Multiline queues with spectral parameters

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joint work with Erik Aas and Travis Scrimshaw

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North Carolina State University

slides: http://www.cip.ifi.lmu.de/~grinberg/algebra/ncsu2018.pdf
paper: http://www.cip.ifi.lmu.de/~grinberg/algebra/mlqs.pdf

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$$\begin{array}{rccccccccccc} & i & = & \cdots & 4 & 5 & 1 & 2 & 3 & 4 & 5 & 1 & 2 & \cdots \\ \mapsto & u_i & = & \cdots & 2 & 2 & 3 & 3 & 1 & 2 & 2 & 3 & 3 & \cdots \end{array}$$

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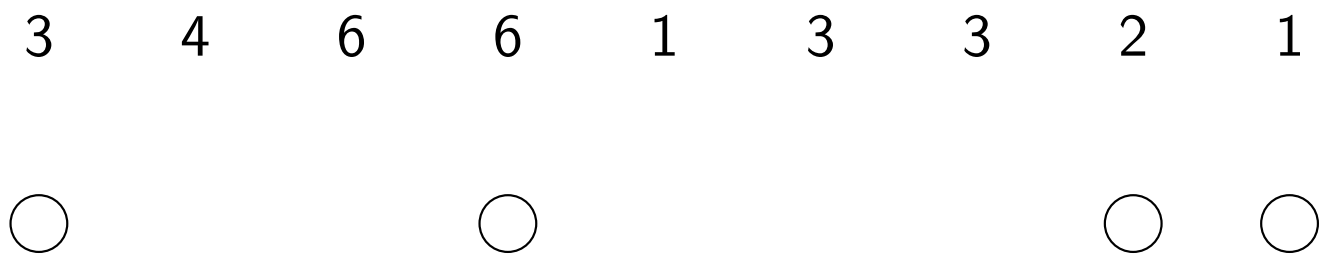

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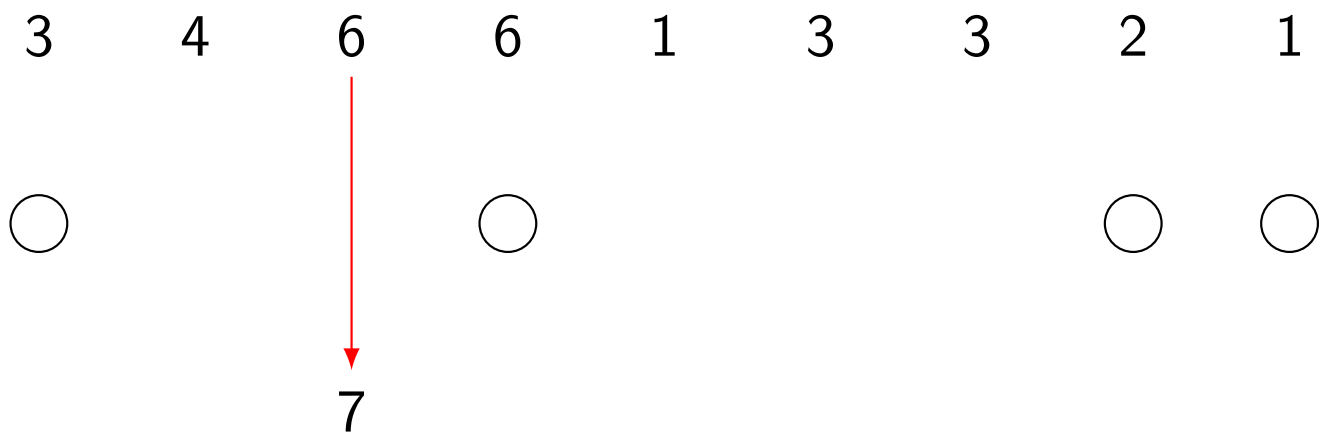

Phase I: For each of the **largest** $n - |q|$ letters of u (in **decreasing** order),

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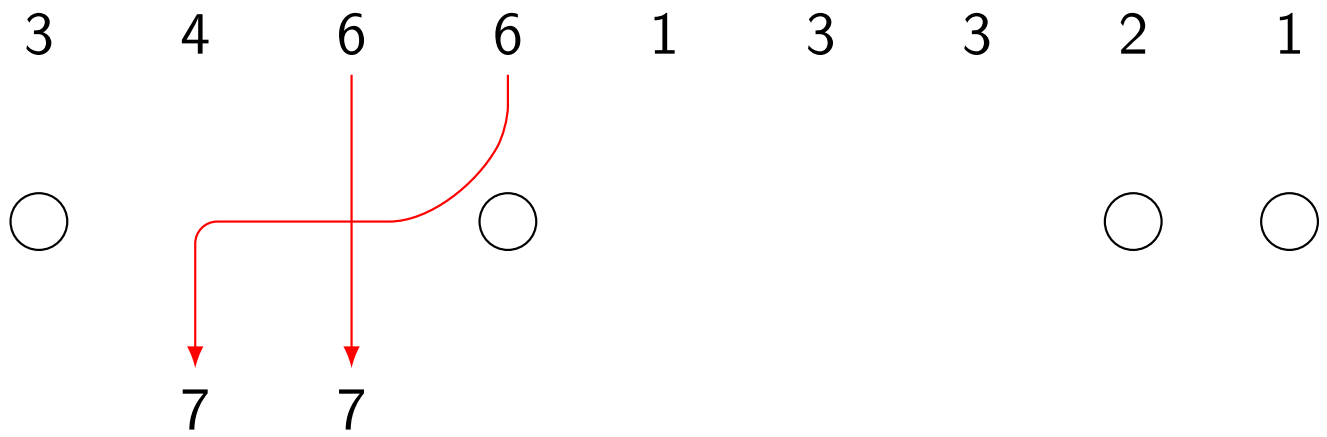

