

# Math 5707: Graph Theory, Spring 2017

## Midterm 3

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### 1 EXERCISE 1

#### 1.1 PROBLEM

Let  $G$  be a connected multigraph. Let  $x, y, z$  and  $w$  be four vertices of  $G$ .

Assume that the two largest ones among the three numbers  $d(x, y) + d(z, w)$ ,  $d(x, z) + d(y, w)$  and  $d(x, w) + d(y, z)$  are **not** equal.

Prove that  $G$  has a cycle of length  $\leq d(x, z) + d(y, w) + d(x, w) + d(y, z)$ .

#### 1.2 SOLUTION

*Proof.* For brevity, define the following:

$$a = d(x, y) + d(z, w)$$

$$b = d(x, z) + d(y, w)$$

$$c = d(x, w) + d(y, z).$$

We are then asked to show that  $G$  has a cycle of length  $\leq b + c$ . For any unordered pair of  $\{u, v\}$  of vertices of  $G$ , let us choose (and fix) a shortest path  $p_{u,v}$  from  $u$  to  $v$ .

Among the values  $a$ ,  $b$ , and  $c$ , pick the two smallest. Let us call these two smallest values  $b'$  and  $c'$ . We can find a permutation  $(x', y', z', w')$  of  $(x, y, z, w)$  such that  $b' = d(x', z') + d(y', w')$  and  $c' = d(x', w') + d(y', z')$ .

Form a new multigraph  $G'$  from  $G$  by restricting the edges and vertices to those lying on (one of) the chosen shortest paths  $p_{x',z'}, p_{y',w'}, p_{x',w'}, p_{y',z'}$ . (For example, if  $a$  and  $b$  are the two smallest among the values  $a$ ,  $b$ , and  $c$ , then we restrict the vertices to those lying on the paths  $p_{x,y}, p_{z,w}, p_{x,z}, p_{y,w}$ .) Notice that the new multigraph  $G'$  is still connected.

Now, the two chosen sums of distances  $d(x', z') + d(y', w')$  and  $d(x', w') + d(y', z')$  are the same in  $G$  and in  $G'$ , since the chosen shortest paths  $p_{x',z'}, p_{y',w'}, p_{x',w'}, p_{y',z'}$  are retained. The third sum (the largest in  $G$ ) cannot be smaller in  $G'$ , since  $G'$  was obtained from  $G$  by removing vertices and edges (so no new paths can have arisen, but old paths might have disappeared). Hence, it is still the largest in  $G'$ . Thus, the two largest sums in  $G'$  are still unequal (as the smaller of them is the same as in  $G$ , while the larger one is the same or larger). Therefore,  $G'$  is not a tree (by Midterm 2, Exercise 6). Since  $G'$  is connected, this shows that  $G'$  contains a cycle.

But  $G'$  has at most  $b' + c' = a + b + c - \max\{a, b, c\}$  vertices, which is  $\leq b + c$ . Since the vertices on a cycle are distinct, the cycle cannot have length greater than  $b + c$ .  $\square$

## 2 EXERCISE 2

### 2.1 PROBLEM

(a) Let  $n > 1$  be an integer. Prove that the chromatic polynomial of the cycle graph  $C_n$  is

$$\chi_{C_n} = (x-1)^n + (-1)^n (x-1).$$

(b) Let  $g \in \mathbb{N}$ . Let  $G$  be the simple graph whose vertices are the  $2g+1$  integers  $-g, -g+1, \dots, g-1, g$ , and whose edges are

$$\begin{aligned} \{0, i\} & \quad \text{for all } i \in \{1, 2, \dots, g\}; \\ \{0, -i\} & \quad \text{for all } i \in \{1, 2, \dots, g\}; \\ \{i, -i\} & \quad \text{for all } i \in \{1, 2, \dots, g\} \end{aligned}$$

(these are  $3g$  edges in total).

Compute the chromatic polynomial  $\chi_G$  of  $G$ .

