

# Math 533 Winter 2021, Lecture 8: Modules

**website:** <https://www.cip.ifi.lmu.de/~grinberg/t/21w/>

## 1. Modules ([DF, Chapter 10])

### 1.1. Definition and examples ([DF, §10.1]) (cont'd)

Fix a ring  $R$ . Last time, we have defined left  $R$ -modules (to remind: these are essentially additive groups whose elements can be scaled by elements of  $R$ ), and I have started giving examples of them. Let me briefly repeat the two examples I gave:

- The ring  $R$  itself becomes a left  $R$ -module: Just define the action to be the multiplication of  $R$ . This is called the **natural left  $R$ -module  $R$** . The  $R$ -submodules of this  $R$ -module are the left ideals of  $R$ . (Every ideal of  $R$  is a left ideal of  $R$ , but usually not vice versa.)
- For any  $n \in \mathbb{N}$ , the set

$$R^n = \{(a_1, a_2, \dots, a_n) \mid \text{all } a_i \text{ belong to } R\}$$

is a left  $R$ -module, with addition and action being entrywise<sup>1</sup> and with the zero vector  $(0, 0, \dots, 0)$ . This generalizes the Euclidean space  $\mathbb{R}^n$  from linear algebra, and many of its analogues.

Here are some more examples:

- The left  $R$ -modules  $R^n$  (with  $n \in \mathbb{N}$ ) tend to have many  $R$ -submodules. When  $R$  is a field, this is well-known from linear algebra (where  $R$ -submodules are called  $R$ -vector subspaces); in particular, the solution set of any given system of homogeneous linear equations in  $n$  variables is an  $R$ -submodule of  $R^n$ . The same applies to any commutative ring  $R$ , but here we have even more freedom: Besides equations, our system can contain congruences too (as long as they are congruent). For instance, for  $R = \mathbb{Z}$ , the set

$$\left\{ (x, y, z, w) \in \mathbb{Z}^4 \mid \begin{array}{l} x \equiv y \pmod{2} \text{ and } x + y + z + w \equiv 0 \pmod{3} \\ \text{and } x - y + z - w = 0 \end{array} \right\}$$

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<sup>1</sup>e.g., the action is defined by

$$r \cdot (a_1, a_2, \dots, a_n) = (ra_1, ra_2, \dots, ra_n) \text{ for all } r \in R \text{ and } a_1, a_2, \dots, a_n \in R.$$


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is a  $\mathbb{Z}$ -submodule of  $\mathbb{Z}^4$ . To prove this, you need to check the axioms (“closed under addition”, “closed under scaling” and “contains the zero vector”). With a bit of practice, you can do this all in your head.

If  $R$  is noncommutative, you have to be somewhat careful with the side on which the coefficients stand in your system. If the coefficients are on the **right** of the variables, then the solution set is a **left**  $R$ -module (so, e.g., if  $a$  and  $b$  are two elements of  $R$ , then  $\{(x, y) \in R^2 \mid xa + yb = 0\}$  is a left  $R$ -module); on the other hand, if the coefficients are on the **left** of the variables, then the solution set is a **right**  $R$ -module. (Again, this is not hard to check: e.g., the set  $\{(x, y) \in R^2 \mid xa + yb = 0\}$  is closed under the scaling maps of a left  $R$ -module because  $xa + yb = 0$  implies  $rx a + ry b = r \underbrace{(xa + yb)}_{=0} = 0$ . Meanwhile, in general, this set is not closed under the scaling maps of a right  $R$ -module, since  $xa + yb = 0$  does not imply  $xra + yrb = 0$ .)

- Just as we defined the left  $R$ -module  $R^n$  consisting of all  $n$ -tuples, we can define a left  $R$ -module “ $R^\infty$ ” consisting of all infinite sequences. It is commonly denoted by  $R^\mathbb{N}$  (since there are different kinds of infinity). Explicitly, we define the left  $R$ -module  $R^\mathbb{N}$  by

$$R^\mathbb{N} := \{(a_0, a_1, a_2, \dots) \mid \text{all } a_i \text{ belong to } R\},$$

where addition and action are defined entrywise.

This left  $R$ -module  $R^\mathbb{N}$  has an  $R$ -submodule

$$R^{(\mathbb{N})} := \{(a_0, a_1, a_2, \dots) \in R^\mathbb{N} \mid \text{only finitely many } i \in \mathbb{N} \text{ satisfy } a_i \neq 0\}.$$

You can check that this is indeed an  $R$ -submodule of  $R^\mathbb{N}$ . (For instance, it is closed under addition, because if only finitely many  $i \in \mathbb{N}$  satisfy  $a_i \neq 0$  and only finitely many  $i \in \mathbb{N}$  satisfy  $b_i \neq 0$ , then only finitely many  $i \in \mathbb{N}$  satisfy  $a_i + b_i \neq 0$ .)