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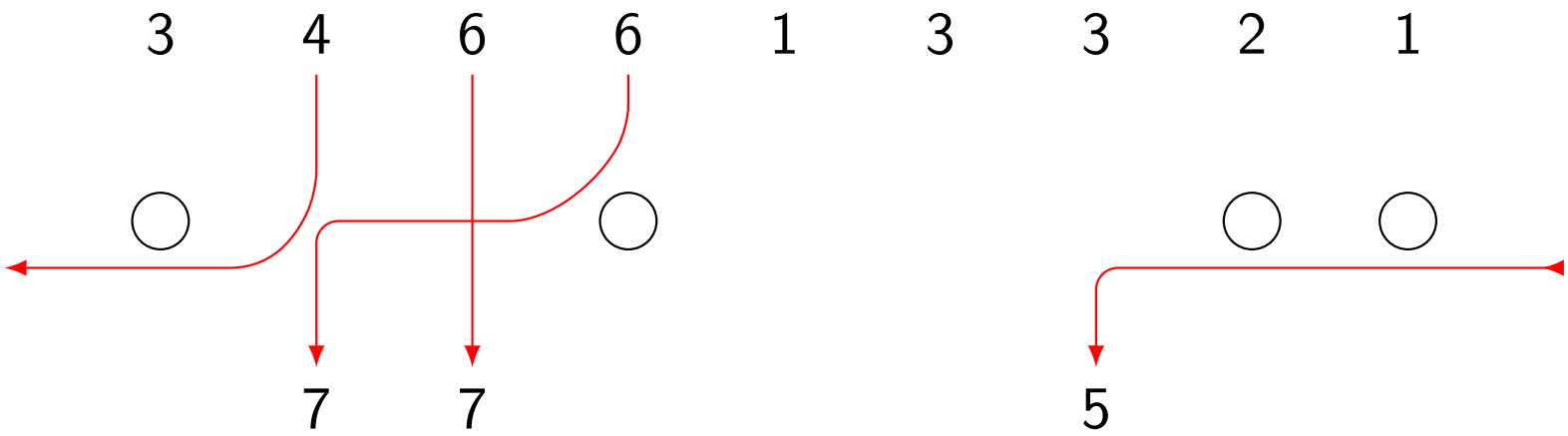

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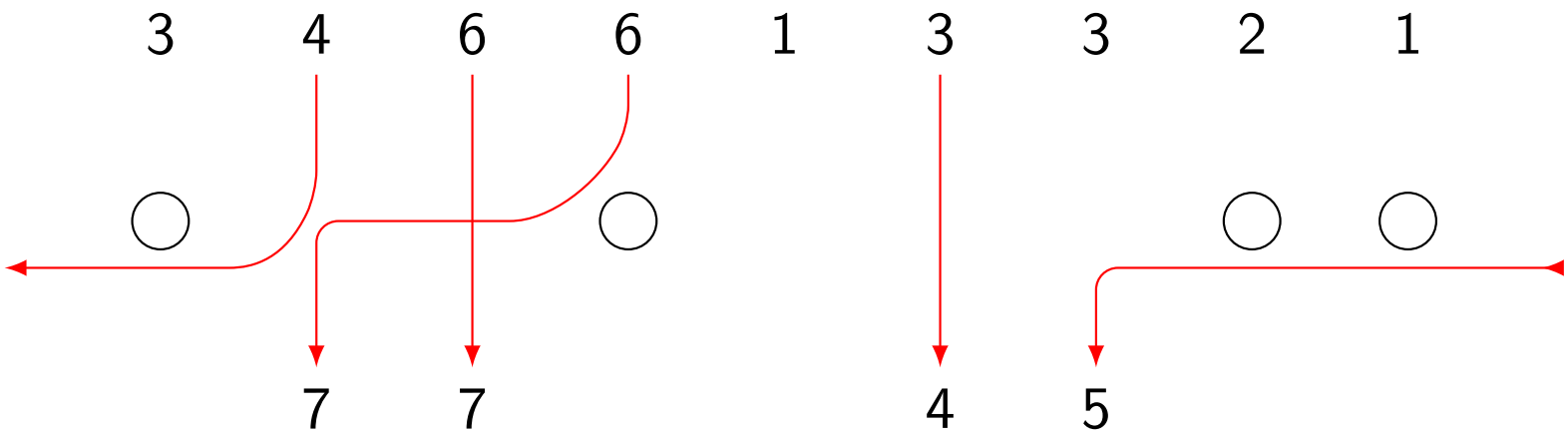