## 2.2 SOLUTION

*Proof of part (a):* Let  $V = V(C_n)$ , and  $E = E(C_n)$ . First show that for  $F \subseteq E$ , we have

$$\text{conn}(V, F) = \begin{cases} n - |F|, & \text{if } |F| < n; \\ 1, & \text{if } |F| = n. \end{cases} \quad (1)$$

[*First proof of (1):* We prove (1) by induction over  $|F|$ :

*Base:*  $|F| = 0$ . In this case, each vertex is isolated, so  $\text{conn}(V, F) = n - |F| = n$ .

*Step:* Suppose  $\text{conn}(V, F) = n - |F|$ . Consider the graph  $(V, F \cup \{e\})$ , where  $e \in E \setminus F$ . There are two cases to consider:

- (1) The two endpoints of  $e$  are in the same connected component of  $(V, F)$ . In this case,  $|F|$  must be  $n - 1$  (since adding  $e$  creates a cycle, but the only cycle that could possibly be added is the one that contains all  $n$  vertices of  $C_n$ ), so  $\text{conn}(V, F \cup \{e\}) = 1$ .
- (2) The two endpoints of  $e$  are not in the same connected component of  $(V, F)$ . In this case, adding  $e$  joins two connected components, so  $\text{conn}(V, F \cup \{e\}) = \text{conn}(V, F) - 1 = n - |F| - 1 = n - |F \cup \{e\}|$ .

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[*Second proof of (1):* If  $F$  is a proper subset of  $E$ , then the graph  $(V, F)$  has no cycles (since the only cycle it could have is the full  $n$ -vertex cycle, but this would require  $F$  to be the whole set  $E$ ), and thus is a forest. Therefore, in this case, we have  $\text{conn}(V, F) = n - |F|$  (since the number of connected components of a forest always equals its number of vertices minus its number of edges<sup>1</sup>). Remains to handle the case  $F = E$ ; but this is clear.]

Since  $\text{conn}(V, F)$  depends only on  $|F|$  (by (1)), we can sum over  $|F|$  rather than all  $F \subseteq E$ . For each  $k \in \{0, 1, \dots, n\}$ , there are  $\binom{n}{k}$  possible subsets  $F$  of  $E$  having size  $|F| = k$ , so we get

$$\begin{aligned} \chi_{C_n} &= \sum_{F \subseteq E} (-1)^{|F|} x^{\text{conn}(V, F)} = \sum_{k=0}^{n-1} \binom{n}{k} (-1)^k x^{n-k} + (-1)^n x^1 \\ &= \sum_{k=0}^n \binom{n}{k} (-1)^k x^{n-k} - (-1)^n x^{n-n} + (-1)^n x \\ &= \sum_{k=0}^n \binom{n}{k} (-1)^k x^{n-k} + (-1)^n (x - 1) \\ &= (x - 1)^n + (-1)^n (x - 1), \end{aligned}$$

with the final equality using the binomial theorem. □

<sup>1</sup>For a proof, see Corollary 20 in the handwritten Lecture 9.

*Proof of part (b):* Let  $V = V(G)$ , and  $E = E(G)$ . A *lobe* shall mean a subgraph of  $G$  comprising the vertices  $i$ ,  $-i$ , and  $0$  and the edges  $\{0, i\}$ ,  $\{0, -i\}$ , and  $\{i, -i\}$ . The *hub* of a subgraph  $(V, F)$  (with  $F \subseteq E$ ) shall refer to the connected component containing the vertex  $0$  in  $(V, F)$ .

Consider how the vertices in a lobe can become part of a connected component distinct from the hub in a graph  $(V, F)$ . As long as at least 2 of the edges  $\{0, i\}$ ,  $\{0, -i\}$ , and  $\{i, -i\}$  are in  $F$ , each of  $i$  and  $-i$  remains connected to the hub. If only one of the edges  $\{0, i\}$ ,  $\{0, -i\}$ , and  $\{i, -i\}$  is in  $F$ , then one connected component separates from the hub (either one isolated vertex or both vertices depending on which edge remains). If none of the edges are in  $F$ , then each of  $i$  and  $-i$  becomes a connected component. Thus the number of connected components in  $(V, F)$  can be determined by the number of lobes with 0, 1, 2, and 3 edges removed in  $(V, F)$ .

