

# Math 332 Winter 2023, Lecture 19: Modules

**website:** <https://www.cip.ifi.lmu.de/~grinberg/t/23wa>

## 2. Modules

### 2.2. A couple generalities

Let us now show a few general properties of modules. Again, we fix a ring  $R$ .

#### 2.2.1. Negation and subtraction

We begin with a study of negation (i.e., additive inverses).

**Proposition 2.2.1.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then,  $(-1)a = -a$  for each  $a \in M$ . (Here,  $-1$  denotes  $-1_R$ .)

*Proof.* Let  $a \in M$ . Then,  $1a = a$  (by one of the module axioms). Thus,

$$\begin{aligned} (-1)a + \underbrace{a}_{=1a} &= (-1)a + 1a \\ &= \underbrace{((-1) + 1)}_{=0_R} a && \text{(by the right distributivity axiom)} \\ &= 0_R a = 0_M && \text{(by one of the module axioms).} \end{aligned}$$

In other words,  $(-1)a$  is an additive inverse of  $a$ . But the additive inverse of  $a$  is  $-a$ . Thus, we conclude that  $(-1)a = -a$ . This proves Proposition 2.2.1.  $\square$

Further properties of negation and scaling can easily be derived from this. For example:

**Proposition 2.2.2.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Let  $r \in R$  and  $m \in M$ . Then,

$$(-r)m = -(rm) = r(-m) \tag{1}$$

and

$$(-r)(-m) = rm. \tag{2}$$

*Proof.* Left to the reader. (Just as in the proof of Proposition 2.2.1, argue that both  $(-r)m$  and  $r(-m)$  are additive inverses of  $rm$ . This proves (1). To get (2), apply (1) to  $-m$  instead of  $m$ .)  $\square$

**Proposition 2.2.3.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then, any  $R$ -submodule of  $M$  is a subgroup of the additive group  $(M, +, 0)$ .

*Proof of Proposition 2.2.3.* Let  $N$  be an  $R$ -submodule of  $M$ . Then,  $N$  is closed under addition and under scaling and contains the zero vector. Each  $a \in N$  satisfies

$$\begin{aligned} -a &= (-1) a && \text{(by Proposition 2.2.1)} \\ &\in N && \text{(since } N \text{ is closed under scaling).} \end{aligned}$$

In other words,  $N$  is closed under negation (= taking additive inverses). Thus,  $N$  is a subgroup of  $(M, +, 0)$ .  $\square$

**Proposition 2.2.4.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then, an  $R$ -submodule of  $M$  is the same as a subgroup of the additive group  $(M, +, 0)$  that is closed under scaling by every scalar  $r \in R$ .

*Proof.* Any  $R$ -submodule of  $M$  is a subgroup of the additive group  $(M, +, 0)$  (by Proposition 2.2.3) that is closed under scaling by every scalar  $r \in R$  (by the definition of a submodule). Conversely, any subgroup of the additive group  $(M, +, 0)$  that is closed under scaling by every scalar  $r \in R$  is an  $R$ -submodule of  $M$  (since it satisfies all the axioms for a submodule). Thus, Proposition 2.2.4.  $\square$

**Proposition 2.2.5.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then, any  $R$ -submodule of  $M$  becomes a left  $R$ -module in its own right (just like a subring of a ring becomes a ring).

*Proof.* Let  $N$  be an  $R$ -submodule of  $M$ . Then, Proposition 2.2.3 shows that  $N$  is a subgroup of the additive group  $(M, +, 0)$ . Hence,  $(N, +, 0)$  is a group. Since  $N$  is closed under scaling, we can also define an action of  $R$  on  $N$  in the obvious way (viz., inheriting it from  $M$ ). This makes  $N$  into a left  $R$ -module. This proves Proposition 2.2.5.  $\square$

We also have “distributivity laws for subtraction”:

**Proposition 2.2.6.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then:

- (a) We have  $(r - s) m = rm - sm$  for all  $r, s \in R$  and  $m \in M$ .
- (b) We have  $r(m - n) = rm - rn$  for all  $r \in R$  and  $m, n \in M$ .

*Proof.* LTTR. (The fastest way is to derive these properties from the distributivity laws by strategic application of (1).)  $\square$

## 2.2.2. Finite sums

Finite sums  $\sum_{s \in S} a_s$  of elements of an  $R$ -module are defined just as they are in a ring. Finite products, of course, cannot be defined, since an  $R$ -module does not have any internal multiplication.

