

# Rook sums in the symmetric group algebra

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**slides:** [http:](http://www.cip.ifi.lmu.de/~grinberg/algebra/dc2024.pdf)

[//www.cip.ifi.lmu.de/~grinberg/algebra/dc2024.pdf](http://www.cip.ifi.lmu.de/~grinberg/algebra/dc2024.pdf)

**paper (draft):** [https:](https://www.cip.ifi.lmu.de/~grinberg/algebra/rooksn.pdf)

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- **Definition.** Fix a commutative ring  $\mathbf{k}$ . (The main examples are  $\mathbb{Z}$  and  $\mathbb{Q}$ .)

For each  $n \in \mathbb{N}$ , let  $S_n$  be the  $n$ -th symmetric group, and  $\mathbf{k}[S_n]$  its group algebra over  $\mathbf{k}$ . So

$$\mathbf{k}[S_n] = \left\{ \text{formal linear combinations } \sum_{w \in S_n} \alpha_w w \text{ with } \alpha_w \in \mathbf{k} \right\}.$$

Also, let  $[n] := \{1, 2, \dots, n\}$  for each  $n \in \mathbb{N}$ .

- **Definition.** For any two subsets  $A$  and  $B$  of  $[n]$ , we define the elements

$$\nabla_{B,A} := \sum_{\substack{w \in S_n; \\ w(A)=B}} w \quad \text{and} \quad \tilde{\nabla}_{B,A} := \sum_{\substack{w \in S_n; \\ w(A) \subseteq B}} w$$

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- **Examples.**

$$\begin{aligned} \nabla_{\emptyset, \emptyset} &= \nabla_{[n], [n]} = (\text{sum of all } w \in S_n); \\ \nabla_{\{2\}, \{1\}} &= (\text{sum of all } w \in S_n \text{ sending } 1 \text{ to } 2); \\ \tilde{\nabla}_{\{2,3\}, \{1\}} &= (\text{sum of all } w \in S_n \text{ sending } 1 \text{ to } 2 \text{ or } 3). \end{aligned}$$

- **Proposition.** Let  $A$  and  $B$  be two subsets of  $[n]$ . Then:
  - (a) We have  $\nabla_{B,A} = 0$  if  $|A| \neq |B|$ .
  - (b) We have  $\tilde{\nabla}_{B,A} = 0$  if  $|A| > |B|$ .

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- (c) We have  $\tilde{\nabla}_{B,A} = \sum_{\substack{V \subseteq B; \\ |V|=|A|}} \nabla_{V,A}$ .
- (d) We have  $\nabla_{B,A} = \nabla_{[n] \setminus B, [n] \setminus A}$ .
- (e) If  $|A| = |B|$ , then  $\nabla_{B,A} = \tilde{\nabla}_{B,A}$ .

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Next, let  $S : \mathbf{k}[S_n] \rightarrow \mathbf{k}[S_n]$  be the **antipode** of  $\mathbf{k}[S_n]$ ; this is the  $\mathbf{k}$ -linear map sending each permutation  $w \in S_n$  to  $w^{-1}$ .

Then:

(f) We have  $S(\nabla_{B,A}) = \nabla_{A,B}$ .

(g) We have  $S(\tilde{\nabla}_{B,A}) = \tilde{\nabla}_{[n] \setminus A, [n] \setminus B}$ .

- The simplest rectangular rook sum is

$$\nabla_{\emptyset, \emptyset} = (\text{sum of all } w \in S_n).$$

Easily,  $\nabla_{\emptyset, \emptyset}^2 = n! \nabla_{\emptyset, \emptyset}$ , so that

$$P(\nabla_{\emptyset, \emptyset}) = 0 \quad \text{for the polynomial } P(x) = x(x - n!).$$

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- **Question:** What polynomials  $P$  satisfy  $P(\nabla_{B,A}) = 0$  or  $P(\tilde{\nabla}_{B,A}) = 0$  for arbitrary  $A, B$ ?