For a subset  $F$  of  $E$ , define four nonnegative integers  $\ell_0, \ell_1, \ell_2, \ell_3$  as follows:

for  $i = 0, 1, 2, 3$ , set  $\ell_i = \#(\text{lobes with } i \text{ edges removed in } (V, F))$ .

We can express the size of  $F$  as  $|F| = 3\ell_0 + 2\ell_1 + \ell_2$ . There are  $\binom{g}{\ell_0, \ell_1, \ell_2, \ell_3}$  choices<sup>2</sup> of how many edges to remove from each lobe. For each such choice, we have  $3^{\ell_1} 3^{\ell_2}$  choices of edges to remove. Finally, for each choice of lobes and edges, there are  $1 + \ell_2 + 2\ell_3$  connected components. We can now express  $\chi_G$  as the following cumbersome sum:

$$\begin{aligned} \chi_G &= \sum_{F \subseteq E} (-1)^{|F|} x^{\text{conn}(V, F)} = \sum_{\substack{\ell_0, \ell_1, \ell_2, \ell_3, \\ \ell_0 + \ell_1 + \ell_2 + \ell_3 = g}} \binom{g}{\ell_0, \ell_1, \ell_2, \ell_3} 3^{\ell_1} 3^{\ell_2} (-1)^{3\ell_0 + 2\ell_1 + \ell_2} x^{1 + \ell_2 + 2\ell_3} \\ &= \sum_{\substack{\ell_0, \ell_1, \ell_2, \ell_3, \\ \ell_0 + \ell_1 + \ell_2 + \ell_3 = g}} \binom{g}{\ell_0, \ell_1, \ell_2, \ell_3} x [(-1)^3]^{\ell_0} [3(-1)^2]^{\ell_1} [3x(-1)]^{\ell_2} [x^2]^{\ell_3} \\ &= x \sum_{\substack{\ell_0, \ell_1, \ell_2, \ell_3, \\ \ell_0 + \ell_1 + \ell_2 + \ell_3 = g}} \binom{g}{\ell_0, \ell_1, \ell_2, \ell_3} (-1)^{\ell_0} 3^{\ell_1} (-3x)^{\ell_2} (x^2)^{\ell_3} \\ &= x(-1 + 3 - 3x + x^2)^g = x(x - 1)^g (x - 2)^g, \end{aligned}$$

with the last line using the multinomial theorem. □

### 3 EXERCISE 3

#### 3.1 PROBLEM

Let  $(G; X, Y)$  be a bipartite graph such that  $|Y| \geq 2|X| - 1$ . Prove that there exists an injective map  $f : X \rightarrow Y$  such that each  $x \in X$  satisfies one of the following two

<sup>2</sup>Here,  $\binom{g}{\ell_0, \ell_1, \ell_2, \ell_3}$  denotes a multinomial coefficient; it is defined as  $\frac{g!}{\ell_0! \ell_1! \ell_2! \ell_3!}$ .

statements:

- *Statement 1:* The vertices  $x$  and  $f(x)$  of  $G$  are adjacent.
- *Statement 2:* There exists no  $x' \in X$  such that the vertices  $x$  and  $f(x')$  of  $G$  are adjacent.

### 3.2 SOLUTION

*Proof.* Induction on  $|X|$ .

*Base:*  $|X| \leq 1$ . In this case, the proof is easy: If  $|X| = 1$ , then mapping the single vertex in  $X$  to any of the  $\geq 1$  vertices in  $Y$  satisfies the proposition. If  $|X| = 0$ , then everything is obvious.