---

The generalized distributivity laws

$$\begin{aligned} (r_1 + r_2 + \cdots + r_n) a &= r_1 a + r_2 a + \cdots + r_n a & \text{and} \\ r(a_1 + a_2 + \cdots + a_n) &= r a_1 + r a_2 + \cdots + r a_n \end{aligned}$$

hold in every left  $R$ -module  $A$  (for any  $r, r_1, r_2, \dots, r_n \in R$  and any  $a, a_1, a_2, \dots, a_n \in A$ ).

**Convention 2.2.7.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Let  $r, s \in R$  and  $m \in M$ . Since  $(rs)m$  and  $r(sm)$  are the same element of  $M$  (by associativity), we will just denote them by  $rs m$  without parentheses.

### 2.2.3. Principal submodules

Here is a particularly easy way to construct submodules:

**Proposition 2.2.8.** Let  $R$  be a ring. Let  $a$  be a central element of  $R$  (that is, an element of  $R$  that commutes with all elements of  $R$ ). Let  $M$  be a left  $R$ -module. Then,

$$aM := \{am \mid m \in M\}$$

is an  $R$ -submodule of  $M$ .

In particular,  $0M = \{0_M\}$  and  $1M = M$  are  $R$ -submodules of  $M$ .

*Proof.* LTTR. (Note that this generalizes the construction of principal ideals in  $R$ .)  $\square$

Clearly, any  $R$ -submodule  $N$  of  $M$  lies between  $0M$  and  $1M$  (that is, satisfies  $0M \subseteq N \subseteq 1M$ ).

## 2.3. Abelian groups as $\mathbb{Z}$ -modules

We shall now try to understand  $\mathbb{Z}$ -modules in particular.

Let us recall one of the most basic definitions in elementary mathematics: the definition of multiplication of integers.

Multiplication of nonnegative integers was defined by repeated addition: If  $n, m \in \mathbb{N}$ , then  $nm$  means  $\underbrace{m + m + \cdots + m}_{n \text{ times}}$ . This same formula  $nm =$

$\underbrace{m + m + \cdots + m}_{n \text{ times}}$  can be applied to negative integers  $m$  as well, but not to negative integers  $n$ , since there is no such thing as  $\underbrace{m + m + \cdots + m}_{-5 \text{ times}}$ . Thus, the

product  $nm$  for negative  $n$  had to be defined differently; one way to define it is

by setting  $nm = -\left(\underbrace{m + m + \cdots + m}_{-n \text{ times}}\right)$ . Thus, for arbitrary integers  $n$  and  $m$ ,

the product  $nm$  is defined by

$$nm = \begin{cases} \underbrace{m + m + \cdots + m}_{n \text{ times}}, & \text{if } n \geq 0; \\ - \left( \underbrace{m + m + \cdots + m}_{-n \text{ times}} \right), & \text{if } n < 0. \end{cases}$$

The same definition can be adapted to any abelian group:

**Proposition 2.3.1.** Let  $A$  be an abelian group, written additively (i.e., the operation of  $A$  is denoted by  $+$ , and the neutral element by  $0$ ). For any  $n \in \mathbb{Z}$  and  $a \in A$ , define

$$na = \begin{cases} \underbrace{a + a + \cdots + a}_{n \text{ times}}, & \text{if } n \geq 0; \\ - \left( \underbrace{a + a + \cdots + a}_{-n \text{ times}} \right), & \text{if } n < 0. \end{cases} \quad (3)$$

Thus, we have defined a map

$$\begin{aligned} \mathbb{Z} \times A &\rightarrow A, \\ (n, a) &\mapsto na. \end{aligned}$$

We shall refer to this map as the **action of  $\mathbb{Z}$  by repeated addition** (due to the way  $na$  was defined in (3)).

(a) The group  $A$  becomes a  $\mathbb{Z}$ -module, where we take this map as the action of  $\mathbb{Z}$  on  $A$ .

(b) This is the **only**  $\mathbb{Z}$ -module structure on  $A$ . That is, if  $A$  is **any**  $\mathbb{Z}$ -module, then the action of  $\mathbb{Z}$  on  $A$  is given by the formula (3) (and therefore is uniquely determined by the abelian group structure on  $A$ ).

(c) The  $\mathbb{Z}$ -submodules of  $A$  are precisely the subgroups of  $A$ .