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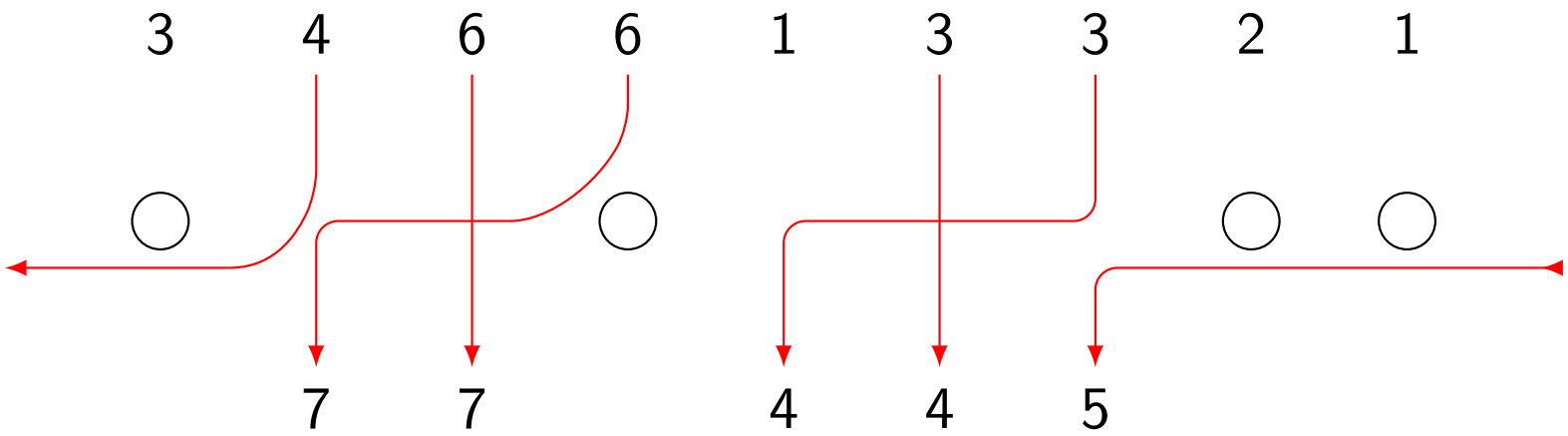

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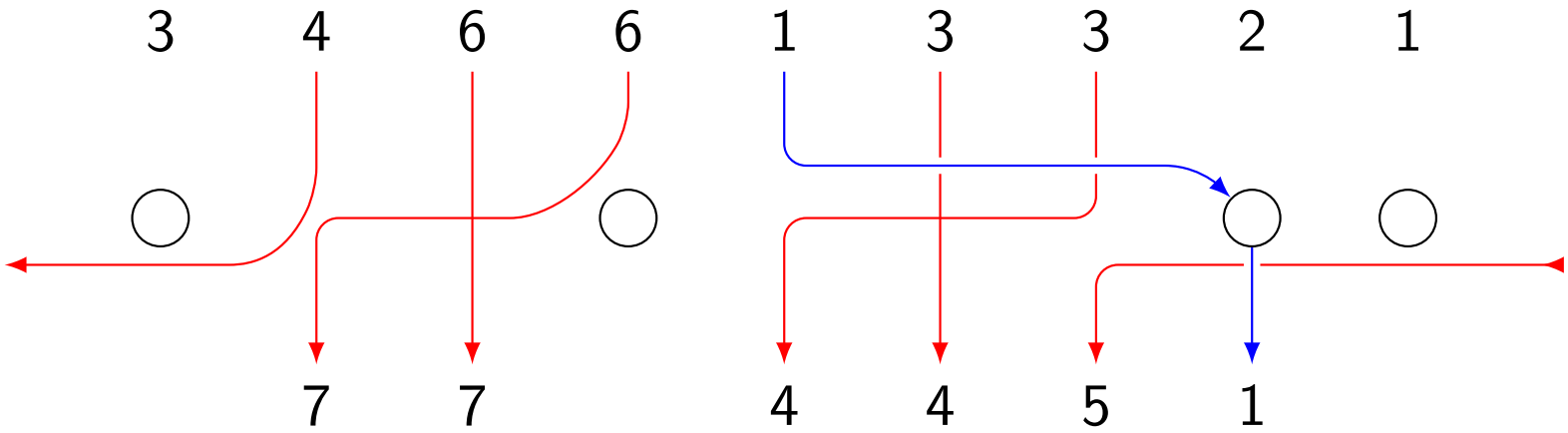

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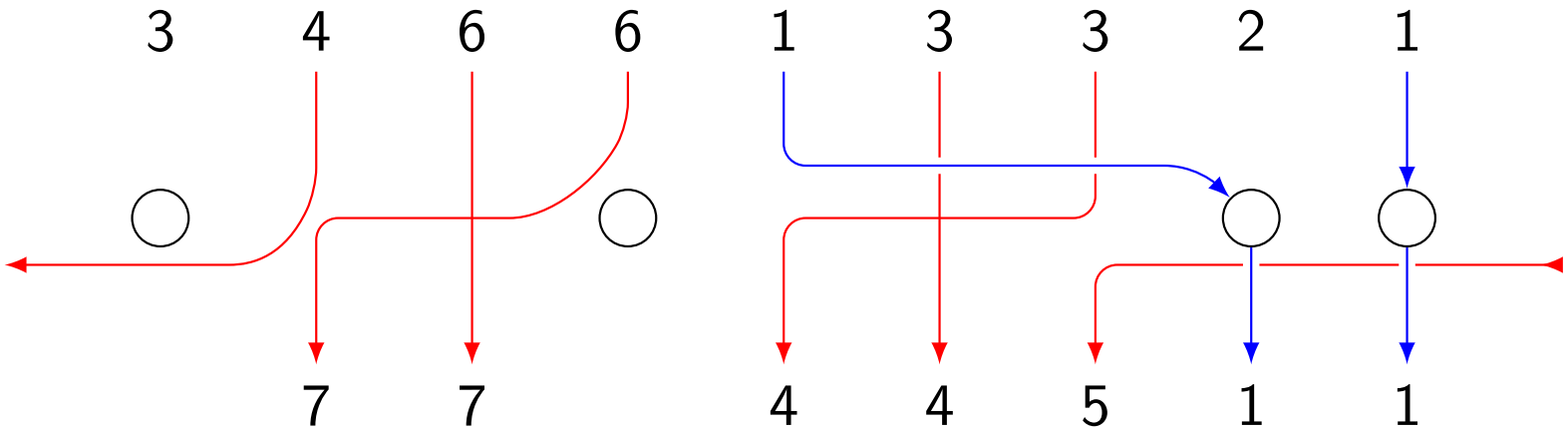