In particular, what is the minimal polynomial of  $\tilde{\nabla}_{B,A}$ ? (The only interesting  $\nabla_{B,A}$ 's are those for  $|A| = |B|$ , and they agree with  $\tilde{\nabla}_{B,A}$ , so that we need not study them separately.)

- **Example.** The minimal polynomial of  $\tilde{\nabla}_{\{2,4,5,6\}, \{1,2\}}$  for  $n = 6$  is  $(x - 288)x(x + 12)(x + 36)$ .

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- How can we prove this?

## A product rule

- A crucial step in the proof is a product rule for  $\nabla$ s:
- **Theorem (product rule).** Let  $A, B, C, D$  be four subsets of  $[n]$  such that  $|A| = |B|$  and  $|C| = |D|$ . Then,

$$\nabla_{D,C} \nabla_{B,A} = \omega_{B,C} \sum_{\substack{U \subseteq D, \\ V \subseteq A; \\ |U|=|V|}} (-1)^{|U|-|B \cap C|} \binom{|U|}{|B \cap C|} \nabla_{U,V}.$$

Here, for any two subsets  $B$  and  $C$  of  $[n]$ , we set

$$\omega_{B,C} := |B \cap C|! \cdot |B \setminus C|! \cdot |C \setminus B|! \cdot |[n] \setminus (B \cup C)|! \in \mathbb{Z}.$$

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- **Proof.** Nice exercise in enumeration! First step is to show that

$$\nabla_{D,C} \nabla_{B,A} = \omega_{B,C} \sum_{\substack{w \in S_n; \\ |w(A) \cap D| = |B \cap C|}} w.$$

- Recall that  $\tilde{\nabla}_{B,A}$  is the sum of all  $\nabla_{V,A}$ 's for  $V \subseteq B$  satisfying  $|V| = |A|$ . Thus, the product rule rewrites as follows:
- Theorem (product rule, rewritten).** Let  $A, B, C, D$  be four subsets of  $[n]$  such that  $|A| = |B|$  and  $|C| = |D|$ . Then,

$$\nabla_{D,C} \nabla_{B,A} = \omega_{B,C} \sum_{V \subseteq A} (-1)^{|V| - |B \cap C|} \binom{|V|}{|B \cap C|} \tilde{\nabla}_{D,V}.$$

## An incomplete filtration

- Now, fix a subset  $D$  of  $[n]$ . Define

$$\mathcal{F}_k := \text{span} \left\{ \tilde{\nabla}_{D,C} \mid C \subseteq [n] \text{ with } |C| \leq k \right\}$$

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$$\mathcal{F}_n \supseteq \mathcal{F}_{n-1} \supseteq \cdots \supseteq \mathcal{F}_0 \supseteq \mathcal{F}_{-1} = 0.$$

It is easy to see that  $\mathcal{F}_0$  is spanned by

$$\tilde{\nabla}_{D,\emptyset} = \nabla_{\emptyset,\emptyset} = \sum_{w \in S_n} w.$$

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- For any subset  $C \subseteq [n]$  and any  $k \in \mathbb{N}$ , we define the integer

$$\delta_{D,C,k} := \sum_{\substack{B \subseteq D; \\ |B|=k}} \omega_{B,C} (-1)^{k-|B \cap C|} \binom{k}{|B \cap C|} \in \mathbb{Z}.$$

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- Proposition.** Let  $C \subseteq [n]$  satisfy  $|C| = |D|$ . Let  $k \in \mathbb{N}$ . Then,

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- **Proof.** Follows from the rewritten product rule.

- So we have proved  $(\nabla_{D,C} - \delta_{D,C,k}) \mathcal{F}_k \subseteq \mathcal{F}_{k-1}$  whenever  $|C| = |D|$  and  $k \in \mathbb{N}$ .