*Proof.* See the text (§3.4). □

Proposition 2.3.1 reveals what  $\mathbb{Z}$ -modules really are: They are just abelian groups with a more convenient “user interface”. The “scaling by repeated addition” structure is inherent in the group, and by making the group into a  $\mathbb{Z}$ -module, you are “exposing” it for easy use.

In contrast, for a typical ring  $R$ , the  $R$ -modules have much more structure than the underlying abelian groups. In particular, two  $R$ -modules can often be isomorphic (or even identical) as abelian groups yet non-isomorphic as  $R$ -modules. To put it differently, the action of a ring  $R$  on an  $R$ -module  $M$  is not usually uniquely determined by the addition of  $M$ . That it is so determined for  $R = \mathbb{Z}$  is an exception.

But  $\mathbb{Z}$  is not the only exception! Another case where the  $R$ -module structure is uniquely determined by the addition is the case when  $R = \mathbb{Q}$ . The  $\mathbb{Q}$ -modules are also known as  $\mathbb{Q}$ -vector spaces (since  $\mathbb{Q}$  is a field), and again the action of  $\mathbb{Q}$  on such a  $\mathbb{Q}$ -module is uniquely determined by its addition: If  $a$  is a vector in a  $\mathbb{Q}$ -module  $M$ , and if  $q = \frac{n}{m}$  is a rational number (where  $n$  and  $m$  are integers), then  $qa$  is the unique  $b \in M$  that satisfies  $mb = na$  (and the multiples  $mb$  and  $na$  here can be computed by the formula (3) using repeated addition)<sup>1</sup>. Thus, any abelian group becomes a  $\mathbb{Q}$ -module in at most one way. However, not every abelian group can be made into a  $\mathbb{Q}$ -module in the first place! For instance,  $\mathbb{Z}/2$  does not become a  $\mathbb{Q}$ -module, because if it did, then the vector

$$\frac{1}{2} \cdot (2 \cdot \bar{1}) = \underbrace{\left(\frac{1}{2} \cdot 2\right)}_{=1} \cdot \bar{1} = 1 \cdot \bar{1} = \bar{1}$$

would be equal to

$$\frac{1}{2} \cdot \underbrace{(2 \cdot \bar{1})}_{=2=\bar{0}} = \frac{1}{2} \cdot \bar{0} = \bar{0},$$

which it is not.

Thus, we see that turning an abelian group into a  $\mathbb{Q}$ -module is not always possible, but the result is always unique if it exists.

What about  $\mathbb{R}$ -modules? Again, not every abelian group can be made into an  $\mathbb{R}$ -module (for instance,  $\mathbb{Q}$  is not an  $\mathbb{R}$ -module). But this time, uniqueness is not a given either: In an  $\mathbb{R}$ -module, the action of  $\mathbb{R}$  is never uniquely determined by the addition, unless the  $\mathbb{R}$ -module is trivial (i.e., just contains a single vector). Likewise, the action of the ring  $\mathbb{Z}[i]$  on a  $\mathbb{Z}[i]$ -module is usually not uniquely determined by the addition (see, e.g., the two different  $\mathbb{Z}[i]$ -modules  $\mathbb{Z}/5$  we constructed in §2.1.5).

## 2.4. Module morphisms

### 2.4.1. Definition

Ring morphisms are maps between rings that respect the defining features of a ring (addition, multiplication, zero and unity).

Module morphisms play a similar role for modules instead of rings. But they are also known under a different name: linear maps. Here is their definition.

**Definition 2.4.1.** Let  $R$  be a ring. Let  $M$  and  $N$  be two left  $R$ -modules.

(a) A **left  $R$ -module morphism** (or, for short, a **left  $R$ -linear map**) from  $M$  to  $N$  means a map  $f : M \rightarrow N$  that

<sup>1</sup>This isn't really obvious, but it is not hard to prove. (This is essentially Winter 2021 Homework set #3 Exercise 3.)

- **respects addition** (i.e., satisfies  $f(a + b) = f(a) + f(b)$  for all  $a, b \in M$ );
- **respects scaling** (i.e., satisfies  $f(ra) = rf(a)$  for all  $a \in M$  and  $r \in R$ );
- **respects the zero** (i.e., satisfies  $f(0_M) = 0_N$ ).

You can drop the word “left” and just say “ **$R$ -linear map**” or “ **$R$ -module morphism**” if there is no confusion to fear.