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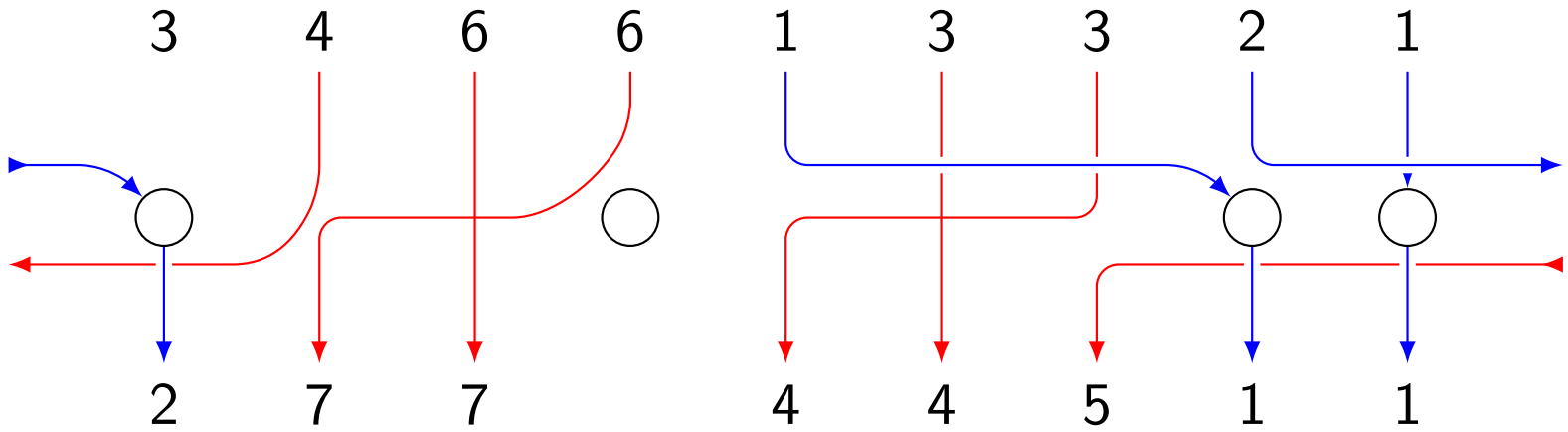

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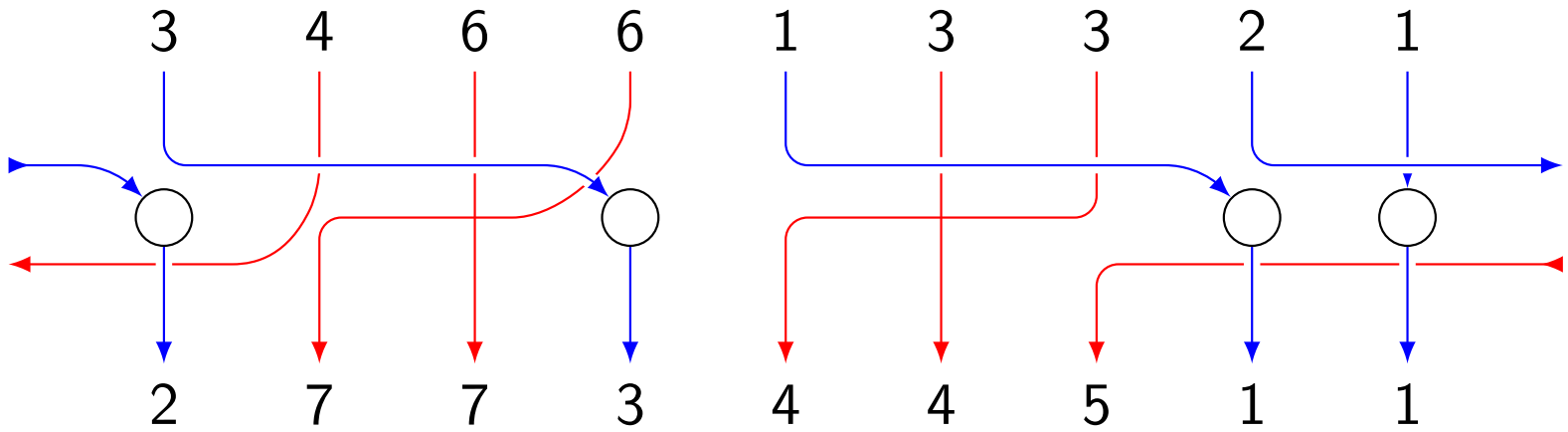