*Step:* Suppose there is a map  $f$  satisfying the proposition for every bipartite graph  $(H; A, B)$  with  $|A| < |X|$  and  $|B| \geq 2|A| - 1$ . Assume without loss of generality that there are fewer than  $|X|$  isolated vertices in  $Y$ . (If there are at least  $|X|$  isolated vertices in  $Y$ , then we can choose any injective map from  $X$  to these isolated vertices.) Let  $Y'$  be the set of non-isolated vertices in  $Y$ . Choose the smallest nonempty  $S \subseteq Y'$  such that  $|N(S)| \leq |S|$ . (If there is no such  $S$ , then for every  $S \subseteq Y'$ ,  $|N(S)| > |S|$  and we have a  $Y'$ -complete matching which can be used to define a map in which each vertex satisfies Statement 1.) For this  $S$ , it cannot be that  $|N(S)| < |S|$ , since each subset  $P \subset S$  of size  $|S| - 1$  has at least  $|S|$  neighbors (and since the vertices in  $S$  are non-isolated). Thus  $|N(S)| = |S|$ . Now we have for each  $P \subseteq S$ ,  $|N(P)| \geq |P|$  and  $|N(S)| = |S|$ , so there is a perfect matching  $M$  of  $N(S)$  to  $S$ .

Now, consider the bipartite graph  $(G \setminus (N(S) \cup S); X \setminus N(S), Y \setminus S)$ . Since  $|N(S)| = |S|$ , we still have  $|Y \setminus S| \geq 2|X \setminus N(S)| - 1$ . Hence by the induction hypothesis, there is a map  $f : X \setminus N(S) \rightarrow Y \setminus S$  satisfying the conditions of the exercise. If we extend this map to  $f'$  by setting  $f'(v)$  to the vertex to which  $v$  is matched in the perfect matching  $M$  for each  $v \in N(S)$ , then  $f'$  satisfies the proposition:

- For each  $v \in N(S)$ ,  $v$  is adjacent to  $f'(v)$ .
- For each  $v \in X \setminus N(S)$ , either  $v$  is adjacent to  $f'(v)$ , or no other vertex is mapped to any of its neighbors. (In fact, for  $v$  not adjacent to  $f'(v)$ , the induction hypothesis guarantees that no other vertex in  $X \setminus N(S)$  maps to a neighbor of  $v$ , and since each vertex in  $N(S)$  is mapped to a vertex in  $S$ , it cannot be mapped to a neighbor of  $v$  either.)

□

## 4 EXERCISE 4

## 4.1 PROBLEM

Let  $n \in \mathbb{N}$  be even. Let  $\sigma \in S_n$  be a permutation.

(a) Show that the perfect matching

$$M_\sigma = \{\{\sigma(1), \sigma(2)\}, \{\sigma(3), \sigma(4)\}, \{\sigma(5), \sigma(6)\}, \dots, \{\sigma(n-1), \sigma(n)\}\}$$

satisfies

$$(-1)^{\text{xing}(M_\sigma)} (-1)^k = (-1)^\sigma,$$

where  $k$  is the number of  $i \in \{1, 2, \dots, n/2\}$  satisfying  $\sigma(2i-1) > \sigma(2i)$ .

(b) Let

$$M = \{\{a_1, b_1\}, \{a_2, b_2\}, \dots, \{a_{n/2}, b_{n/2}\}\}$$

be any perfect matching. Define a new perfect matching  $\sigma M$  by

$$\sigma M = \{\{\sigma(a_1), \sigma(b_1)\}, \{\sigma(a_2), \sigma(b_2)\}, \dots, \{\sigma(a_{n/2}), \sigma(b_{n/2})\}\}.$$

Let  $p$  be the number of  $i \in \{1, 2, \dots, n/2\}$  satisfying  $a_i > b_i$ .

Let  $q$  be the number of  $i \in \{1, 2, \dots, n/2\}$  satisfying  $\sigma(a_i) > \sigma(b_i)$ .

