehat{P}}}$  be the canonical projection.

- There exists a rational map  $\overline{R} : \overline{\mathbb{K}^{\widehat{P}}} \dashrightarrow \overline{\mathbb{K}^{\widehat{P}}}$  such that the diagram

$$\begin{array}{ccc}
 \mathbb{K}^{\widehat{P}} & \dashrightarrow & \mathbb{K}^{\widehat{P}} \\
 \pi \downarrow & & \downarrow \pi \\
 \overline{\mathbb{K}^{\widehat{P}}} & \dashrightarrow & \overline{\mathbb{K}^{\widehat{P}}} \\
 & \overline{R} & 
 \end{array}$$

commutes.

- Hence  $\text{ord}(\overline{R}) \mid \text{ord}(R)$ .

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$$\text{ord}(R_{PQ}) = \text{ord}(\bar{R}_{PQ}) = \text{lcm}(\text{ord}(R_P), \text{ord}(R_Q))$$

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- Finally, if  $P$  is  $n$ -graded, and  $B'_1 P$  denotes the  $(n + 1)$ -graded poset obtained by adding a new element on top of  $P$  (such that it is greater than all existing elements of  $P$ ), then

$$\text{ord}(\overline{R}_{B'_1 P}) = \text{ord}(\overline{R}_P) .$$

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$$\text{ord}(\bar{R}_{B'_1 P}) = \text{ord}(\bar{R}_P) .$$

- Combining these, we can inductively compute  $\text{ord}(R_P)$  and  $\text{ord}(\bar{R}_P)$  for any  $n$ -graded forest  $P$ , and prove  $\text{ord}(R) \mid \text{lcm}(1, 2, \dots, n + 1)$ .

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- This can be done by “tropicalizing” the notions of homogeneous equivalence,  $\pi$  and  $\overline{R}$ . Details in the “Interlude” section of our paper.

- **Theorem (periodicity):** If  $P$  is the  $p \times q$ -rectangle (i.e., the poset  $\{1, 2, \dots, p\} \times \{1, 2, \dots, q\}$  with coordinatewise order), then

$$\text{ord}(R) = p + q.$$

**Example:** For the  $2 \times 2$ -rectangle, this claims  $\text{ord}(R) = 2 + 2 = 4$ , which we have already seen.

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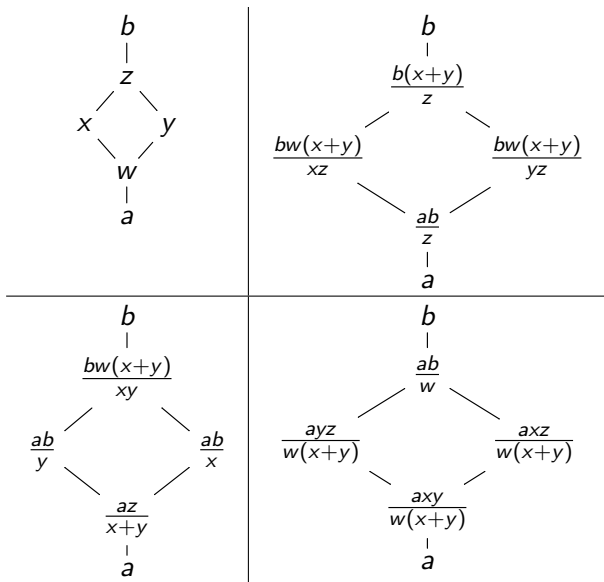
- **Theorem (reciprocity):** If  $P$  is the  $p \times q$ -rectangle, and  $(i, k) \in P$  and  $f \in \mathbb{K}^{\hat{P}}$ , then

$$f((p+1-i, q+1-k)) = \frac{f(0)f(1)}{(R^{i+k-1}f)((i, k))}.$$

- These were conjectured by James Propp and Tom Roby.

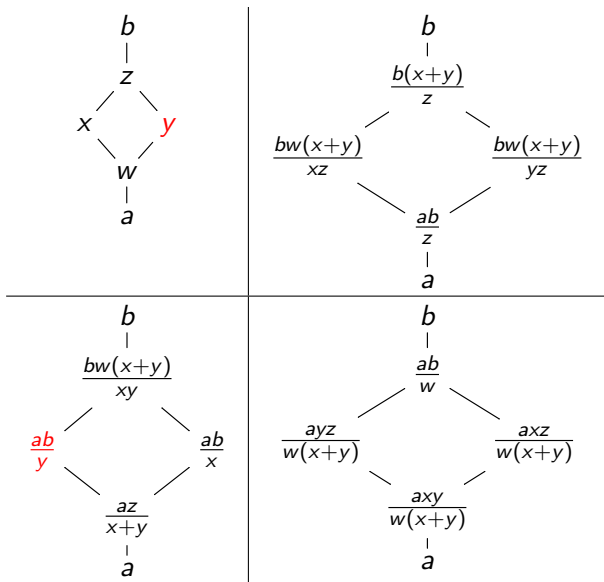
# Birational rowmotion: the rectangle case, example

