

**Math 4707 Fall 2017 (Darij Grinberg): midterm 1 [corrected]**

due date: Friday 13 Oct 2017 by moodle or email (or in office hours before)

Please solve **at most 5** of the 6 exercises.**Exercise 1.** Let  $n \in \mathbb{N}$ .(a) Find the number of all triples  $(A, B, C)$  of subsets of  $[n]$  satisfying  $A \cup B \cup C = [n]$  and  $A \cap B \cap C = \emptyset$ .(b) Find the number of all triples  $(A, B, C)$  of subsets of  $[n]$  satisfying  $B \cap C = C \cap A = A \cap B$ .

Recall that if  $n \in \mathbb{N}$  and  $k \in \mathbb{N}$ , then  $\text{sur}(n, k)$  denotes the number of surjections  $[n] \rightarrow [k]$ , and  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  denotes the Stirling number of the 2nd kind (defined as  $\text{sur}(n, k) / k!$ ).

**Exercise 2.** Let  $n$  be a positive integer. Let  $k \in \mathbb{N}$ .

(a) Prove that

$$\text{sur}(n, k) = k \sum_{i=0}^k (-1)^{k-i} \binom{k-1}{i-1} i^{n-1}.$$

(b) Prove that

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \sum_{i=0}^k (-1)^{k-i} \frac{i^n}{i! (k-i)!}.$$

**Exercise 3.** A set  $S$  of integers is said to be *2-lacunar* if every  $i \in S$  satisfies  $i+1 \notin S$  and  $i+2 \notin S$ . (That is, any two distinct elements of  $S$  are at least a distance of 3 apart on the real axis.) For example,  $\{1, 5, 8\}$  is 2-lacunar, but  $\{1, 5, 7\}$  is not.

For any  $n \in \mathbb{N}$ , we let  $h(n)$  denote the number of all 2-lacunar subsets of  $[n]$ .(a) Prove that  $h(n) = h(n-1) + h(n-3)$  for each  $n \geq 3$ .(b) Prove that  $h(n) = \sum_{\substack{k \in \mathbb{N}; \\ 2k \leq n+2}} \binom{n+2-2k}{k}$  for each  $n \in \mathbb{N}$ .

**Exercise 4.** A set  $S$  of integers is said to be *shadowed* if it has the following property: Whenever an **odd** integer  $i$  belongs to  $S$ , the next integer  $i+1$  must also belong to  $S$ . (For example,  $\emptyset$ ,  $\{2, 4\}$  and  $\{1, 2, 5, 6, 8\}$  are shadowed, but  $\{1, 5, 6\}$  is not, since 1 belongs to  $\{1, 5, 6\}$  but 2 does not.)

Let  $n \in \mathbb{N}$  be even. How many shadowed subsets of  $[n]$  exist?

**Exercise 5.** Let  $n$  and  $k$  be positive integers. A *k-smord* will mean a  $k$ -tuple  $(a_1, a_2, \dots, a_k) \in [n]^k$  such that no two consecutive entries of the  $k$ -tuple are equal (i.e., we have  $a_i \neq a_{i+1}$  for all  $i \in [k-1]$ ). For example,  $(3, 1, 3, 2)$  is a 4-smord (when  $n \geq 3$ ), but  $(1, 3, 3, 2)$  is not.

Compute the number of all  $k$ -smords.

**Exercise 6.** This continues Exercise 7 from homework set 2.

Let  $n$  be a positive integer. Let  $X$  be a set.

We define a map  $c : X^n \rightarrow X^n$  by

$$c(x_1, x_2, \dots, x_n) = (x_2, x_3, \dots, x_n, x_1) \quad \text{for all } (x_1, x_2, \dots, x_n) \in X^n.$$

(In other words, the map  $c$  transforms any  $n$ -tuple  $(x_1, x_2, \dots, x_n) \in X^n$  by “rotating” it one step to the left, or, equivalently, moving its first entry to the last position.)

For two  $n$ -tuples  $\mathbf{x}$  and  $\mathbf{y}$ , we say that  $\mathbf{x} \sim \mathbf{y}$  if there exists some  $k \in \mathbb{N}$  such that  $\mathbf{y} = c^k(\mathbf{x})$ . (For example,  $(1, 5, 2, 4) \sim (2, 4, 1, 5)$ , because  $(2, 4, 1, 5) = c^2(1, 5, 2, 4)$ .)

(a) Prove that  $\sim$  is an equivalence relation, i.e., is reflexive, transitive and symmetric. (For example, symmetry boils down to showing that if there exists some  $k \in \mathbb{N}$  satisfying  $\mathbf{y} = c^k(\mathbf{x})$ , then there exists some  $\ell \in \mathbb{N}$  satisfying  $\mathbf{x} = c^\ell(\mathbf{y})$ .)

(b) An  $n$ -necklace (over  $X$ ) shall mean a  $\sim$ -equivalence class. We denote the  $\sim$ -equivalence class of a tuple  $\mathbf{x} \in X^n$  by  $[\mathbf{x}]_\sim$ .

Let  $\mathbf{x} \in X^n$  be an  $n$ -tuple. Let  $m$  be the smallest nonzero period of the  $n$ -tuple  $\mathbf{x} \in X^n$ .

Prove that  $[\mathbf{x}]_\sim = \{c^0(\mathbf{x}), c^1(\mathbf{x}), \dots, c^{m-1}(\mathbf{x})\}$ .

(c) Show that the  $m$  tuples  $c^0(\mathbf{x}), c^1(\mathbf{x}), \dots, c^{m-1}(\mathbf{x})$  are distinct. Conclude that  $|[\mathbf{x}]_\sim| = m$ .

---