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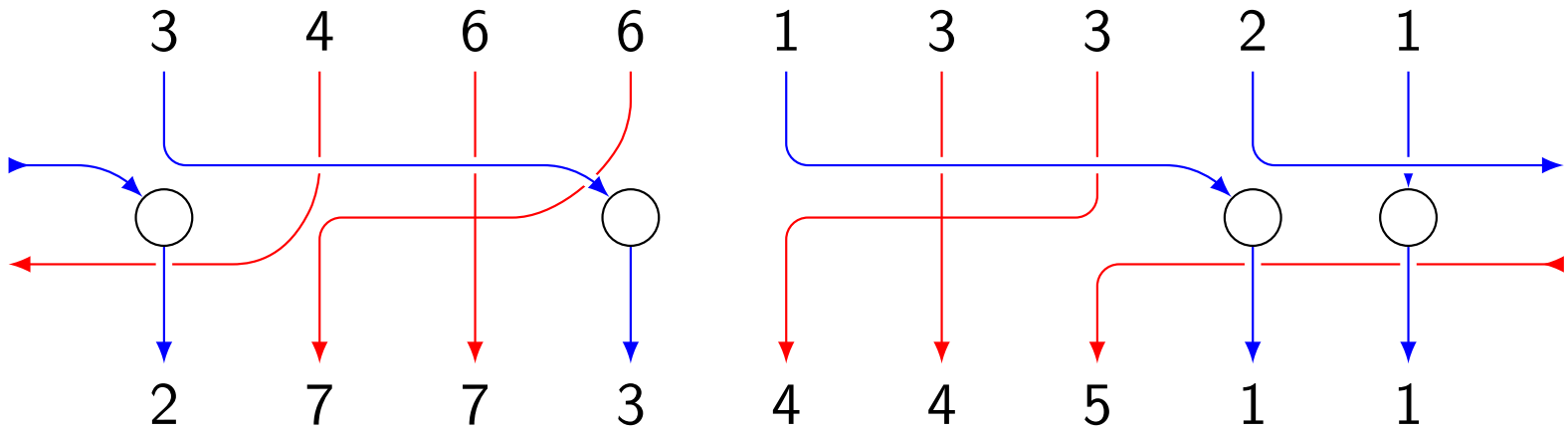

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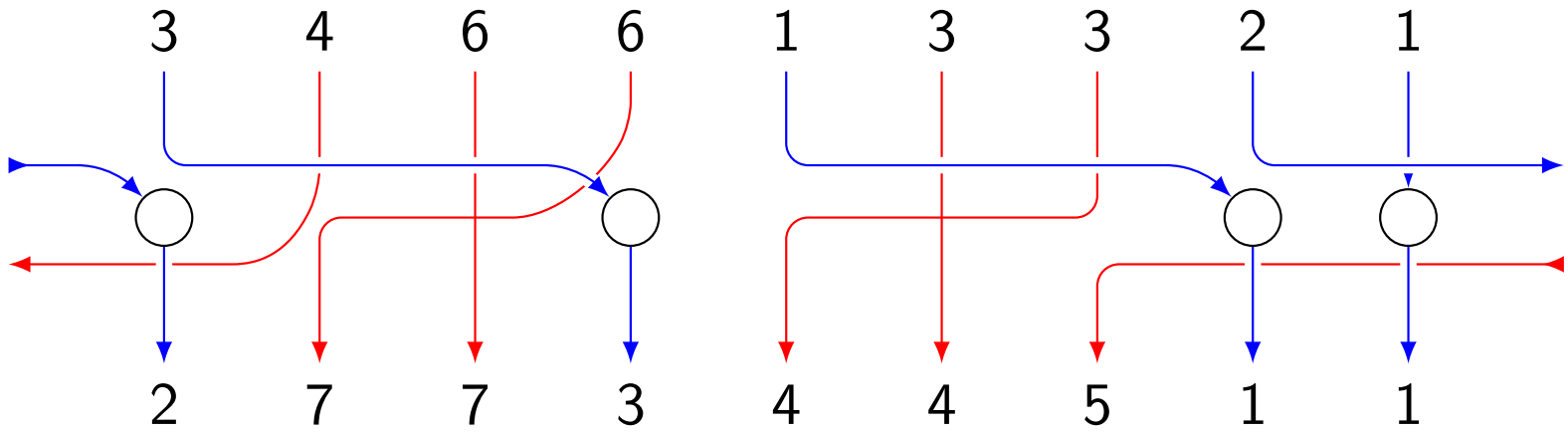

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Proposition. Equal letters can be processed in any order.

Action of queues on words, 2: formal definition

- Let q be a queue, and u a word. Define a word $q(u)$ as follows:
  In the beginning, $v = q(u)$ is a word whose letters are unset. Choose a permutation $(i_1, i_2, \ldots, i_n)$ of $(1, 2, \ldots, n)$ such that $u_{i_1} \leq u_{i_2} \leq \cdots \leq u_{i_n}$.

  Phase I. For $i = i_n, i_{n-1}, \ldots, i_{|q|+1}$, do the following: Find the first site j weakly to the left (cyclically) of i such that $j \notin q$ and v_j is not set. Then set $v_j = u_i + 1$.

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- **Proposition.**
  - The resulting word $v = q(u)$ does not depend on the choice of permutation $(i_1, i_2, \ldots, i_n)$.
  - Phase I and Phase II can be done in parallel.

Remark on TASEP connection

- This action of queues on words is the "generalized Ferrari–Martin algorithm" of Arita, Ayyer, Mallick and Prolhac (arXiv:1104.3752, J. Phys. A, 2011), extending a simpler procedure by Ferrari and Martin (arXiv:math-ph/0509045).