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Since  $\nabla_{D,C} \in \mathcal{F}_n$  and  $\mathcal{F}_{-1} = 0$ , this entails

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$$\nabla_{D,\alpha} := \sum_{\substack{C \subseteq [n]; \\ |C|=|D|}} \alpha_C \nabla_{D,C}$$

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- Thus we find:

- Theorem.** Let  $D \subseteq [n]$ . Let  $\alpha = (\alpha_C)_{C \subseteq [n]; |C|=|D|}$  be a family of scalars in  $\mathbf{k}$  indexed by the  $|D|$ -element subsets of  $[n]$ . Then,

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where

$$\nabla_{D,\alpha} := \sum_{\substack{C \subseteq [n]; \\ |C|=|D|}} \alpha_C \nabla_{D,C} \in \mathbf{k}[S_n] \quad \text{and}$$

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- Thus, the minimal polynomial of  $\nabla_{D,\alpha}$  splits over  $\mathbf{k}$ .
- In particular, the minimal polynomial of  $\tilde{\nabla}_{D,C}$  splits over  $\mathbb{Z}$  (since  $\tilde{\nabla}_{D,C} = \nabla_{D,\alpha}$  for an appropriate  $\alpha$ ).

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- **Definition.** For any two subsets  $A$  and  $B$  of  $[n]$  satisfying  $|A| = |B|$ , introduce a formal symbol  $\Delta_{B,A}$ . Let  $\mathcal{D}$  be the free  $\mathbf{k}$ -module with basis  $(\Delta_{B,A})_{A,B \subseteq [n] \text{ with } |A|=|B|}$ . Define a multiplication on  $\mathcal{D}$  by

$$\Delta_{D,C} \Delta_{B,A} := \omega_{B,C} \sum_{\substack{U \subseteq D, \\ V \subseteq A; \\ |U|=|V|}} (-1)^{|U|-|B \cap C|} \binom{|U|}{|B \cap C|} \Delta_{U,V}.$$

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- **Theorem.** This makes  $\mathcal{D}$  into a nonunital  $\mathbf{k}$ -algebra.
- **Conjecture.** If  $n!$  is invertible in  $\mathbf{k}$ , then this algebra  $\mathcal{D}$  has a unity.

- **Example.** For  $n = 1$ , the nonunital algebra  $\mathcal{D}$  has basis  $(u, v)$  with  $u = \Delta_{\emptyset, \emptyset}$  and  $v = \Delta_{\{1\}, \{1\}}$ , and multiplication

$$uu = uv = vu = u, \quad vv = v.$$

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## The formal Nabla-algebra: examples

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- **Example.** For  $n = 2$ , the nonunital algebra  $\mathcal{D}$  has basis  $(u, v_{11}, v_{12}, v_{21}, v_{22}, w)$  with  $u = \Delta_{\emptyset, \emptyset}$  and  $v_{ij} = \Delta_{\{i\}, \{j\}}$  and  $w = \Delta_{[2], [2]}$ . The multiplication on  $\mathcal{D}$  is

$$\begin{aligned}uu &= uw = wu = 2u, & uv_{ij} &= v_{ij}u = u, \\v_{dc}v_{ba} &= u - v_{da} & & \text{if } b \neq c; \\v_{dc}v_{ba} &= v_{da} & & \text{if } b = c, \\v_{ij}w &= v_{i1} + v_{i2}, & ww_{ij} &= v_{1j} + v_{2j}, \\ww &= 2w.\end{aligned}$$

This nonunital  $\mathbf{k}$ -algebra  $\mathcal{D}$  has a unity if and only if 2 is invertible in  $\mathbf{k}$ . This unity is  $\frac{1}{4}(v_{11} + v_{22} - v_{12} - v_{21} + 2w)$ .