For example, if  $R = \mathbb{Q}$ , then

$$\begin{aligned} & (1, 1, 1, \dots) \in R^\mathbb{N} \setminus R^{(\mathbb{N})} \\ \text{and} & \quad (0, 0, 0, \dots) \in R^{(\mathbb{N})} \\ \text{and} & \quad (1, 0, 0, 0, \dots) \in R^{(\mathbb{N})} \\ \text{and} & \quad \left(1, 0, 4, \underbrace{0, 0, 0, \dots}_{\text{zeroes}}\right) \in R^{(\mathbb{N})} \\ \text{and} & \quad \left(\underbrace{1, 0, 1, 0, 1, 0, \dots}_{\text{ones and zeroes in turn}}\right) \in R^\mathbb{N} \setminus R^{(\mathbb{N})}. \end{aligned}$$

- Generalizing  $R^n$ , here is a way to build modules out of other modules:

Let  $n \in \mathbb{N}$ , and let  $M_1, M_2, \dots, M_n$  be any  $n$  left  $R$ -modules. Then, the Cartesian product  $M_1 \times M_2 \times \dots \times M_n$  becomes a left  $R$ -module itself, where addition and action are defined entrywise: e.g., the action is defined by

$$r \cdot (m_1, m_2, \dots, m_n) = (rm_1, rm_2, \dots, rm_n) \text{ for all } r \in R \text{ and } m_i \in M_i.$$

This left  $R$ -module  $M_1 \times M_2 \times \dots \times M_n$  is called the **direct product** of  $M_1, M_2, \dots, M_n$ . If all of  $M_1, M_2, \dots, M_n$  are the natural left  $R$ -module  $R$ , then this direct product is precisely the left  $R$ -module  $R^n$  defined above.

This direct product  $M_1 \times M_2 \times \dots \times M_n$  can be generalized further, allowing products of infinitely many modules, too. Just as for rings, the best setting for this is using families, not lists:<sup>2</sup>

**Proposition 1.1.1.** Let  $I$  be any set. Let  $(M_i)_{i \in I}$  be any family of left  $R$ -modules. Then, the Cartesian product

$$\prod_{i \in I} M_i = \{ \text{all families } (m_i)_{i \in I} \text{ with } m_i \in M_i \text{ for all } i \in I \}$$

becomes a left  $R$ -module if we endow it with the entrywise addition (i.e., we set  $(m_i)_{i \in I} + (n_i)_{i \in I} = (m_i + n_i)_{i \in I}$  for any two families  $(m_i)_{i \in I}, (n_i)_{i \in I} \in \prod_{i \in I} M_i$ ) and the entrywise scaling (i.e., we set  $r(m_i)_{i \in I} = (rm_i)_{i \in I}$  for any  $r \in R$  and any family  $(m_i)_{i \in I} \in \prod_{i \in I} M_i$ ) and with the zero vector  $(0)_{i \in I}$ .

**Definition 1.1.2.** This left  $R$ -module is denoted by  $\prod_{i \in I} M_i$  and called the **direct product** of the left  $R$ -modules  $M_i$ .

If  $I = \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$ , then this left  $R$ -module is also denoted by  $M_1 \times M_2 \times \dots \times M_n$ , and we identify a family  $(m_i)_{i \in I} = (m_i)_{i \in \{1, 2, \dots, n\}}$  with the  $n$ -tuple  $(m_1, m_2, \dots, m_n)$ . (Thus,  $M_1 \times M_2 \times \dots \times M_n$  is precisely the direct product  $M_1 \times M_2 \times \dots \times M_n$  we defined above.)

If all the left  $R$ -modules  $M_i$  are equal to some left  $R$ -module  $M$ , then their direct product  $\prod_{i \in I} M_i = \prod_{i \in I} M$  is also denoted  $M^I$ . Note that this generalizes the  $R^{\mathbb{N}}$  defined above.

We set  $M^n = M^{\{1, 2, \dots, n\}}$  for each  $n \in \mathbb{N}$  and any left  $R$ -module  $M$ . This generalizes the left  $R$ -module  $R^n$  for  $n \in \mathbb{N}$  discussed above.

This was quite predictable; but there is more. Indeed, we can generalize not just  $R^{\mathbb{N}}$  but also its submodule  $R^{(\mathbb{N})}$ , and the result is at least as important:<sup>3</sup>

<sup>2</sup>The proof of Proposition 1.1.1 is easy and LTTR.

<sup>3</sup>The proof of Proposition 1.1.3 is easy and LTTR.

**Proposition 1.1.3.** Let  $I$  be any set. Let  $(M_i)_{i \in I}$  be any family of left  $R$ -modules. Define  $\bigoplus_{i \in I} M_i$  to be the subset

$$\left\{ (m_i)_{i \in I} \in \prod_{i \in I} M_i \mid \text{only finitely many } i \in I \text{ satisfy } m_i \neq 0 \right\}$$

of  $\prod_{i \in I} M_i$ . Then,  $\bigoplus_{i \in I} M_i$  is a left  $R$ -submodule of  $\prod_{i \in I} M_i$ , and thus becomes a left  $R$ -module itself.

**Definition 1.1.4.** This left  $R$ -module  $\bigoplus_{i \in I} M_i$  is called the **direct sum** of the  $R$ -modules  $M_i$ .