(b) A **left  $R$ -module isomorphism** from  $M$  to  $N$  means an invertible left  $R$ -module morphism  $f : M \rightarrow N$  whose inverse  $f^{-1} : N \rightarrow M$  is also a left  $R$ -module morphism.

(c) The left  $R$ -modules  $M$  and  $N$  are said to be **isomorphic** if there is a left  $R$ -module isomorphism from  $M$  to  $N$ . In this case, we write “ $M \cong N$ ”.

(d) Right  $R$ -module morphisms (and isomorphisms) are defined similarly.

### 2.4.2. Simple examples

Here are some examples of  $R$ -module morphisms:

- When  $F$  is a field, the  $F$ -module morphisms are precisely the  $F$ -linear maps you know from linear algebra.
- Let  $k \in \mathbb{Z}$ . The map  $\mathbb{Z} \rightarrow \mathbb{Z}$ ,  $a \mapsto ka$  is always a  $\mathbb{Z}$ -module morphism.
- More generally: Let  $R$  be a ring. Let  $k$  be a **central** element of  $R$ . Let  $M$  be any left  $R$ -module. Then, the map

$$\begin{aligned} M &\rightarrow M, \\ a &\mapsto ka \end{aligned}$$

is a left  $R$ -module morphism. (Check this – and make sure you see where the “central” condition is being used!)

- Let  $R$  be a ring. Let  $n \in \mathbb{N}$ . For any  $i \in \{1, 2, \dots, n\}$ , the map

$$\begin{aligned} \pi_i : R^n &\rightarrow R, \\ (a_1, a_2, \dots, a_n) &\mapsto a_i \end{aligned}$$

(which sends each  $n$ -tuple to its  $i$ -th entry) is a left  $R$ -module morphism.

Similar things hold for direct products of the form  $M_1 \times M_2 \times \dots \times M_n$ : Let  $M_1, M_2, \dots, M_n$  be any  $n$  left  $R$ -modules. Then, for any  $i \in \{1, 2, \dots, n\}$ , the map

$$\begin{aligned} \pi_i : M_1 \times M_2 \times \dots \times M_n &\rightarrow M_i, \\ (a_1, a_2, \dots, a_n) &\mapsto a_i \end{aligned}$$

is a left  $R$ -module morphism.

- If  $M$  and  $N$  are two left  $R$ -modules, then the map

$$\begin{aligned} M \times N &\rightarrow N \times M, \\ (m, n) &\mapsto (n, m) \end{aligned}$$

is an  $R$ -module isomorphism.

The  $\mathbb{Z}$ -module morphisms (i.e., the  $\mathbb{Z}$ -linear maps) are just the group morphisms of the additive groups:

**Proposition 2.4.2.** Let  $M$  and  $N$  be two  $\mathbb{Z}$ -modules. Then, the  $\mathbb{Z}$ -module morphisms from  $M$  to  $N$  are precisely the group morphisms from  $(M, +, 0)$  to  $(N, +, 0)$ .

*Proof.* Easy exercise. □

### 2.4.3. Ring morphisms as module morphisms

Here is one more source of  $R$ -module morphisms:

- Let  $R$  and  $S$  be two rings. Let  $f : R \rightarrow S$  be a ring morphism.

As we discussed in §2.1.5 (Lecture 18), this morphism  $f$  makes  $S$  into a left  $R$ -module by the rule

$$rs = f(r) \cdot s \quad \text{for all } r \in R \text{ and } s \in S.$$

This action is called the action on  $S$  induced by  $f$ .

It is now easy to see that  $f$  is a left  $R$ -module morphism from  $R$  to  $S$ . For instance, it respects scaling because

$$f(ra) = rf(a) \quad \text{for all } r \in R \text{ and } a \in R$$

(since  $f$  is a ring morphism, and thus we have  $f(ra) = f(r) \cdot f(a) = rf(a)$  by the definition of the action of  $R$  on  $S$ ).

Here is a specific example: There is a ring morphism

$$\begin{aligned} f : \mathbb{C} &\rightarrow \mathbb{C}, \\ a + bi &\mapsto a - bi \quad (\text{for all } a, b \in \mathbb{R}). \end{aligned}$$

This morphism  $f$  is called **complex conjugation** (and geometrically can be viewed as reflection across the real axis); the image  $f(z)$  of a complex number  $z$  is commonly denoted by  $\bar{z}$ .