- **Question.** Is  $\mathcal{D}$  a known object? Since  $\mathcal{D}$  is a free  $\mathbf{k}$ -module of rank  $\binom{2n}{n}$ , could  $\mathcal{D}$  be a nonunital  $\mathbb{Z}$ -form of the planar rook algebra (which is known to be  $\cong \prod_{k=0}^n \mathbf{k} \binom{n}{k} \times \binom{n}{k}$ )?

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- **Question.** Barring that, is there a nice proof of the above theorem?

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- **Definition.** A **set composition** of  $[n]$  is a tuple  $\mathbf{U} = (U_1, U_2, \dots, U_k)$  of disjoint nonempty subsets of  $[n]$  such that  $U_1 \cup U_2 \cup \dots \cup U_k = [n]$ . We set  $\ell(\mathbf{U}) = k$  and call  $k$  the **length** of  $\mathbf{U}$ .

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- **Example.** We have

$$\nabla_{B,A} = \nabla_{\mathbf{B},\mathbf{A}} \quad \text{for } \mathbf{B} = (B, [n] \setminus B) \text{ and } \mathbf{A} = (A, [n] \setminus A).$$

- **Proposition.** Let  $\mathbf{A} = (A_1, A_2, \dots, A_k)$  and  $\mathbf{B} = (B_1, B_2, \dots, B_k)$ .
  - (a) We have  $\nabla_{\mathbf{B}, \mathbf{A}} = 0$  unless  $|A_i| = |B_i|$  for all  $i$ .
  - (b) We have  $\nabla_{\mathbf{B}, \mathbf{A}} = \nabla_{\mathbf{B}\sigma, \mathbf{A}\sigma}$  for any  $\sigma \in S_k$  (acting on set compositions by permuting the blocks).
  - (c) We have  $S(\nabla_{\mathbf{B}, \mathbf{A}}) = \nabla_{\mathbf{A}, \mathbf{B}}$ , where  $S(w) = w^{-1}$  for all  $w \in S_n$  as before.

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- The minimal polynomial of  $\nabla_{\mathbf{B}, \mathbf{A}}$  does not always split over  $\mathbb{Z}$  unless  $\ell(\mathbf{A}) \leq 2$ .
- The  $\nabla_{\mathbf{B}, \mathbf{A}}$  are not entirely new:

The **Murphy basis** of  $\mathbf{k}[S_n]$  consists of the elements  $\nabla_{\mathbf{B}, \mathbf{A}}$  for the **standard** set compositions  $\mathbf{A}$  and  $\mathbf{B}$  of  $[n]$ . Here, “standard” means that the blocks are the rows of a standard Young tableau (in particular, they must be of partition shape). See G. E. Murphy, *On the Representation Theory of the Symmetric Groups and Associated Hecke Algebras*, 1991.

- **Theorem.** Let  $\mathcal{A} = \mathbf{k}[S_n]$ . Let  $k \in \mathbb{N}$ . We define two  $\mathbf{k}$ -submodules  $\mathcal{I}_k$  and  $\mathcal{J}_k$  of  $\mathcal{A}$  by

$$\mathcal{I}_k := \text{span} \{ \nabla_{\mathbf{B}, \mathbf{A}} \mid \mathbf{A}, \mathbf{B} \in \text{SC}(n) \text{ with } \ell(\mathbf{A}) = \ell(\mathbf{B}) \leq k \}$$

and

$$\mathcal{J}_k := \mathcal{A} \cdot \text{span} \{ \alpha_U^- \mid U \subseteq [n] \text{ of size } k+1 \} \cdot \mathcal{A},$$

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Then:

- (a) Both  $\mathcal{I}_k$  and  $\mathcal{J}_k$  are ideals of  $\mathcal{A}$ , and are preserved under  $S$ .