If  $I = \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$ , then this left  $R$ -module is also denoted by  $M_1 \oplus M_2 \oplus \dots \oplus M_n$ .

The last part of this definition might raise some eyebrows. In fact, if the set  $I$  is finite, then  $\bigoplus_{i \in I} M_i = \prod_{i \in I} M_i$  (since the condition “only finitely many  $i \in I$  satisfy  $m_i \neq 0$ ” is automatically satisfied for any family  $(m_i)_{i \in I}$  when  $I$  is finite). Thus, in particular,

$$M_1 \oplus M_2 \oplus \dots \oplus M_n = M_1 \times M_2 \times \dots \times M_n$$

for any left  $R$ -modules  $M_1, M_2, \dots, M_n$ . So we have introduced two notations for the same thing. Nevertheless, both are in use.

For  $I = \mathbb{N}$  and  $M_i = R$ , the direct sum  $\bigoplus_{i \in I} M_i = \bigoplus_{i \in \mathbb{N}} R$  is precisely the  $R$ -module  $R^{(\mathbb{N})}$  defined above.

For arbitrary  $I$  and any left  $R$ -module  $M$ , the direct sum  $\bigoplus_{i \in I} M$  is denoted by  $M^{(I)}$ .

### 1.1.1. Restriction of modules

Here are some more ways to construct modules over rings:

- If  $R$  is a subring of a ring  $S$ , then  $S$  is a left  $R$ -module (where the action of  $R$  on  $S$  is defined by restricting the multiplication map  $S \times S \rightarrow S$  to  $R \times S$ ) and a right  $R$ -module (in a similar way).

Let me restate this in a more down-to-earth way: If  $R$  is a subring of a ring  $S$ , then we can multiply any element of  $R$  with any element of  $S$  (since both elements lie in the ring  $S$ ); this makes  $S$  into a left  $R$ -module (and likewise,  $S$  becomes a right  $R$ -module). Explicitly, the action of  $R$  on the left  $R$ -module  $S$  is given by

$$rs = rs \quad \text{for all } r \in R \text{ and } s \in S$$

(where the “ $rs$ ” on the left hand side means the image of  $(r, s)$  under the action, whereas the “ $rs$ ” on the right hand side means the product of  $r$  and  $s$  in the ring  $S$ ).

Thus, for example,  $\mathbb{C}$  is an  $\mathbb{R}$ -module (since  $\mathbb{R}$  is a subring of  $\mathbb{C}$ ) and also a  $\mathbb{Q}$ -module (for similar reasons). (In this example, you can say “vector space” instead of “module”, since  $\mathbb{R}$  and  $\mathbb{Q}$  are fields.)

- More generally: If  $R$  and  $S$  are any two rings, and if  $f : R \rightarrow S$  is a ring morphism, then  $S$  becomes a left  $R$ -module (with the action of  $R$  on  $S$  being defined by

$$rs = f(r)s \quad \text{for all } r \in R \text{ and } s \in S$$

) and a right  $R$ -module (in a similar way). The proof of this is easy. These  $R$ -module structures are sometimes said to be **induced** by the morphism  $f$ .

Our previous example (in which we made  $S$  into an  $R$ -module whenever  $R$  is a subring of  $S$ ) is the particular case of this construction obtained when  $f$  is the canonical inclusion of  $R$  into  $S$ .

Here are some other particular cases:

- Any quotient ring  $R/I$  of a ring  $R$  (by some ideal  $I$ ) becomes a left  $R$ -module, because the canonical projection  $\pi : R \rightarrow R/I$  (which sends every  $r \in R$  to its residue class  $\bar{r} \in R/I$ ) is a ring morphism. Explicitly, the action of  $R$  on  $R/I$  is given by

$$r \cdot \bar{u} = \underbrace{\pi(r)}_{=\bar{r}} \cdot \bar{u} = \bar{r} \cdot \bar{u} = \overline{ru} \quad \text{for all } r, u \in R.$$

Similarly,  $R/I$  becomes a right  $R$ -module.

- Here is another particular case: I claim that the abelian group  $\mathbb{Z}/5$  becomes a  $\mathbb{Z}[i]$ -module<sup>4</sup>, if we define the action by

$$(a + bi) \cdot m = \overline{a + 2b} \cdot m \quad \text{for all } a + bi \in \mathbb{Z}[i] \text{ and } m \in \mathbb{Z}/5.$$

To wit, the map

$$\begin{aligned} f : \mathbb{Z}[i] &\rightarrow \mathbb{Z}/5, \\ a + bi &\mapsto \overline{a + 2b} \end{aligned}$$

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<sup>4</sup>As usual,  $\mathbb{Z}[i]$  denotes the ring of the Gaussian integers, with  $i = \sqrt{-1}$ .

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is a ring morphism (check this!<sup>5</sup>); and this can be used to turn  $\mathbb{Z}/5$  into a  $\mathbb{Z}[i]$ -module by our above construction; this yields precisely the action I claimed above (because all  $a + bi \in \mathbb{Z}[i]$  and  $m \in \mathbb{Z}/5$  satisfy  $(a + bi) \cdot m = \underbrace{f(a + bi)}_{=a+2b} \cdot m = \overline{a + 2b} \cdot m$ ).