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  The algorithm intertwines different TASEPs, and lets one transport the stationary distribution from one to another.
- Aas and Linusson (arXiv:1501.04417, Ann. Inst. Henri Poincaré D) later attempted to obtain explicit formulas for steady state probabilities.
  Our work proves two of their conjectures.

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  Example: The word 1255135 is not packed.
  The word 1244134 is packed with 4 classes.

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- A *MLQ* (short for "multiline queue") is a tuple of queues.
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- Equivalently: A *σ-twisted MLQ of type* $\mathbf{m}$ can be defined as an MLQ $\mathbf{q} = (q_1, q_2, \ldots, q_{\ell-1})$ such that
  - the word $\mathbf{q}(1 \cdots 1)$ has type $\mathbf{m}$ (where $1 \cdots 1$ is the word whose entries all equal 1);
  - we have $0 < \left|q_{\sigma^{-1}(1)}\right| < \left|q_{\sigma^{-1}(2)}\right| < \cdots < \left|q_{\sigma^{-1}(\ell-1)}\right|$.

Generating functions, 1: definition

- Now, let $x_1, x_2, \ldots, x_n$ be commuting variables.

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- For any $\ell \geq 1$, any permutation σ of $[\ell - 1]$, and any packed word u of type $\mathbf{m}$ with ℓ classes, we define the *σ-spectral weight* $\langle u \rangle_\sigma$ by

$$\langle u \rangle_\sigma := \sum_{\substack{\mathbf{q} \text{ is a } \sigma\text{-twisted} \\ \text{MLQ of type } \mathbf{m} \\ \text{satisfying } u = \mathbf{q}(1 \cdots 1)}} \operatorname{wt} \mathbf{q}.$$

Here:

- $1 \cdots 1$ denotes the word whose all entries are 1.
- $\operatorname{wt} \mathbf{q} := \prod_{p=1}^{k} \prod_{i \in q_p} x_i$ for any MLQ $\mathbf{q} = (q_1, q_2, \ldots, q_k)$.

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Example: Recall that $(\{1,3,4,5,6\}, \{4,5\})$ is a σ-twisted MLQ of type $\mathbf{m}$ for $n = 6$ and $\mathbf{m} = (2,3,1)$ and $\ell = 3$ and $\sigma = (2,1)$ (one-line notation) satisfying $\mathbf{q}(111111) = 232112$. It contributes a monomial

$$(x_1 x_3 x_4 x_5 x_6)(x_4 x_5) = x_1 x_3 x_4^2 x_5^2 x_6 \quad \text{to } \langle 232112 \rangle_\sigma .$$

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  - $\operatorname{wt} \mathbf{q} := \prod_{p=1}^{k} \prod_{i \in q_p} x_i$ for any MLQ $\mathbf{q} = (q_1, q_2, \ldots, q_k)$.
- Set $\langle u \rangle := \langle u \rangle_{\mathrm{id}}$ for the permutation id of $[\ell - 1]$.

Generating functions, 2: more examples

- Example: For $n = 5$, $\ell = 5$ and $\mathbf{m} = (1, 1, 2, 1)$, we have

$$\langle 13234 \rangle = x_1 x_2 x_3^2 x_4 (x_1^2 + x_1 x_4 + x_1 x_5 + x_4 x_5 + x_5^2).$$

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- Examples: For $n = 5$, $\ell = 5$ and $\mathbf{m} = (1, 1, 1, 1, 1)$, we have

$$\begin{aligned}\langle 13245 \rangle &= x_1 x_2 x_3^2 x_4 (x_1^2 + x_1 x_4 + x_1 x_5 + x_4^2 + x_4 x_5 + x_5^2) \\ &\quad \cdot (x_1 x_2 x_3 + x_1 x_2 x_5 + x_1 x_3 x_5 + x_2 x_3 x_5), \\ \langle 14235 \rangle &= x_1 x_2 x_3^2 x_4^2 (x_1^3 x_2 + x_1^3 x_3 + x_1^3 x_5 + x_1^2 x_2 x_3 + x_1^2 x_2 x_4 \\ &\quad + 2 x_1^2 x_2 x_5 + x_1^2 x_3 x_4 + 2 x_1^2 x_3 x_5 + x_1^2 x_4 x_5 \\ &\quad + x_1^2 x_5^2 + x_1 x_2 x_3 x_5 + x_1 x_2 x_4 x_5 + 2 x_1 x_2 x_5^2 \\ &\quad + x_1 x_3 x_4 x_5 + 2 x_1 x_3 x_5^2 + x_1 x_4 x_5^2 + x_1 x_5^3 \\ &\quad + x_2 x_3 x_5^2 + x_2 x_4 x_5^2 + x_2 x_5^3 + x_3 x_4 x_5^2 + x_3 x_5^3).\end{aligned}$$