- **Theorem (cont'd).**

(b) We have

$$\begin{aligned}\mathcal{I}_k &= \mathcal{J}_k^\perp = \text{LAnn } \mathcal{J}_k = \text{RAnn } \mathcal{J}_k && \text{and} \\ \mathcal{J}_k &= \mathcal{I}_k^\perp = \text{LAnn } \mathcal{I}_k = \text{RAnn } \mathcal{I}_k.\end{aligned}$$

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- (d) The  $\mathbf{k}$ -module  $\mathcal{J}_k$  is free of rank = # of  $(1, 2, \dots, k+1)$ -nonavoiding permutations in  $S_n$ .

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- (e) The quotients  $\mathcal{A}/\mathcal{J}_k$  and  $\mathcal{A}/\mathcal{I}_k$  are also free, with the same ranks as  $\mathcal{I}_k$  and  $\mathcal{J}_k$  (respectively), and with bases consisting of (residue classes of) the relevant permutations.

- **Theorem (cont'd).**
  - (f) If  $n!$  is invertible in  $\mathbf{k}$ , then  $\mathcal{A} = \mathcal{I}_k \oplus \mathcal{J}_k$  (internal direct sum) as  $\mathbf{k}$ -modules, and  $\mathcal{A} \cong \mathcal{I}_k \times \mathcal{J}_k$  as  $\mathbf{k}$ -algebras.

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- **Proof.** When  $\mathbf{k}$  is a char-0 field, this can be done using representations (note that  $\nabla_{\mathbf{B}, \mathbf{A}}$  vanishes on each Specht module  $S^\lambda$  with  $\ell(\lambda) > \ell(\mathbf{A})$ ). In particular,  $\mathcal{A} \cong \mathcal{I}_k \times \mathcal{J}_k$  is (up to iso? morally?) a coarsening of the Artin–Wedderburn decomposition of  $\mathcal{A}$ .

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- **Question.** Is there a product rule for the  $\nabla_{\mathbf{B}, \mathbf{A}}$ 's?
- **Question.** How much of the representation theory of  $S_n$  can be developed using the  $\nabla_{\mathbf{B}, \mathbf{A}}$ 's? (e.g., I think you can prove  $\sum_{\lambda \vdash n} (f^\lambda)^2 = n!$  using the Murphy basis and the Garnir relations.)

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$$\begin{aligned} \text{exc } \sigma &:= (\# \text{ of } i \in [n] \text{ such that } \sigma(i) > i) && \text{and} \\ \text{anxc } \sigma &:= (\# \text{ of } i \in [n] \text{ such that } \sigma(i) < i) \end{aligned}$$

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- For any  $a, b \in \mathbb{N}$ , define

$$\mathbf{X}_{a,b} := \sum_{\substack{\sigma \in S_n; \\ \text{exc } \sigma = a; \\ \text{anxc } \sigma = b}} \sigma \in \mathbf{k}[S_n].$$

- **Conjecture.** The elements  $\mathbf{X}_{a,b}$  for all  $a, b \in \mathbb{N}$  commute (for fixed  $n$ ).
- Checked for all  $n \leq 7$  using SageMath.

- The antipode plays well with these elements:

$$S(\mathbf{X}_{a,b}) = \mathbf{X}_{b,a}.$$

- Question.** What can be said about the  $\mathbf{k}$ -subalgebra  $\mathbf{k}[\mathbf{X}_{a,b} \mid a, b \in \{0, 1, \dots, n\}]$  of  $\mathbf{k}[S_n]$ ? Note:

$n$	1	2	3	4	5	6
$\dim(\mathbb{Q}[\mathbf{X}_{a,b}])$	1	2	4	10	26	76

So far, this looks like the  $\#$  of involutions in  $S_n$ , which is exactly the dimension of the Gelfand–Zetlin subalgebra (generated by the Young–Jucys–Murphy elements)!

- What is the exact relation?

- **Per Alexandersson** and **Theo Douvropoulos** for conversations in 2023 that motivated this project.
- **Nadia Lafrenière, Jon Novak, Vic Reiner, Richard P. Stanley** for helpful comments.
- **the organizers** for the invitation.
- **you** for your patience.