This is not the only way to turn  $\mathbb{Z}/5$  into a  $\mathbb{Z}[i]$ -module. We could just as well use the ring morphism

$$\begin{aligned} g : \mathbb{Z}[i] &\rightarrow \mathbb{Z}/5, \\ a + bi &\mapsto \overline{a + 3b} \end{aligned}$$

instead of  $f$ . This would give us a  $\mathbb{Z}[i]$ -module  $\mathbb{Z}/5$  with action given by

$$(a + bi) \cdot m = \overline{a + 3b} \cdot m \quad \text{for all } a + bi \in \mathbb{Z}[i] \text{ and } m \in \mathbb{Z}/5.$$

Thus, we have obtained two **different**  $\mathbb{Z}[i]$ -module structures on  $\mathbb{Z}/5$  – that is, two different  $\mathbb{Z}[i]$ -modules that are equal as sets (and even as additive groups) but different as  $\mathbb{Z}[i]$ -modules (and not even isomorphic as such). None of these two module structures is more natural or otherwise better than the other. Thus, when you speak of a “ $\mathbb{Z}[i]$ -module  $\mathbb{Z}/5$ ”, you need to clarify which one you mean. (Such situations are rather frequent in algebra. “Natural”  $R$ -module structures – i.e., structures that are clearly “the right one” – are rare in comparison.)

- Even more generally: If  $R$  and  $S$  are two rings, and if  $f : R \rightarrow S$  is a ring morphism, then any left  $S$ -module  $M$  (not just  $S$  itself) naturally becomes a left  $R$ -module, with the action defined by

$$rm = f(r)m \quad \text{for all } r \in R \text{ and } m \in M.$$

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<sup>5</sup>Indeed, it is pretty easy to see that this map  $f$  respects addition, the zero and the unity. It remains to show that this map respects multiplication. To show this, we fix any  $x, y \in \mathbb{Z}[i]$ . We then need to show that  $f(xy) = f(x)f(y)$ .

Write  $x$  and  $y$  as  $x = a + bi$  and  $y = c + di$  for some  $a, b, c, d \in \mathbb{Z}$ . Then,  $xy = (a + bi)(c + di) = (ac - bd) + (ad + bc)i$  (by the rule for multiplying complex numbers). Hence,

$$f(xy) = f((ac - bd) + (ad + bc)i) = \overline{ac - bd + 2(ad + bc)} \quad (1)$$

(by the definition of  $f$ ). On the other hand,  $x = a + bi$  entails  $f(x) = f(a + bi) = \overline{a + 2b}$ , and similarly we find  $f(y) = \overline{c + 2d}$ . Multiplying these two equalities, we find

$$f(x)f(y) = \overline{a + 2b} \cdot \overline{c + 2d} = \overline{(a + 2b)(c + 2d)} = \overline{ac + 2^2bd + 2(ad + bc)} \quad (2)$$

(since  $(a + 2b)(c + 2d) = ac + 2^2bd + 2(ad + bc)$ ). Now, the right hand sides of the equalities (1) and (2) are identical (since  $2^2 \equiv -1 \pmod{5}$  and thus  $\overline{2^2} = \overline{-1}$ , so that  $\overline{2^2bd} = \overline{-bd}$ ); hence, so are the left hand sides. In other words,  $f(xy) = f(x)f(y)$ . This completes the proof that the map  $f$  respects multiplication; therefore,  $f$  is a ring morphism.

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This method of turning  $S$ -modules into  $R$ -modules is called **restricting** an  $S$ -module to  $R$ . If we apply this to a canonical inclusion (i.e., if  $R$  is a subring of  $S$  and if  $f : R \rightarrow S$  is the canonical inclusion), then we conclude that any module over a ring naturally becomes a module over any subring. For example, any  $\mathbb{C}$ -module naturally becomes an  $\mathbb{R}$ -module (this is known as “decomplexification” in linear algebra<sup>6</sup>) and a  $\mathbb{Q}$ -module and a  $\mathbb{Z}$ -module.

### 1.1.2. More examples

Here is another general construction:

**Proposition 1.1.5.** Let  $R$  be a ring. Let  $I$  be an ideal of  $R$ . Let  $M$  be a left  $R$ -module. An  $(I, M)$ -**product** shall mean a product of the form  $im$  with  $i \in I$  and  $m \in M$ . Then,

$$IM := \{\text{finite sums of } (I, M)\text{-products}\}$$

is an  $R$ -submodule of  $M$ .

*Proof.* This is fairly similar to the proof of the fact that the product  $IJ$  of two ideals  $I$  and  $J$  is again an ideal (see Exercise 8 (a) on homework set #1).  $\square$

**Proposition 1.1.6.** Let  $R$  be a commutative ring. Let  $a \in R$ . Let  $M$  be an  $R$ -module. Then,

$$aM := \{am \mid m \in M\}$$

is an  $R$ -submodule of  $M$ .

In particular,  $0M = \{0_M\}$  and  $1M = M$  are  $R$ -submodules of  $M$ .