The symmetry theorem, 1: statement

- **Theorem.** For any $\ell \geq 1$, any permutation σ of $[\ell - 1]$, and any packed word u of type $\mathbf{m}$ with ℓ classes, we have

$$\langle u \rangle_\sigma = \langle u \rangle .$$

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- This yields the "commutativity conjecture" by Arita, Ayyer, Mallick and Prolhac on the TASEP (arXiv:1104.3752).
- This is proven bijectively, using a "duality transformation" on MLQs that leaves their action on words unchanged.
- **Main lemma.** If q_1 and q_2 are two queues, then there are two queues q_1' and q_2' satisfying

$$\left|q_1'\right| = |q_2| \qquad \text{and} \qquad \left|q_2'\right| = |q_1| \qquad \text{and}$$

$$\left(\prod_{i \in q_1'} x_i\right)\left(\prod_{i \in q_2'} x_i\right) = \left(\prod_{i \in q_1} x_i\right)\left(\prod_{i \in q_2} x_i\right)$$

such that every word u satisfies

$$q_1'\left(q_2'\left(u\right)\right) = q_1\left(q_2\left(u\right)\right).$$

The symmetry theorem, 2: idea of proof

- The construction of q_1' and q_2' is combinatorial:
  - Encode the pair (q_1, q_2) as a $2n$-letter word $b = (b_1, b_2, \ldots, b_{2n})$ over the 3-letter alphabet $\{), (, \circ\}$. Namely, for each i,
    - let b_{2i-1} be an opening parenthesis "(" if $i \in q_1$, otherwise a neutral symbol "$\circ$";
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    Convenient example:

$$n = 10;$$
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b =	$\circ)$	$(\circ$	$\circ)$	$\circ\circ$	$\circ)$	$(\circ$	$()$	$\circ)$	$(\circ$	$\circ\circ$
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  - Match parentheses in b "the usual way" but keeping in mind that the word wraps around cyclically.
  - Replace the unmatched parentheses by their duals – e.g., if they were)'s, make them ('s.
  - Turn the resulting word b' into two sets q_1' and q_2' as follows:
    - $q_1' = \{ i \in [n] \mid \text{either } b'_{2i-1} \text{ or } b'_{2i} \text{ is a "(" } \}$;
    - $q_2' = \{ i \in [n] \mid \text{either } b'_{2i-1} \text{ or } b'_{2i} \text{ is a ")" } \}$.

The symmetry theorem, 3: comments

- Note that
  - if $|q_1| < |q_2|$, then q_1' is obtained from q_1 by adding some elements from q_2, whereas q_2' is obtained from q_2 by removing these elements;
  - if $|q_1| = |q_2|$, then $q_1' = q_1$ and $q_2' = q_2$;
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  - if $|q_1| > |q_2|$, then q_1' is obtained from q_1 by removing some elements, whereas q_2' is obtained from q_2 by adding these elements.
- This is closely connected to the Lascoux-Schützenberger action of the symmetric group on words (a.k.a. the Weyl group action on the word crystal of type A).
- Note: the map $(q_1, q_2) \mapsto (q_1', q_2')$ is an involution.

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  - if $|q_1| < |q_2|$, then q_1' is obtained from q_1 by adding some elements from q_2, whereas q_2' is obtained from q_2 by removing these elements;
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  - if $|q_1| > |q_2|$, then q_1' is obtained from q_1 by removing some elements, whereas q_2' is obtained from q_2 by adding these elements.
- This is closely connected to the Lascoux-Schützenberger action of the symmetric group on words (a.k.a. the Weyl group action on the word crystal of type A).
- Note: the map $(q_1, q_2) \mapsto (q_1', q_2')$ is an involution.
- Actually, it is a known object from crystal base theory: the combinatorial R-matrix for two single columns.

A Jacobi-Trudi-like formula

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- But can we compute $\langle u \rangle$ without enumerating all MLQs?
- We have a partial answer (which subsumes two conjectures by Aas and Linusson).

A Jacobi-Trudi-like formula

- **Theorem.** Let $B = \{b_1 < b_2 < \cdots < b_r\} \subseteq [n]$.
  Let $v_1 v_2 \cdots v_r$ be a weakly decreasing (non-cyclic) packed word of length r with $\ell - 1$ classes.
  Define a word u of length n by $u_i = v_j$ if $i = b_j$ for some j, otherwise $u_i = \ell$.
  Then

$$\langle u \rangle = \left(\prod_{i \in B} x_i \right) \det\left(h_{i-j-1+\ell-v_j}(x_1, x_2, \ldots, x_{b_j}) \right)_{1 \le i,j \le r}.$$

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  Example: $n = 8$ and $r = 4$ and $B = \{1 < 3 < 4 < 7\}$ and $\ell = 4$ and $v_1 v_2 \cdots v_r = 3321$. Then,

$$\langle 3432441 \rangle = (x_1 x_3 x_4 x_7)$$

$$\begin{vmatrix} h_0(x_1) & h_{-1}(x_1,x_2,x_3) & h_{-1}(x_1,x_2,x_3,x_4) & h_{-1}(x_1,x_2,\ldots,x_7) \\ h_1(x_1) & h_0(x_1,x_2,x_3) & h_0(x_1,x_2,x_3,x_4) & h_0(x_1,x_2,\ldots,x_7) \\ h_2(x_1) & h_1(x_1,x_2,x_3) & h_1(x_1,x_2,x_3,x_4) & h_1(x_1,x_2,\ldots,x_7) \\ h_3(x_1) & h_2(x_1,x_2,x_3) & h_2(x_1,x_2,x_3,x_4) & h_2(x_1,x_2,\ldots,x_7) \end{vmatrix}$$

Bonus problem

Dual stable Grothendieck polynomials

Reminder on Schur functions

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  (And it's a Jacobi-Trudi type formula, too.)

