

Math 4707 Fall 2017 (Darij Grinberg): midterm 2

due date: Wednesday 8 Nov 2017 at the beginning of class, or before that by email or moodle

Please solve **at most 4** of the 7 exercises!

0.1. Idempotent maps

If S is a set, then a map $f : S \rightarrow S$ is said to be *idempotent* if and only if $f \circ f = f$. For instance, the map $[3] \rightarrow [3]$ sending $1, 2, 3$ to $1, 3, 3$ (respectively) is idempotent.

Exercise 1. Let $n \in \mathbb{N}$.

(a) Prove that a map $f : [n] \rightarrow [n]$ is idempotent if and only if $f(y) = y$ for every y in the image of f .

(b) Prove that the number of idempotent maps $[n] \rightarrow [n]$ is $\sum_{k=0}^n \binom{n}{k} k^{n-k}$.

(c) Prove that the number of idempotent maps $[n] \rightarrow [n]$ has the form $an + 1$ for some $a \in \mathbb{N}$. (Of course, a will depend on n .)

[Hint: When is $\binom{n}{k} k^{n-k}$ divisible by n ?]

0.2. Fixed points

Exercise 2. Let S be a finite set. For any map $f : S \rightarrow S$, we let $\text{Fix } f$ denote the set of all fixed points of f . (That is, $\text{Fix } f = \{s \in S \mid f(s) = s\}$.)

(a) Prove that $|\text{Fix}(f \circ g)| = |\text{Fix}(g \circ f)|$ for any two maps $f : S \rightarrow S$ and $g : S \rightarrow S$.

(b) Is it true that every three maps f, g, h from S to S satisfy $|\text{Fix}(f \circ g \circ h)| = |\text{Fix}(g \circ f \circ h)|$?

[Hint: For (a), find a bijection.]

0.3. A binomial coefficient in a denominator

Exercise 3. Let n and a be two integers with $n \geq a \geq 1$. Prove that

$$\sum_{k=a}^n \frac{(-1)^k}{k} \binom{n-a}{k-a} = \frac{(-1)^a}{a \binom{n}{a}}.$$

0.4. Derangements with at most 1 descent

Exercise 4. Let $n \in \mathbb{N}$. How many derangements σ of $[n]$ have at most 1 descent?

(See homework set #5 for the definitions of descents and of derangements.)

0.5. Connected permutations

Definition 0.1. Let n be a positive integer. A permutation σ of $[n]$ is said to be *connected* if and only if there exists no $k \in [n-1]$ such that $\sigma([k]) = [k]$.

For example, the permutation σ of $[5]$ sending $1, 2, 3, 4, 5$ to $2, 4, 1, 5, 3$ is connected, since it satisfies

$$\begin{aligned}\sigma([1]) &= \{2\} \neq [1], & \sigma([2]) &= \{2, 4\} \neq [2], \\ \sigma([3]) &= \{2, 4, 1\} \neq [3], & \sigma([4]) &= \{2, 4, 1, 5\} \neq [4].\end{aligned}$$

But the permutation σ of $[4]$ sending $1, 2, 3, 4$ to $2, 1, 4, 3$ is not connected, because it satisfies $\sigma([2]) = [2]$. Likewise, a permutation σ of $[n]$ (for $n > 1$) satisfying $\sigma(1) = 1$ is never connected (since $\sigma([1]) = [1]$); the same holds for a permutation σ satisfying $\sigma(n) = n$ (since $\sigma([n-1]) = [n-1]$).

Exercise 5. For each positive integer n , let c_n denote the number of all connected permutations of $[n]$. (Thus, $c_1 = 1$, $c_2 = 1$ and $c_3 = 3$.)

Prove that

$$n! = \sum_{k=1}^n c_k (n-k)! \quad \text{for each positive integer } n.$$

0.6. Permutations and intervals

An *integer interval* means a set of the form $\{a, a+1, \dots, b\}$ for some integers a and b . (If $a > b$, then this set is understood to be empty.)

Exercise 6. Let $n \in \mathbb{N}$ and $r \in [n]$. A permutation σ of $[n]$ is said to be *r -friendly* if for each $k \in \{r, r+1, \dots, n\}$, the set $\sigma([k])$ is an integer interval.

(For example, the permutation σ of $[6]$ sending $1, 2, 3, 4, 5, 6$ to $2, 4, 3, 5, 1, 6$ is 3-friendly (since $\sigma([3]) = \{2, 3, 4\}$, $\sigma([4]) = \{2, 3, 4, 5\}$, $\sigma([5]) = \{1, 2, 3, 4, 5\}$ and $\sigma([6]) = \{1, 2, 3, 4, 5, 6\}$ are integer intervals), and thus also r -friendly for each $r \geq 3$, but not 2-friendly (since $\sigma([2]) = \{2, 4\}$ is not an integer interval).)

Prove that the number of r -friendly permutations of $[n]$ is $2^{n-r} r!$.

0.7. Inverting a power series

Exercise 7. Find and prove an explicit formula for the coefficient of x^n in the formal power series $\frac{1}{1-x-x^2+x^3}$.

[Hint: The standard strategy is to factor $1-x-x^2+x^3$, then do partial fraction decomposition. But it is perfectly legitimate to guess the formula based on

solving

$$(1 - x - x^2 + x^3) (b_0 + b_1x + b_2x^2 + b_3x^3 + b_4x^4 + \cdots) = 1$$

for the first few of the unknown coefficients b_0, b_1, b_2, \dots , and then prove it by multiplying out. Either option works.]