*Proof.* This is easy and LTTR.  $\square$

The last statement of Proposition 1.1.6 holds for noncommutative rings  $R$ , too: If  $M$  is a left  $R$ -module, then  $\{0_M\}$  and  $M$  are  $R$ -submodules of  $M$ . These are the “bookends” for the  $R$ -submodules of  $M$  (in the sense that every  $R$ -submodule  $N$  of  $M$  satisfies  $\{0_M\} \subseteq N \subseteq M$ ).

Here are a few more examples of modules:

- Let  $n \in \mathbb{N}$ , and let  $R$  be a ring. The set  $R^n$  is not only a left  $R$ -module (as we have seen), but also a right  $R^{n \times n}$ -module<sup>7</sup>, where the action of  $R^{n \times n}$

<sup>6</sup>Of course, again, linear algebraists speak of vector spaces instead of modules.

From linear algebra, you might also know a procedure going in the other direction: “complexification”, which turns an  $\mathbb{R}$ -vector space into a  $\mathbb{C}$ -vector space. We will later learn how to generalize this to arbitrary ring morphisms.

<sup>7</sup>Recall that  $R^{n \times n}$  is the ring of  $n \times n$ -matrices over  $R$ .

on  $R^n$  is the vector-by-matrix multiplication map

$$\begin{aligned} R^n \times R^{n \times n} &\rightarrow R^n, \\ (v, M) &\mapsto vM \end{aligned}$$

(where we identify  $n$ -tuples  $v \in R^n$  with row vectors).

- More generally, for any  $n, m \in \mathbb{N}$ , the set  $R^{n \times m}$  of all  $n \times m$ -matrices is a left  $R^{n \times n}$ -module and a right  $R^{m \times m}$ -module (since an  $n \times m$ -matrix can be multiplied by an  $n \times n$ -matrix from the left and by an  $m \times m$ -matrix from the right, and since the module axioms follow from the standard laws of matrix multiplication such as associativity and distributivity). Even better, this set is a so-called  $(R^{n \times n}, R^{m \times m})$ -bimodule (we will later define this notion; essentially it means a left and a right module structure that fit together well).

- Let us study a particular case of this.

Namely, let  $R$  be a field  $F$ , and let  $n = 2$ . So  $F^2$  is a left  $F$ -module, with the action given by

$$\lambda(a, b) = (\lambda a, \lambda b) \quad \text{for all } \lambda, a, b \in F,$$

and is a right  $F^{2 \times 2}$ -module, with the action given by

$$(a, b) \begin{pmatrix} x & y \\ z & w \end{pmatrix} = (ax + bz, ay + bw) \quad \text{for all } a, b, x, y, z, w \in F.$$

What are the  $F$ -submodules of  $F^2$ ? These are precisely the  $F$ -vector subspaces of  $F^2$ ; as you know from linear algebra, these subspaces are the whole  $F^2$  as well as the zero subspace  $\{0_{F^2}\}$  and all lines through the origin.

What are the  $F^{2 \times 2}$ -submodules of  $F^2$ ? Only  $F^2$  and  $\{0_{F^2}\}$ , because any two nonzero vectors in  $F^2$  can be mapped to one another by a  $2 \times 2$ -matrix.

Now, consider the subring

$$F^{2 \leq 2} := \left\{ \begin{pmatrix} x & 0 \\ z & w \end{pmatrix} \mid x, z, w \in F \right\}$$

of  $F^{2 \times 2}$ . This is the ring of all lower-triangular  $2 \times 2$ -matrices over  $F$ . (Yes, it is a subring of  $F^{2 \times 2}$ , since the sum and the product of two lower-triangular matrices are lower-triangular and since the zero and identity matrices are lower-triangular.) Since  $F^2$  is a right  $F^{2 \times 2}$ -module,  $F^2$  must also be a right  $F^{2 \leq 2}$ -module (by restriction). What are the  $F^{2 \leq 2}$ -submodules of  $F^2$ ? Only  $F^2$  and  $\{0_{F^2}\}$  and  $\{(a, 0) \mid a \in F\}$ . (You might have to prove this on a future homework set.)

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## 1.2. A couple generalities

Let us show a few general properties of modules. Recall that when a group is written additively (i.e., its operation is denoted by  $+$ ), the inverse of an element  $a$  of this group is denoted by  $-a$  (and is called its additive inverse). The following proposition says that the additive inverse of a vector in an  $R$ -module can be obtained by scaling the vector by  $-1$ :

**Proposition 1.2.1.** Let  $R$  be a ring. Let  $A$  be a left  $R$ -module. Then,  $(-1)a = -a$  for each  $a \in A$ .

*Proof.* Let  $a \in A$ . Then,  $1a = a$  (by one of the module axioms). Thus,

$$\begin{aligned} (-1)a + \underbrace{a}_{=1a} &= (-1)a + 1a \\ &= \underbrace{((-1) + 1)a}_{=0} \quad (\text{by the right distributivity axiom}) \\ &= 0a = 0 \quad (\text{by one of the module axioms}). \end{aligned}$$

In other words,  $(-1)a$  is an additive inverse of  $a$ . But the additive inverse of  $a$  is  $-a$ . Thus, we conclude that  $(-1)a = -a$ . This proves Proposition 1.2.1.  $\square$

Further properties of negation can easily be derived from this. For example,

$$(-r)(-a) = ra \quad \text{for all } r \in R \text{ and } a \in A.$$

**Proposition 1.2.2.** Let  $R$  be a ring. Let  $A$  be a left  $R$ -module. Then, any  $R$ -submodule of  $A$  is a subgroup of the additive group  $(A, +, 0)$ .