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  (And it's a Jacobi-Trudi type formula, too.)
- Fix a commutative ring $\mathbf{k}$.
  Recall that for any skew partition λ/μ, the *(skew) Schur function* $s_{\lambda/\mu}$ is defined as the power series

$$\sum_{T \text{ is an SST of shape } \lambda/\mu} \mathbf{x}^{\operatorname{cont} T} \in \mathbf{k}\left[\left[x_1, x_2, x_3, \ldots\right]\right],$$

  where "SST" is short for "semistandard Young tableau", and where

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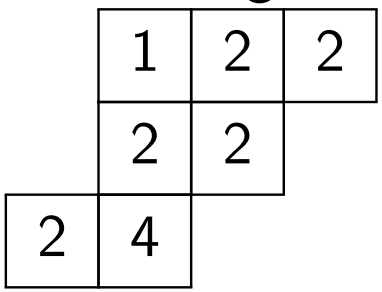
- Let us generalize this by extending the sum and introducing extra parameters.

Dual stable Grothendieck polynomials, 1: RPPs

- A *reverse plane partition (RPP)* is defined like an SST (semistandard Young tableau), but entries increase **weakly** both along rows and down columns. For example,



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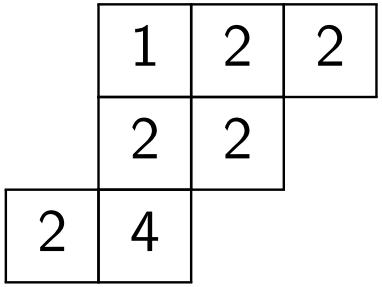

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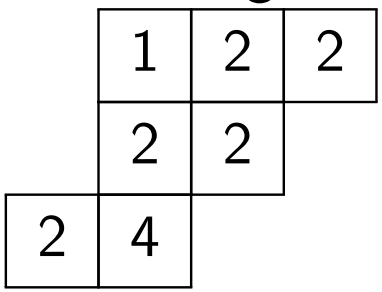

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whenever these are defined.)

- Let $\mathbf{k}$ be a commutative ring, and fix any elements $t_1, t_2, t_3, \ldots \in \mathbf{k}$.

Dual stable Grothendieck polynomials, 2: definition

- Given a skew partition λ/μ, we define the *refined dual stable Grothendieck polynomial* $\widetilde{g}_{\lambda/\mu}$ to be the formal power series

$$\sum_{T \text{ is an RPP of shape } \lambda/\mu} \mathbf{x}^{\operatorname{ircont} T} \mathbf{t}^{\operatorname{ceq} T} \in \mathbf{k}\left[\left[x_1, x_2, x_3, \ldots\right]\right],$$

where

$$\mathbf{x}^{\operatorname{ircont} T} = \prod_{k \geq 1} x_k^{\text{number of columns of } T \text{ containing entry } k}$$

and

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(where $T(i,j) = T(i+1,j)$ implies, in particular, that both (i,j) and $(i+1,j)$ are cells of T).
This is a formal power series in $x_1, x_2, x_3, \ldots$ (despite the name "polynomial").

Dual stable Grothendieck polynomials, 3: examples on $\mathbf{x}^{\operatorname{ircont} T}$

- Recall:

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- If $T = \begin{array}{|c|c|c|} \hline 1 & 2 & 2 \\ \hline 2 & 2 \\ \cline{1-2} 2 & 3 \\ \cline{1-2} \end{array}$, then $\mathbf{x}^{\operatorname{ircont} T} = x_1 x_2^4 x_3$. The x_2 has exponent 4, not 5, because the two 2's in column 3 count only once.

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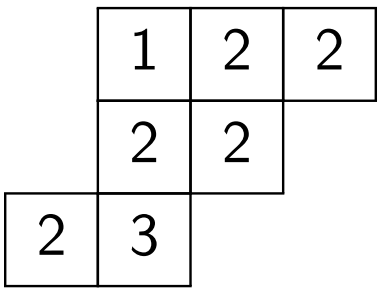
- If $T =$ 

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- If T is an SST, then $\mathbf{t}^{\operatorname{ceq} T} = 1$.
- In general, $\mathbf{t}^{\operatorname{ceq} T}$ measures "how often" T breaks the SST condition.

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- **Example 3:** If $\lambda = (2,1)$ and $\mu = ()$, then $\widetilde{g}_{\lambda/\mu} = \sum_{a \leq b;\ a<c} x_a x_b x_c + t_1 \sum_{a \leq b} x_a x_b = s_{(2,1)} + t_1 s_{(2)}$.

Jacobi-Trudi identity?

- **Conjecture:** Let the conjugate partitions of λ and μ be $\lambda^t = ((\lambda^t)_1, (\lambda^t)_2, \ldots, (\lambda^t)_N)$ and $\mu^t = ((\mu^t)_1, (\mu^t)_2, \ldots, (\mu^t)_N)$. Then,

$$\widetilde{g}_{\lambda/\mu} = \det\left(\left(e_{(\lambda^t)_i - i - (\mu^t)_j + j}\left(\mathbf{x}, \mathbf{t}\left[\left(\mu^t\right)_j + 1 : \left(\lambda^t\right)_i\right]\right)\right)_{1 \leq i \leq N,\ 1 \leq j \leq N}\right).$$

Here, $(\mathbf{x}, \mathbf{t}[k : \ell])$ denotes the alphabet $(x_1, x_2, x_3, \ldots, t_k, t_{k+1}, \ldots, t_{\ell-1})$.
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- The case $\mu = \varnothing$ has been proven by Damir Yeliussizov in arXiv:1601.01581.

Thank you

- Ricky Liu for the invitation.
- Erik Aas and Travis Scrimshaw for collaboration.
- you for attending.