Obviously,  $\mathbb{C}$  is a  $\mathbb{C}$ -module, with the action being given by multiplication. However, we can define a second  $\mathbb{C}$ -module structure on  $\mathbb{C}$ , which is

induced by the morphism  $f$  (as explained in §2.1.5). This second structure has the same addition as the first, but its action is given by

$$r \rightharpoonup s = \underbrace{f(r)}_{=\bar{r}} \cdot s = \bar{r} \cdot s \quad \text{for any } r, s \in \mathbb{C},$$

where  $r \rightharpoonup s$  means the result of scaling  $s$  by  $r$  using this second  $\mathbb{C}$ -module structure (i.e., the image of  $(r, s)$  under the action of  $\mathbb{C}$  on this second  $\mathbb{C}$ -module). (I would normally denote this result by  $r \cdot s$ , but here I cannot, since  $r \cdot s$  already means the usual product of  $r$  with  $s$ .)

Thus, we have found two ways of scaling a complex number  $s$  by a complex number  $r$ : The first way yields the usual product  $r \cdot s$ , while the second way yields  $\bar{r} \cdot s$ . These two ways provide two  $\mathbb{C}$ -modules which both are identical to  $\mathbb{C}$  as sets and have the same addition, but have different actions. Let me keep denoting the first of them by  $\mathbb{C}$ , but denote the second by  $\overline{\mathbb{C}}$ . Then, the map  $f$  (i.e., complex conjugation) is not  $\mathbb{C}$ -linear as a map from  $\mathbb{C}$  to  $\mathbb{C}$ , but it is  $\mathbb{C}$ -linear as a map from  $\mathbb{C}$  to  $\overline{\mathbb{C}}$ .

More generally, if  $M$  is any  $\mathbb{C}$ -module, then we can define a second  $\mathbb{C}$ -module structure on  $M$  by restricting the  $\mathbb{C}$ -module  $M$  via the complex conjugation map  $f$ . This second  $\mathbb{C}$ -module will be called  $\overline{M}$ ; it agrees with  $M$  in its addition, but its action is given by

$$r \rightharpoonup m = \bar{r} \cdot m \quad \text{for any } r \in \mathbb{C} \text{ and } m \in M,$$

where  $r \rightharpoonup m$  means the result of scaling  $m$  by  $r$  using this second  $\mathbb{C}$ -module structure, whereas  $\bar{r} \cdot m$  means the result of scaling  $m$  by  $\bar{r}$  using the original  $\mathbb{C}$ -module structure on  $M$ . You can think of  $\overline{M}$  as a “mirror image” of the  $\mathbb{C}$ -module  $M$ , which has the same vectors as  $M$  but “sees the scalars through a looking glass”.

If  $M$  and  $N$  are two  $\mathbb{C}$ -modules, then a map  $g : M \rightarrow N$  is said to be **anti-linear** (or **conjugate-linear**) if it is a  $\mathbb{C}$ -linear map from  $M$  to  $\overline{N}$ . Explicitly, this means that  $g$  has the following properties:

$$\begin{aligned} g(a + b) &= g(a) + g(b) && \text{for all } a, b \in M; \\ g(ra) &= \bar{r}g(a) && \text{for all } r \in \mathbb{C} \text{ and } a \in M; \\ g(0) &= 0. \end{aligned}$$

Thus, in particular, the complex conjugation map  $f$  is an antilinear map from  $\mathbb{C}$  to  $\mathbb{C}$  (or a linear map from  $\mathbb{C}$  to  $\overline{\mathbb{C}}$ ).

Antilinear maps appear frequently in complex linear algebra. For exam-



ple, the standard dot product

$$\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R},$$

$$\left( \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{pmatrix}, \begin{pmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{pmatrix} \right) \mapsto v_1 w_1 + v_2 w_2 + \cdots + v_n w_n$$

is linear in both of its arguments, whereas the Hermitian dot product

$$\mathbb{C}^n \times \mathbb{C}^n \rightarrow \mathbb{C},$$

$$\left( \begin{pmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{pmatrix}, \begin{pmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{pmatrix} \right) \mapsto \overline{v_1} w_1 + \overline{v_2} w_2 + \cdots + \overline{v_n} w_n$$

is antilinear in its first argument and linear in its second (which means that it becomes linear in both arguments if we view it as a map from  $\overline{\mathbb{C}^n} \times \mathbb{C}^n$  to  $\mathbb{C}$ ). Maps with the latter property are called **sesquilinear**, and in particular all Hermitian forms are sesquilinear.

---