*Proof of Proposition 1.2.2.* Let  $B$  be an  $R$ -submodule of  $A$ . Then,  $B$  is closed under addition and under scaling and contains the zero vector. Since  $B$  is closed under scaling, we have  $(-1)b \in B$  for each  $b \in B$ . However, each  $b \in B$  satisfies  $(-1)b = -b$  (by Proposition 1.2.1, applied to  $a = b$ ) and thus  $-b = (-1)b \in B$ . In other words,  $B$  is closed under negation (= taking additive inverses). Thus,  $B$  is a subgroup of  $(A, +, 0)$ .  $\square$

Next, let us recall how we defined finite sums  $\sum_{s \in S} a_s$  of elements of a ring. In the same way, we can define a finite sum  $\sum_{s \in S} a_s$  of elements of any additive group, and thus a finite sum  $\sum_{s \in S} a_s$  of elements of any  $R$ -module (since any  $R$ -module is an additive group). Thus, in particular, if  $a_1, a_2, \dots, a_n$  are  $n$  elements of an  $R$ -module  $A$ , then the finite sum  $a_1 + a_2 + \dots + a_n \in A$  is well-defined.

The following “generalized distributivity laws” hold in any left  $R$ -module:

**Proposition 1.2.3.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Then:

(a) We have

$$(r_1 + r_2 + \cdots + r_k)m = r_1m + r_2m + \cdots + r_km$$

for any  $r_1, r_2, \dots, r_k \in R$  and  $m \in M$ .

(b) We have

$$r(m_1 + m_2 + \cdots + m_i) = rm_1 + rm_2 + \cdots + rm_i$$

for any  $r \in R$  and  $m_1, m_2, \dots, m_i \in M$ .

*Proof.* (a) This follows by applying the right distributivity law (one of the module axioms) many times. (More precisely, this follows by induction on  $k$ ; the right distributivity law is used in the induction step. The induction base follows from the  $0m = 0$  axiom.)

(b) This follows by applying the left distributivity law (one of the module axioms) many times. (More precisely, this follows by induction on  $i$ ; the left distributivity law is used in the induction step. The induction base follows from the  $r \cdot 0_M = 0_M$  axiom.)  $\square$

The following convention is useful when dealing with  $R$ -modules. Essentially, it says that (just as with products of multiple elements in a ring or in a group) we can drop parentheses when we scale an element of an  $R$ -module by several elements of  $R$ :

**Convention 1.2.4.** Let  $R$  be a ring. Let  $M$  be a left  $R$ -module. Let  $r, s \in R$  and  $m \in M$ . Then,  $(rs)m$  and  $r(sm)$  are the same vector (by the associativity axiom in the definition of a left  $R$ -module). We shall denote this vector by  $rsm$ . Likewise, expressions like  $r_1r_2 \cdots r_km$  (for  $r_1, r_2, \dots, r_k \in R$  and  $m \in M$ ) will be understood.

Everything we said above about left  $R$ -modules can be adapted to right  $R$ -modules in a straightforward way; we leave the details to the reader.

### 1.3. Abelian groups as $\mathbb{Z}$ -modules ([DF, §10.1])

Now, let us try to understand  $\mathbb{Z}$ -modules in particular.

**Proposition 1.3.1.** Let  $A$  be an abelian group. Assume that  $A$  is written additively (i.e., the operation of  $A$  is denoted by  $+$ , and the neutral element by  $0$ ). For any  $n \in \mathbb{Z}$  and  $a \in A$ , define

$$na = \begin{cases} \underbrace{a + a + \cdots + a}_{n \text{ times}}, & \text{if } n \geq 0; \\ - \left( \underbrace{a + a + \cdots + a}_{-n \text{ times}} \right), & \text{if } n < 0. \end{cases} \quad (3)$$

Thus, we have defined a map  $\mathbb{Z} \times A \rightarrow A$ ,  $(n, a) \mapsto na$ .

(a) The group  $A$  becomes a  $\mathbb{Z}$ -module (where we take this map as the action of  $\mathbb{Z}$  on  $A$ , and the pre-existing addition of  $A$  as the addition).

(b) This is the **only**  $\mathbb{Z}$ -module structure on  $A$ . That is, if  $A$  is **any**  $\mathbb{Z}$ -module, then the action of  $\mathbb{Z}$  on  $A$  is given by the formula (3) (and therefore uniquely determined by the abelian group structure on  $A$ ).

(c) The  $\mathbb{Z}$ -submodules of  $A$  are precisely the subgroups of  $A$ .