Prove that

$$(-1)^{\text{xing}(\sigma M)} (-1)^p = (-1)^\sigma (-1)^{\text{xing} M} (-1)^q.$$

## 4.2 SOLUTION

Recall the following basic fact: If  $\{i, j\}$  and  $\{k, \ell\}$  are two disjoint edges, then

$$[\{i, j\} \text{ crosses } \{k, \ell\}] \equiv [i > k] + [i > \ell] + [j > k] + [j > \ell] \pmod{2}. \quad (2)$$

*Proof of part (a):* It suffices to show that  $\text{xing } M_\sigma + k \equiv \ell(\sigma) \pmod{2}$ . Here begins a lot of sum manipulation. Below I just split the sum in the definition of  $\text{xing } M_\sigma$ , and

change the indices:

$$\begin{aligned}
\text{xing } M_\sigma &= \sum_{1 \leq i < j \leq n/2} \underbrace{[\{\sigma(2i-1), \sigma(2i)\} \text{ crosses } \{\sigma(2j-1), \sigma(2j)\}]}_{\substack{\equiv [\sigma(2i-1) > \sigma(2j-1)] + [\sigma(2i-1) > \sigma(2j)] + [\sigma(2i) > \sigma(2j-1)] + [\sigma(2i) > \sigma(2j)] \\ \text{(by (2))}} \pmod{2} \\
&\equiv \sum_{1 \leq i < j \leq n/2} ([\sigma(2i-1) > \sigma(2j-1)] + [\sigma(2i-1) > \sigma(2j)] \\
&\quad + [\sigma(2i) > \sigma(2j-1)] + [\sigma(2i) > \sigma(2j)]) \\
&= \sum_{1 \leq i < j \leq n/2} [\sigma(2i-1) > \sigma(2j-1)] + \sum_{1 \leq i < j \leq n/2} [\sigma(2i-1) > \sigma(2j)] \\
&\quad + \sum_{1 \leq i < j \leq n/2} [\sigma(2i) > \sigma(2j-1)] + \sum_{1 \leq i < j \leq n/2} [\sigma(2i) > \sigma(2j)] \\
&= \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ odd}, j \text{ odd}}} [\sigma(i) > \sigma(j)] + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ odd}, j \text{ even}, \\ j > i+1}} [\sigma(i) > \sigma(j)] \\
&\quad + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ even}, j \text{ odd}}} [\sigma(i) > \sigma(j)] + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ even}, j \text{ even}}} [\sigma(i) > \sigma(j)] \pmod{2}.
\end{aligned}$$

Now

$$k = \sum_{1 \leq i \leq n/2} [\sigma(2i-1) > \sigma(2i)] = \sum_{\substack{1 \leq i \leq n, \\ i \text{ odd}}} [\sigma(i) > \sigma(i+1)] = \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ odd}, j \text{ even}, \\ j=i+1}} [\sigma(i) > \sigma(j)].$$

This can be combined with the second of the four sums in the last expression above to get

$$\begin{aligned}
\text{xing } M_\sigma + k &\equiv \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ odd}, j \text{ odd}}} [\sigma(i) > \sigma(j)] + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ odd}, j \text{ even}}} [\sigma(i) > \sigma(j)] \\
&\quad + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ even}, j \text{ odd}}} [\sigma(i) > \sigma(j)] + \sum_{\substack{1 \leq i < j \leq n, \\ i \text{ even}, j \text{ even}}} [\sigma(i) > \sigma(j)] \\
&= \sum_{1 \leq i < j \leq n} [\sigma(i) > \sigma(j)] = \ell(\sigma) \pmod{2}.
\end{aligned}$$

□

*Proof of part (b):* This is equivalent to showing that  $\ell(\sigma) \equiv \text{xing } M + p + \text{xing}(\sigma M) + q \pmod{2}$ . Rename each  $a_i$  and  $b_i$  as follows: for  $i = 1, 2, \dots, n/2$ , let  $c_{2i-1} = a_i$  and  $c_{2i} = b_i$ . We can use the result of part (a) by noting that  $p$ ,  $\text{xing } M$ , and  $c_i$  here correspond respectively to  $k$ ,  $\text{xing}(M_\sigma)$ , and  $\sigma(i)$  in part (a), so we get

$$\text{xing } M + p \equiv \sum_{1 \leq i < j \leq n} [c_i > c_j] \pmod{2}. \quad (3)$$