**Example:** Here is the generic  $R$ -orbit on the  $2 \times 2$ -rectangle again:



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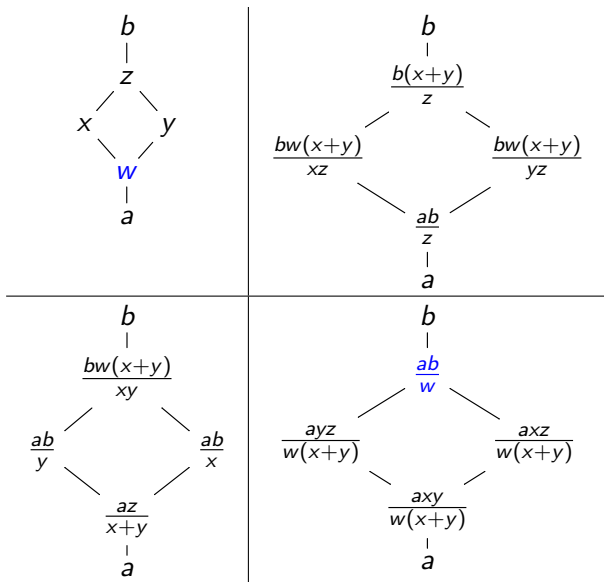
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- Inspiration: Alexandre Yu. Volkov, *On Zamolodchikov's Periodicity Conjecture*, arXiv:hep-th/0606094.
- Let  $A \in \mathbb{K}^{p \times (p+q)}$  be a matrix with  $p$  rows and  $p+q$  columns.
- Let  $A_i$  be the  $i$ -th column of  $A$ . Extend to all  $i \in \mathbb{Z}$  by setting

$$A_{p+q+i} = (-1)^{p-1} A_i \quad \text{for all } i.$$

- Let  $A[a : b \mid c : d]$  be the matrix whose columns are  $A_a, A_{a+1}, \dots, A_{b-1}, A_c, A_{c+1}, \dots, A_{d-1}$  from left to right.

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- For every  $j \in \mathbb{Z}$ , we define a  $\mathbb{K}$ -labelling  $\text{Grasp}_j A \in \mathbb{K}^{\hat{P}}$  by

$$(\text{Grasp}_j A)((i, k)) = \frac{\det(A[j+1 : j+i \mid j+i+k-1 : j+p+k])}{\det(A[j : j+i \mid j+i+k : j+p+k])}$$

for every  $(i, k) \in P$  (this is well-defined for a Zariski-generic  $A$ ) and  $(\text{Grasp}_j A)(0) = (\text{Grasp}_j A)(1) = 1$ .

- The proof of  $\text{ord}(R) = p + q$  now rests on four claims:
  - **Claim 1:** We have  $\text{Grasp}_j A = \text{Grasp}_{p+q+j} A$  for all  $j$  and  $A$ .
  - **Claim 2:** We have  $R(\text{Grasp}_j A) = \text{Grasp}_{j-1} A$  for all  $j$  and  $A$ .
  - **Claim 3:** For almost every  $f \in \mathbb{K}^{\hat{P}}$  satisfying  $f(0) = f(1) = 1$ , there exists a matrix  $A \in \mathbb{K}^{p \times (p+q)}$  such that  $\text{Grasp}_0 A = f$ .
  - **Claim 4:** In proving  $\text{ord}(R) = p + q$  we can WLOG assume that  $f(0) = f(1) = 1$ .
- Claim 1 is immediate from the definitions.

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- Claim 2 is a computation with determinants, which boils down to the three-term Plücker identities:

$$\begin{aligned} & \det(A[a-1 : b \mid c : d+1]) \cdot \det(A[a : b+1 \mid c-1 : d]) \\ & + \det(A[a : b \mid c-1 : d+1]) \cdot \det(A[a-1 : b+1 \mid c : d]) \\ & = \det(A[a-1 : b \mid c-1 : d]) \cdot \det(A[a : b+1 \mid c : d+1]). \end{aligned}$$

for  $A \in \mathbb{K}^{u \times v}$ ,  $a \leq b$ ,  $c \leq d$  and  $b - a + d - c = u - 2$ .