*Proof of Proposition 1.3.1.* LTTR. Here are the main ideas:

(a) You have to prove axioms like  $(n + m)a = na + ma$  and  $n(a + b) = na + nb$  and  $(nm)a = n(ma)$  for all  $n, m \in \mathbb{Z}$  and  $a, b \in A$ . These facts are commonly proved for  $A = \mathbb{Z}$  in standard texts on the construction of the number system; if you pick the “right” proofs, then you can adapt them to the general case just by replacing  $\mathbb{Z}$  by  $A$ . The main idea is “reduce to the case when  $n$  and  $m$  are nonnegative, and then prove them by induction on  $n$  and  $m$ ”. The details are rather laborious, as there are several cases to discuss based on the signs of  $n$ ,  $m$  and  $n + m$ .

(b) Given **any**  $\mathbb{Z}$ -module structure on  $A$ , we must have

$$\begin{aligned} na &= \underbrace{(1 + 1 + \cdots + 1)}_{n \text{ times}} a = \underbrace{1a + 1a + \cdots + 1a}_{n \text{ times}} && \text{(by Proposition 1.2.3 (a))} \\ &= \underbrace{a + a + \cdots + a}_{n \text{ times}} && \text{(by the } 1a = a \text{ axiom)} \end{aligned}$$

for any  $n \in \mathbb{N}$  and any  $a \in A$ . This proves the “top half” of (3). It is not hard to prove the “bottom half” either (use the right distributivity axiom to see that  $na + (-n)a = \underbrace{(n + (-n))}_{=0}a = 0a = 0$ ).

(c) Proposition 1.2.2 shows that any  $\mathbb{Z}$ -submodule of  $A$  is a subgroup of  $A$ . Conversely, we must prove that if  $B$  is a subgroup of  $A$ , then  $B$  is a  $\mathbb{Z}$ -submodule of  $A$ . So let  $B$  be a subgroup of  $A$ . Then, any  $n \in \mathbb{Z}$  and  $b \in B$  satisfy

$$nb = \begin{cases} \underbrace{b + b + \cdots + b}_{n \text{ times}} & \text{if } n \geq 0; \\ - \left( \underbrace{b + b + \cdots + b}_{-n \text{ times}} \right), & \text{if } n < 0 \end{cases} \in B$$

(since  $B$  is closed under addition and negation and contains 0). In other words,  $B$  is closed under scaling. Hence,  $B$  is a  $\mathbb{Z}$ -submodule of  $A$  (since  $B$  is a subgroup of  $A$  and therefore closed under addition and contains 0), qed.  $\square$

Proposition 1.3.1 reveals what  $\mathbb{Z}$ -modules really are: In general, when  $R$  is a ring, an  $R$ -module is an abelian group  $A$  with an extra structure (namely, an action of  $R$  on  $A$ ); however, for  $R = \mathbb{Z}$ , this extra structure is redundant (in the

sense that it can always be constructed in a unique way from the abelian group structure), and so a  $\mathbb{Z}$ -module is just an abelian group in fancy clothes.<sup>8</sup> Thus, we shall identify abelian groups with  $\mathbb{Z}$ -modules (at least when the abelian groups are written additively).

This has a rather convenient consequence: The theory of  $R$ -modules is a generalization of the theory of abelian groups. In particular, anything we have proved or will prove for  $R$ -modules can therefore be applied to abelian groups (by setting  $R = \mathbb{Z}$ ).

Thus, we have understood what  $\mathbb{Z}$ -modules are. What about  $\mathbb{Q}$ -modules? Not every abelian group can be made into a  $\mathbb{Q}$ -module:

**Example 1.3.2.** There is no  $\mathbb{Q}$ -module structure on  $\mathbb{Z}/2$  (that is, there is no  $\mathbb{Q}$ -module whose additive group is  $\mathbb{Z}/2$ ).

*Proof.* This follows from linear algebra (since  $\mathbb{Q}$ -modules are  $\mathbb{Q}$ -vector spaces and thus have dimensions; but  $\mathbb{Z}/2$  is too large to have dimension 0 and yet too small to have dimension  $> 0$ ). Alternatively, you can do it by hand: Assume that  $\mathbb{Z}/2$  is a  $\mathbb{Q}$ -module in some way. Then,

$$\frac{1}{2} \cdot (2 \cdot \bar{1}) = \underbrace{\left(\frac{1}{2} \cdot 2\right)}_{=1} \cdot \bar{1} = 1 \cdot \bar{1} = \bar{1},$$

so that

$$\bar{1} = \frac{1}{2} \cdot \underbrace{(2 \cdot \bar{1})}_{=\bar{0}} = \frac{1}{2} \cdot \bar{0} = \bar{0},$$

which contradicts  $\bar{1} \neq \bar{0}$ . □

Thus we see that not every abelian group can be made into a  $\mathbb{Q}$ -module (unlike for  $\mathbb{Z}$ -modules). However, any abelian group that can be made into a  $\mathbb{Q}$ -module can only be made so in one way. (This will be exercise 3 on homework set #3.)