Similarly,  $q$ ,  $\text{xing}(\sigma M)$ , and  $\sigma(c_i)$  here correspond to  $k$ ,  $\text{xing}(M_\sigma)$ , and  $\sigma(i)$  in part (a), so we get

$$\text{xing}(\sigma M) + q \equiv \sum_{1 \leq i < j \leq n} [\sigma(c_i) > \sigma(c_j)] \pmod{2}. \quad (4)$$

Adding (3) and (4), we get

$$\text{xing } M + p + \text{xing}(\sigma M) + q \equiv \sum_{1 \leq i < j \leq n} ([c_i > c_j] + [\sigma(c_i) > \sigma(c_j)]) \pmod{2}. \quad (5)$$

Now, we can express  $\ell(\sigma)$  directly from the definition as below:

$$\ell(\sigma) = \sum_{1 \leq i, j \leq n} [c_i < c_j] \cdot [\sigma(c_i) > \sigma(c_j)]. \quad (6)$$

Now consider the addends in the sum in (5) that are nonzero modulo 2. These addends correspond to pairs of indices  $1 \leq i < j \leq n$  for which exactly one of the following two statements is true:

- (a)  $i < j$  and  $c_i < c_j$  and  $\sigma(c_i) > \sigma(c_j)$
- (b)  $i < j$  and  $c_i > c_j$  and  $\sigma(c_i) < \sigma(c_j)$

Similarly consider the addends in the sum in (6) that are nonzero modulo 2. These addends correspond to pairs of indices  $1 \leq i, j \leq n$  for which exactly one of the following two statements is true:

- (c)  $i < j$  and  $c_i < c_j$  and  $\sigma(c_i) > \sigma(c_j)$
- (d)  $i > j$  and  $c_i < c_j$  and  $\sigma(c_i) > \sigma(c_j)$

Note that condition (a) is the same as condition (c), and if we reverse the names of  $i$  and  $j$  in condition (d), it becomes the same as condition (b). Therefore,  $\ell(\sigma) \equiv \text{xing } M + p + \text{xing}(\sigma M) + q \pmod{2}$ .  $\square$

## 5 EXERCISE 5

### 5.1 PROBLEM

Let  $G = (V, E, \psi)$  be a connected multigraph. Set  $n = |V|$  and  $h = |E|$ .

Let  $(\phi_0, \phi_1, \dots, \phi_k)$  be a sequence of orientations of  $G$ , and let  $(v_1, v_2, \dots, v_k)$  be a sequence of vertices of  $G$  such that for each  $i \in \{1, 2, \dots, k\}$ , the orientation  $\phi_i$  is obtained from  $\phi_{i-1}$  by pushing the source  $v_i$  (in particular, this is saying that  $v_i$  is a source of  $\phi_{i-1}$ ).

Assume that  $k \geq \binom{n+h-1}{n-1}$ .

- (a) Prove that each vertex of  $G$  appears at least once in the sequence  $(v_1, v_2, \dots, v_k)$ .
- (b) Prove that the orientations  $\phi_0, \phi_1, \dots, \phi_k$  are acyclic.

*Proof of part (a):* Form the multidigraph  $G^{dir}$ . (This is the multidigraph obtained from the multigraph  $G$  by replacing each edge by two arcs going in opposite directions.)