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- Claim 3 is an annoying (nonlinear) triangularity argument: With the ansatz  $A = (I_p \mid B)$  for  $B \in \mathbb{K}^{p \times q}$ , the equation  $\text{Grasp}_0 A = f$  translates into a system of equations in the entries of  $B$  which can be solved by elimination.

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- Claim 4 follows by recalling  $\text{ord}(R) = \text{lcm}(n + 1, \text{ord}(\overline{R}))$ .

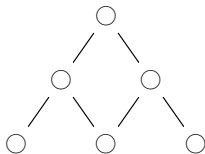


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- The reciprocity statement can be proven in a similar vein.

- **Theorem (periodicity):** If  $P$  is the triangle  $\Delta(p) = \{(i, k) \in \{1, 2, \dots, p\} \times \{1, 2, \dots, p\} \mid i + k > p + 1\}$  with  $p > 2$ , then

$$\text{ord}(R) = 2p.$$

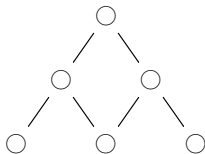
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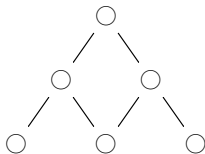


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- Precisely the same results as for classical rowmotion.

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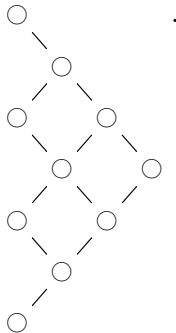
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- Theorem (reciprocity):**  $R^p$  reflects any  $\mathbb{K}$ -labelling across the vertical axis.
- Precisely the same results as for classical rowmotion.
- The proofs use a “folding”-style argument to reduce this to the rectangle case.

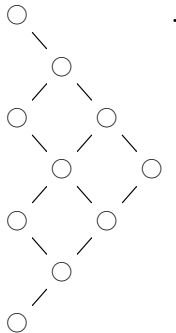
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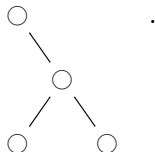


- Again this is reduced to the rectangle case.

- **Conjecture (periodicity):** If  $P$  is the triangle  $\{(i, k) \in \{1, 2, \dots, p\} \times \{1, 2, \dots, p\} \mid i \leq k; i + k > p + 1\}$ , then

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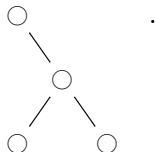
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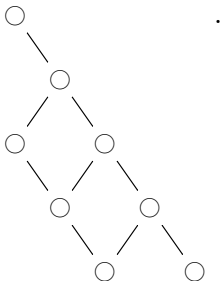
- We proved this for  $p$  odd.



- **Conjecture (periodicity):** If  $P$  is the trapezoid  $\{(i, k) \in \{1, 2, \dots, p\} \times \{1, 2, \dots, p\} \mid i \leq k; i + k > p + 1; k \geq s\}$  for some  $0 \leq s \leq p$ , then

$$\text{ord}(R) = p.$$

**Example:** For  $p = 6$  and  $s = 5$ , this  $P$  has the form:



- This was observed by Nathan Williams and verified for  $p \leq 7$ .
- Motivation comes from Williams's "Cataland" philosophy.

## Birational rowmotion: the root system connection (Nathan Williams)

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## Birational rowmotion: the root system connection (Nathan Williams)

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- **Not true:** for those  $P$  which have nice and small  $\text{ord}(\mathbf{r})$ 's.
- **However** it seems that  $\text{ord}(R) < \infty$  holds if  $P$  is **the positive root poset of a coincidental-type root system** ( $A_n, B_n, H_3$ ), or a **minuscule heap** (see Rush-Shi, section 6).

- **Tom Roby**: collaboration
- **Pavlo Pylyavskyy, Gregg Musiker**: suggestions to mimic Volkov's proof of Zamolodchikov conjecture
- **James Propp, David Einstein**: introducing birational rowmotion and conjecturing the rectangle results
- **Nathan Williams**: bringing root systems into play
- **Jessica Striker**: familiarizing the author with rowmotion
- **Alexander Postnikov**: organizing a seminar where the author first met the problem
- **David Einstein, Hugh Thomas**: corrections
- **Sage and Sage-combinat**: computations

Thank you for listening!

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<http://mit.edu/~darij/www/algebra/skeletal.pdf> for the full bibliography.