What about  $\mathbb{R}$ -modules? Here, we get neither existence nor uniqueness: There are abelian groups that cannot be made into  $\mathbb{R}$ -modules; there are also abelian groups that can be made into  $\mathbb{R}$ -modules in multiple different ways. So the action of  $\mathbb{R}$  on an  $\mathbb{R}$ -module cannot be reconstructed from the underlying group of the latter (unlike for  $\mathbb{Z}$  and  $\mathbb{Q}$ ). “Most” rings behave more like  $\mathbb{R}$  than like  $\mathbb{Z}$  and  $\mathbb{Q}$  in this regard.

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<sup>8</sup>Don’t get me wrong: “redundant” and “in fancy clothes” doesn’t mean “useless”; it just means that the scaling is determined by the abelian group structure.

## 1.4. Module morphisms ([DF, §10.2])

Module morphisms are defined similarly to ring morphisms, but you probably already know their definition from linear algebra: they are also known as linear maps. Let me recall the definition:

**Definition 1.4.1.** Let  $R$  be a ring. Let  $M$  and  $N$  be two left  $R$ -modules.

(a) A **left  $R$ -module homomorphism** (or, for short, **left  $R$ -module morphism**, or **left  $R$ -linear map**) from  $M$  to  $N$  means a map  $f : M \rightarrow N$  that

- **respects addition** (i.e., satisfies  $f(a + b) = f(a) + f(b)$  for all  $a, b \in M$ );
- **respects scaling** (i.e., satisfies  $f(ra) = rf(a)$  for all  $r \in R$  and  $a \in M$ );
- **respects the zero** (i.e., satisfies  $f(0_M) = 0_N$ ).

You can drop the word “left” (and, e.g., just say “ $R$ -module morphism”) when it is clear from the context.

(b) A **left  $R$ -module isomorphism** (or, informally, **left  $R$ -module iso**) from  $M$  to  $N$  means an invertible left  $R$ -module morphism  $f : M \rightarrow N$  whose inverse  $f^{-1} : N \rightarrow M$  is also a left  $R$ -module morphism.

(c) The left  $R$ -modules  $M$  and  $N$  are said to be **isomorphic** (this is written  $M \cong N$ ) if there exists a left  $R$ -module isomorphism  $f : M \rightarrow N$ .

(d) We let  $\text{Hom}_R(M, N)$  be the set of all left  $R$ -module morphisms from  $M$  to  $N$ .

(e) Right  $R$ -module morphisms are defined similarly.

It is not hard to show that the “respects the zero” axiom in Definition 1.4.1 (a) is redundant. (In fact, it is “doubly redundant”: It follows from each of the other two axioms!)

Here are some examples of  $R$ -module morphisms:

- You have seen linear maps between vector spaces in linear algebra. These are precisely the left  $R$ -module morphisms when  $R$  is a field.
- Let  $k \in \mathbb{Z}$ . The map  $\mathbb{Z} \rightarrow \mathbb{Z}$ ,  $a \mapsto ka$  is always a  $\mathbb{Z}$ -module morphism. (For comparison: It is a ring morphism only when  $k = 1$ .)
- More generally: Let  $R$  be a **commutative** ring. Let  $k \in R$ . Let  $M$  be any  $R$ -module. Then, the map  $M \rightarrow M$ ,  $a \mapsto ka$  is an  $R$ -module morphism. (This is the map that we have called “scaling by  $k$ ”.) If  $R$  is not commutative, then this map is not a (left)  $R$ -module morphism in general!
- Let  $R$  be a ring. Let  $n \in \mathbb{N}$ . For any  $i \in \{1, 2, \dots, n\}$ , the map

$$\begin{aligned} \pi_i : R^n &\rightarrow R, \\ (a_1, a_2, \dots, a_n) &\mapsto a_i \end{aligned}$$

is a left  $R$ -module morphism.

More generally: If  $(M_i)_{i \in I}$  is a family of left  $R$ -modules, and if  $j \in I$ , then the map

$$\begin{aligned} \pi_j : \prod_{i \in I} M_i &\rightarrow M_j, \\ (m_i)_{i \in I} &\mapsto m_j \end{aligned}$$

is a left  $R$ -module morphism. This follows immediately from the fact that the structure of  $\prod_{i \in I} M_i$  (addition, action and zero) is defined entrywise.

- If  $M$  and  $N$  are two  $R$ -modules, then the map

$$\begin{aligned} M \times N &\rightarrow N \times M, \\ (m, n) &\mapsto (n, m) \end{aligned}$$

is an  $R$ -module isomorphism.

The  $\mathbb{Z}$ -module morphisms (i.e., the  $\mathbb{Z}$ -linear maps) are simply the group morphisms of additive groups:

**Proposition 1.4.2.** Let  $M$  and  $N$  be two  $\mathbb{Z}$ -modules. Then,

$$\mathrm{Hom}_{\mathbb{Z}}(M, N) = \{\text{group morphisms } (M, +, 0) \rightarrow (N, +, 0)\}.$$

*Proof.* We have to show that any group morphism  $f : (M, +, 0) \rightarrow (N, +, 0)$  automatically respects the scaling – i.e., that it satisfies  $f(na) = nf(a)$  for all  $n \in \mathbb{Z}$  and  $a \in M$ . This is LTTR.  $\square$