For each orientation  $\phi_i$  of  $G$ , define a configuration  $f_i$  on  $G^{dir}$  by setting

$$f_i(v) = \deg_{(V,E,\phi_i)}^+ v = \#(\text{arcs with source } v \text{ in orientation } \phi_i \text{ of } G)$$

for each  $v \in V$ . Then, a vertex is active in configuration  $f_i$  on  $G^{dir}$  if and only if it is a source in orientation  $\phi_i$  of  $G$ . (If  $v \in V$  is a source, then  $\deg_{(V,E,\phi_i)}^+ v = \deg_G v = \deg_{G^{dir}}^+ v$ , thus  $f_i(v) = \deg_{(V,E,\phi_i)}^+ v = \deg_{G^{dir}}^+ v$ , so it is active. If it is not a source, then  $\deg_{(V,E,\phi_i)}^+ v < \deg_G v = \deg_{G^{dir}}^+ v$ , so that  $f_i(v) = \deg_{(V,E,\phi_i)}^+ v < \deg_{G^{dir}}^+ v$ , and it is not active.)

Also, the operation of pushing a source  $v$  on orientation  $\phi_i$  results in the legal firing of vertex  $v$  in configuration  $f_i$ : In fact, if  $\phi_{i+1}$  is the orientation obtained from  $\phi_i$  by pushing the source  $v$ , and if  $f_{i+1}$  is the configuration corresponding to this  $\phi_{i+1}$ , then each vertex  $w \in V$  satisfies

$$f_{i+1}(w) = \begin{cases} f_i(w) - \deg_{G^{dir}}^+ w, & \text{for } w = v \\ f_i(w) + \#(\text{arcs } v \rightarrow w), & \text{for } w \neq v \end{cases} = (f_i - \Delta v)(w),$$

and therefore  $f_{i+1} = f_i - \Delta v$ . Thus, pushing a sequence of sources in  $G$  results in a legal sequence of chip-firings on the same sequence of vertices in  $G^{dir}$ . Since  $G^{dir}$  is strongly connected, we can apply the result of HW5 Exercise 1 (b), which states that any legal sequence of length  $\geq \binom{n+h-1}{n-1}$  must contain each vertex of  $V$ . Therefore, every vertex appears in the sequence  $(v_1, v_2, \dots, v_k)$ .  $\square$

*Proof of part (b):* Suppose an orientation  $\phi_i$  is not acyclic. Then there is a cycle  $c = (u_0, e_1, u_1, \dots, e_j, u_j = u_0)$ . For each  $i \in \{0, 1, \dots, j-1\}$ , the vertex  $u_i$  is not a source in  $\phi_i$  since the arc  $e_i$  has target  $u_i$  (where  $e_0 := e_j$ ). Hence  $v_{i+1} \notin \{u_0, u_1, \dots, u_{j-1}\}$ . Then each edge on the cycle  $c$  maintains the same orientation in  $\phi_{i+1}$  that it had in  $\phi_i$ , so  $\phi_{i+1}$  also contains the same cycle. By induction, any vertex on a cycle will remain on a cycle after any sequence of source-pushing operations.

But as justified in part (a), we can apply the result of HW5 Exercise 1 (a), which says we can perform an arbitrarily long sequence of source-pushing operations starting at orientation  $\phi_i$ . In particular, we can perform a sequence of  $\geq \binom{n+h-1}{n-1}$  operations. Then each vertex (including the vertices on  $c$ ) must appear in this sequence, a contradiction. Therefore, each orientation  $\phi_i$  must be acyclic.  $\square$

## 6 EXERCISE 6

### 6.1 PROBLEM

Let  $G = (V, E, \psi)$  be a tree. Let  $\alpha$  and  $\beta$  be two orientations of  $G$ .

Prove that  $\beta$  can be obtained from  $\alpha$  by repeatedly pushing sources.

*Proof.* First, let us prepare with some general facts:

*Definition.* Let  $G = (V, E, \psi)$  be a multigraph. Let  $\phi$  be an orientation of  $G$ . A vertex  $v \in V$  is said to be a *sink* of  $\phi$  if no arc of the multidigraph  $(V, E, \phi)$  has source  $v$ . If  $v$  is a sink of  $\phi$ , then we can define a new orientation  $\phi'$  of  $G$  as follows:

- For each  $e \in E$  satisfying  $v \in \psi(e)$ , we set  $\phi'(e) = (v, u)$ , where  $u$  is chosen such that  $\phi(e) = (u, v)$ .
- For all other  $e \in E$ , we set  $\phi'(e) = \phi(e)$ .

(Roughly speaking, this simply means that  $\phi'$  is obtained by  $\phi$  by reversing the directions of all edges that contain  $v$ .) We say that this new orientation  $\phi'$  is obtained from  $\phi$  by *pulling the sink*  $v$ .

*Lemma.* Let  $G = (V, E, \psi)$  be a multigraph. Let  $\phi$  be an acyclic orientation of  $G$ . Let  $v$  be a sink of  $\phi$ . Then, the orientation obtained from  $\phi$  by pulling the sink  $v$  can also be obtained from  $\phi$  by repeatedly pushing sources.

For a proof of this Lemma, see Exercise 0.1 (b) in Darij Grinberg, *An exercise on source and sink mutations of acyclic quivers*. (This exercise differs from the Lemma in that sources and sinks have their roles swapped; but this is easily achieved by reversing all arcs.)

We shall now solve the exercise by induction on  $|V|$ .

*Base:*  $|V| = 1$ . In this case, the tree  $G$  has no edges, so that the orientations  $\alpha$  and  $\beta$  must be equal already. Hence, we are done in this case.

*Step:*  $|V| > 1$ . Suppose any orientation can be obtained from an arbitrary orientation by repeatedly pushing sources on a tree with fewer than  $|V| - 1$  vertices.

Pick any leaf  $\ell$  of  $G$ . Since it is a leaf,  $\ell$  has exactly one neighbor  $u$ , and there is a unique edge between  $\ell$  and  $u$ . The graph  $G \setminus \{\ell\}$  is again a tree.

Define orientations  $\beta'$  and  $\alpha'$  for the tree  $G \setminus \{\ell\}$  as restrictions of the orientations  $\beta$  and  $\alpha$ . (In other words, we set  $\beta'(e) = \beta(e)$  and  $\alpha'(e) = \alpha(e)$  for each edge  $e$  of  $G \setminus \{\ell\}$ .) By the induction hypothesis,  $\beta'$  can be obtained from  $\alpha'$  on the graph  $G \setminus \{\ell\}$  by pushing some sequence of sources. Let  $s$  be this sequence.

Our goal is to obtain  $\beta$  from  $\alpha$  by pushing some sequence of sources on the graph  $G$ . In order to achieve this, we proceed as follows:

1. We start with the orientation  $\alpha$ .

2. We push the sequence  $s$ , with one little change: Every time we need to push  $u$ , we potentially have to push  $\ell$  first (because if  $\ell$  is a source, then the unique edge between  $\ell$  and  $u$  is oriented towards  $u$ , thus preventing us from pushing  $u$ ).
3. Now, we have obtained an orientation  $\gamma$  of  $G$  whose restriction to  $G \setminus \{\ell\}$  is  $\beta'$ . Thus, this orientation differs from  $\beta$  at most in the edge between  $\ell$  and  $u$ .

If this edge is oriented equally in  $\beta$  and in  $\gamma$ , then we have arrived at  $\beta$ , and thus we are done in this case.

4. It remains to deal with the case when the edge between  $\ell$  and  $u$  is oriented differently in  $\beta$  and in  $\gamma$ . If  $\ell$  is a source of  $\gamma$ , then we can simply push  $\ell$  in  $\gamma$  and thus obtain  $\beta$ ; so we are done again.

It remains to handle the case when  $\ell$  is a sink of  $\gamma$ . In this case, we want to pull the sink  $\ell$ . In order to do this, we use the Lemma: The orientation  $\gamma$  is acyclic (since the multigraph  $G$  is a tree, thus has no cycles), and therefore the Lemma can be applied to  $\phi = \gamma$  and  $v = \ell$ . We thus conclude that we can pull the sink  $\ell$  by repeatedly pushing sources; this allows us to reach  $\beta$ .

□